

**JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS****GENERAL FORMS
BID BOND****BOND No.** GMIC41922**KNOW ALL MEN BY THESE PRESENTS**, that we the undersignedLa Rocca Inc. 50 Lewis Avenue Jersey City NJ 07306

(NAME OF CONTRACTOR)

as **PRINCIPAL**, andGreat Midwest Insurance Company

(NAME OF SURETY)

as **SURETY** are held and firmly bound unto the Housing Authority of the City of Jersey City, (hereinafter called JCHA) in the penal sum of 5% of the bid which equal:5 % of the total amount bid Dollars (\$ 5%)
(WORDS) (DOLLARS)

lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these present.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the Principal has submitted the accompanying bid, dated April 19, 2022, for Window & Curtain Wall Replacement at Berry Gardens Building B 92 Danforth Avenue, Jersey City, NJ
(SITE & NAME OF JOB)

NOW THEREFORE if the Principal shall not withdraw said bid within the period of sixty (60) days after the said opening, and shall within the period specified therefore enter into a written contract with the JCHA in accordance with the bid as accepted, and give bond with good and sufficient surety or sureties, as may be required, for the faithful performance and proper fulfillment of such contract; or in the event of the withdrawal of said bid within the period specified or the failure to enter into a written contract with the JCHA in accordance with the bid as accepted, and give such bond within the time specified, if the Principal shall pay the JCHA the difference between the amount specified in said bid and the amount for which the JCHA may procure the required work or supplies or both, if the latter amount be in excess of the former, then the above obligation shall be void and of no effect, otherwise to remain in full force and virtue.

NOTES:

- **THE BID BOND MUST BE FROM AN APPROVED SURETY COMPANY. SEE INSTRUCTION TO BIDDERS ARTICLE ON APPROVED SURETY COMPANIES FOR MORE DETAILS.**
- **IN ORDER TO BE EFFECTIVE THIS BID BOND MUST BE PROPERLY EXECUTED, WITNESSED AND ACKNOWLEDGED BY BOTH THE PRINCIPAL (BIDDER) AND THE SURETY WITH POWER OR ATTORNEY ATTACHED (AS APPLICABLE).**
- **A CONSENT OF SURETY IS REQUIRED WITH THE BID WHERE ASSURANCE OF COMPLETION WILL BE BY PERFORMANCE BOND.**

**JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS****GENERAL FORMS
BID BOND**

IN WITNESS WHEREOF, the above bounded parties have executed this instrument under their several seals this 19th day of April, 2022, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

IN PRESENCE OF:

(INDIVIDUAL PRINCIPAL) (SEAL)

(BUSINESS ADDRESS)

(INDIVIDUAL PRINCIPAL)

(BUSINESS ADDRESS)

ATTEST:



Carol La Rocca, Secretary

La Rocca Inc.
(CORPORATE PRINCIPAL)

50 Lewis Avenue Jersey City NJ 07306
(BUSINESS ADDRESS)

AFFIX CORPORATE SEAL



BY
John La Rocca, President

ATTEST:



Denise Medlar Witness for Surety

Great Midwest Insurance Company
(CORPORATE SURETY)

AFFIX CORPORATE SEAL

800 Gessner, Suite 600 Houston, Texas 77024

BY
Joseph T. Catania Attorney-in-Fact

(POWER OF ATTORNEY FOR PERSON SIGNING FOR SURETY COMPANY MUST BE ATTACHED TO BOND)

**JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS****GENERAL FORMS
CONSENT OF SURETY****CONSENT OF SURETY NO. GMIC41922****KNOW ALL MEN BY THESE PRESENTS**, that we the undersigned _____**Great Midwest Insurance Company** _____

(NAME OF SURETY)

in consideration of the sum of 5% of the amount bid, (\$ 5 %)
(WORDS) (DOLLARS)

lawful money of the United States, the receipt whereof is hereby acknowledged, and for other valuable considerations, consents and agrees that if the contract for _____

Window & Curtain Wall Replacement at Berry Gardens Building B

(NAME OF JOB)

at 92 Danforth Avenue, Jersey City, NJ

for which the preceding proposal is made be awarded to _____

La Rocca Inc.

(NAME OF CONTRACTOR)

OF: 50 Lewis Avenue Jersey City NJ 07306

(ADDRESS)

hereinafter called the Bidder, the Surety will become bound as surety for its faithful performance and will execute the final bonds required, and if the Bidder shall omit or refuse to execute such contract when notified or awarded then the Surety will pay to **Housing Authority of the City of Jersey City** (hereinafter called the Obligee), the difference between the amount of the Bidder's bid or proposal, and the lowest amount in excess of said bid, or proposal, for which the Obligee may be able to award said contract within a reasonable time but not less than sixty (60) calendar days from receipt of bids, in addition to any other damages suffered by the JCHA as a result of Bidder's default, including but not limited to attorneys fees and cost of suit.

SIGNED, SEALED AND DATED: April 19, 2022

(DATE)

Great Midwest Insurance Company

(NAME OF SURETY)

BY: Joseph T. Catania Attorney-in-Fact

(TITLE)

S E A L

NOTE: THE CONSENT OF SURETY MUST BE FROM AN APPROVED SURETY COMPANY. SEE INSTRUCTIONS TO BIDDERS ARTICLE ON APPROVED SURETY COMPANIES FOR MORE DETAILS.

ACKNOWLEDGEMENT BY SURETY

STATE OF NEW JERSEY

COUNTY OF BERGEN

On this 19 day of April, 2022, before me Mary L. Lawrence, a notary public in and for the County and State aforesaid, residing therein, duly commissioned and sworn, personally appeared Joseph T. Catania know to me to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal, the day and year in this certificate first above written.



MARY L. LAWRENCE
NOTARY PUBLIC - STATE OF NEW JERSEY
Commission #50037749
My Commission Expires May 06, 2026

POWER OF ATTORNEY

Great Midwest Insurance Company

KNOW ALL MEN BY THESE PRESENTS, that GREAT MIDWEST INSURANCE COMPANY, a Texas Corporation, with its principal office in Houston, TX, does hereby constitute and appoint:

Richard G. Anderson, Joseph T. Catania, Brent D. Headley, Mary Lawrence, Denise A. Medlar, Gina M. Semonelle, Christopher R. Smith

its true and lawful Attorney(s)-In-Fact to make, execute, seal and deliver for, and on its behalf as surety, any and all bonds, undertakings or other writings obligatory in nature of a bond.

This authority is made under and by the authority of a resolution which was passed by the Board of Directors of GREAT MIDWEST INSURANCE COMPANY, on the 1st day of October, 2018 as follows:

Resolved, that the President, or any officer, be and hereby is, authorized to appoint and empower any representative of the Company or other person or persons as Attorney-In-Fact to execute on behalf of the Company any bonds, undertakings, policies, contracts of indemnity or other writings obligatory in nature of a bond not to exceed Twenty Million dollars (\$20,000,000.00), which the Company might execute through its duly elected officers, and affix the seal of the Company thereto. Any said execution of such documents by an Attorney-In-Fact shall be as binding upon the Company as if they had been duly executed and acknowledged by the regularly elected officers of the Company. Any Attorney-In-Fact, so appointed, may be removed in the Company's sole discretion and the authority so granted may be revoked as specified in the Power of Attorney.

Resolved, that the signature of the President and the seal of the Company may be affixed by facsimile on any power of attorney granted, and the signature of the Secretary, and the seal of the Company may be affixed by facsimile to any certificate of any such power and any such power or certificate bearing such facsimile signature and seal shall be valid and binding on the Company. Any such power so executed and sealed and certificate so executed and sealed shall, with respect to any bond of undertaking to which it is attached, continue to be valid and binding on the Company.

IN WITNESS THEREOF, GREAT MIDWEST INSURANCE COMPANY, has caused this instrument to be signed by its President, and its Corporate Seal to be affixed this 11th day of February, 2021.



GREAT MIDWEST INSURANCE COMPANY

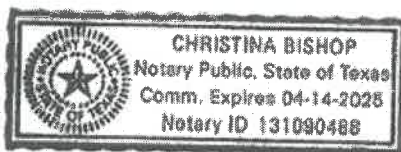
BY

Mark W. Haushill

Mark W. Haushill
President

ACKNOWLEDGEMENT

On this 11th day of February, 2021, before me, personally came Mark W. Haushill to me known, who being duly sworn, did depose and say that he is the President of GREAT MIDWEST INSURANCE COMPANY, the corporation described in and which executed the above instrument, that he executed said instrument on behalf of the corporation by authority of his office under the By-laws of said corporation.



BY

Christina Bishop

Christina Bishop
Notary Public

CERTIFICATE

I, the undersigned, Secretary of GREAT MIDWEST INSURANCE COMPANY, A Texas Insurance Company, DO HEREBY CERTIFY that the original Power of Attorney of which the foregoing is a true and correct copy, is in full force and effect and has not been revoked and the resolutions as set forth are now in force.

Signed and Sealed at Houston, TX this 19th Day of April 2022



BY

Leslie K. Shaunty
Secretary

"WARNING: Any person who knowingly and with intent to defraud any insurance company or other person, files and application for insurance of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

NEW JERSEY SURETY DISCLOSURE STATEMENT AND CERTIFICATION
(Pursuant to N.J.S.A. 2A: 44-143)

Great Midwest Insurance Company, surety on the attached bond, hereby certifies the following:

1. The surety meets the applicable capital and surplus requirements of R.S. 17:17-6 or R.S. 17:17-7 as of the surety's most current annual filing with the New Jersey Department of Banking and Insurance.
2. The capital and surplus, as determined in accordance with the applicable laws of this State, of the surety participating in the issuance of the attached bond is in the following amounts as of the calendar year ended December 31, 2020, which amounts have been certified as indicated by certified public accountants, Ham Langston & Brezina, LLP, 11550 Fuqua St., Suite 475, Houston, Texas 77034 and are included in the Annual Statements on file with the National Association of Insurance Commissioners and the New Jersey Department of Insurance (20 West State Street, CN-325, Trenton, NJ 08625-0325):

Surety Company	Capital	Surplus
Great Midwest Insurance Company	\$4,550,000	\$ 144,279,607

Great Midwest Insurance Company has a current rating from A.M. Best Company of A- (Excellent); Financial Size Category VIII (\$100 Million to \$250 Million).

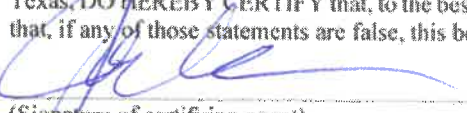
3. (a) Great Midwest Insurance Company has received from the United States Secretary of the Treasury a Certificate of Authority pursuant to 31 U.S.C. §9305, and the underwriting limitation per bond established therein on July 1, 2021 is \$11,772,000.

(b) With respect to which each surety participating in the issuance of the attached bond that has not received such certificate of authority from the United States Secretary of the Treasury, the underwriting limitation of the surety as established pursuant to R.S. 17:18-9 is as follows:

4. The amount of the bond to which this statement and certification is attached is \$ 5% Bid Bond.

CERTIFICATE

I Joseph T. Catania, as Attorney-in-Fact for Great Midwest Insurance Company a corporation domiciled in Texas, DO HEREBY CERTIFY that, to the best of my knowledge the foregoing statements made by me are true, and ACKNOWLEDGE that, if any of those statements are false, this bond is VOID.


(Signature of certifying agent)
Attorney-in-fact
(Title of certifying agent)

Joseph T. Catania
(Printed name of certifying agent)

Great Midwest Insurance Company
Statutory Balance Sheet
as of December 31, 2020
(in thousands)

Assets		Liabilities, Capital and Surplus	
Cash & Invested Assets:		Liabilities:	
Cash and Short term Investments	\$ 46,677	Loss and Loss Expense Reserves	\$ 50,498
Bonds	82,851	Unearned Premium	13,963
Commons Stocks	26,567	Ceded Reinsurance Premium	4,260
Mortgage Loans	4,950	Other Liabilities	3,930
Other Invested Assets	11,196		
Total Cash & Invested Assets	172,241	Total Liabilities	72,651
Other Assets:		Capital and Surplus:	
Premium Receivables	5,766	Common Stock	4,550
Reinsurance Recoverable	8,118	Gross Paid in & Contributed Capital	156,893
Tax Assets	3,433	Unassigned Funds (Surplus)	(17,164)
Other Assets	29,372		
Total Other Assets	44,689	Total Capital and Surplus	144,280
Total Assets	\$216,930	Total Liabilities, Capital & Surplus	\$216,930

CERTIFICATION

I, Mark W. Haushill, President of Great Midwest Insurance Company, hereby certify that the foregoing is a full, true and correct copy of the Balance Sheet of said Company, as of December 31, 2020.

Signature Mark W. Haushill

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Company in Houston, Texas this 30 day of March, 2021.

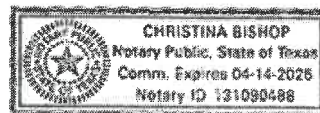
STATE OF TEXAS
COUNTY OF HARRIS

On this 30 day of March, 2021, before me, Christina Bishop, a Notary Public, personally appeared, Mark W. Haushill, who provided to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument and the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY of PERJURY under the laws of the State of Texas that the foregoing paragraph is true and

Witness my hand and official seal.

Signature Christina Bishop
Signature of Notary Public



JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS AND SPECIFICATIONS

GENERAL FORMS
BID DOCUMENT CHECK LIST

BID FOR: Window & Curtain Wall Replacement at
Berry Gardens Building B (92 Danforth Avenue)

A. Failure to submit the following documents is a mandatory cause for the bid to be rejected
(N.J.S.A. 40A:11-23.2)

Required with Submission of this Bid (JCHA's Checkmarks)	Initial Each Item Submitted with Bid (Bidder's Initials)
✓ A bid guarantee in the amount of 5% of the total bid amount via one of the following methods: A Bid Bond OR a Certified Check OR a Cashier's Check (Pursuant to N.J.S.A. 40A:11-21 & 22, see samples on pp. GF 27-34) Note: <u>All methods of bid guarantee must include a Consent of Surety</u>	<i>HL</i>
✓ A Consent of Surety from a surety company, pursuant to N.J.S.A. 40A:11-22 – OR - a Certified Check / Cashier's Check for 20% of the Contract Award amount.	<i>HL</i>
✓ A statement of corporate ownership, pursuant to N.J.S.A. 52:25-24.2 - OR - An Affidavit of Ownership (p. GF-35)	<i>HL</i>
✓ A listing of subcontractors as required by N.J.S.A. 40A:11-16 (p. GF-6) <input type="checkbox"/> Plumbing <input checked="" type="checkbox"/> HVAC <input checked="" type="checkbox"/> Electrical <input checked="" type="checkbox"/> Structural Steel/Ornamental Iron	<i>HL</i>
✓ If applicable, bidder's acknowledgement of receipt of any notice(s) or revision(s) or addenda to an advertisement, specifications or bid document(s), N.J.S.A. 40A:11-23.1A (p. GF-4)	<i>HL</i>

B. Failure to submit the following documents may be a cause for the bid to be rejected
(N.J.S.A. 40A:11-23.1b.)

Required with Submission of Bid (JCHA's Checkmarks)	Initial Each Item Submitted with Bid (Bidder's Initials)	Required with Submission of Bid (JCHA's Checkmarks)	Initial Each Item Submitted with Bid (Bidder's Initials)
✓ Bid Forms (pp. GF-2-6)	<i>HL</i>	✓ Disclosure of Lobbying Activities (pp. GF-35-37)	<i>HL</i>
✓ Submission of a Non-Collusion Affidavit (this form must be notarized) (p. GF-7)	<i>HL</i>	✓ Representations, Certifications & Other Statements of Bidders (pp. GF 38-40)	<i>HL</i>
✓ Section 3 (Housing Act) Forms (pp. GF-8-10)	<i>HL</i>	✓ Previous Participation Certification, HUD 2530 (pp. GF 41-44)	<i>HL</i>
✓ Minority Business Enterprise (MBE) Forms (pp. GF 11-12)	<i>HL</i>	✓ Disclosure of Investment Activities in Iran Form (p. GF-45)	<i>HL</i>
✓ Affirmative Action Plan (AAP) Forms (pp. GF 13-14)	<i>HL</i>	✓ Statement of compliance with N.J.S.A. 45:14C-12(h) (licensed master plumber)	<i>HL</i>
✓ Qualification Questionnaire (pp. GF 15-24)	<i>HL</i>	✓ Certification of Bidder showing that Bidder owns, leases or controls any necessary equipment	<i>HL</i>
✓ Consent of Surety as to a Labor and Material Payment Bond (p. GF-27)	<i>HL</i>	✓ State of New Jersey Business Registration Certificate issued by the Division of Revenue (p. SC-21)	<i>HL</i>
✓ Wage Rate Statement of Compliance (p. GF-34)	<i>HL</i>	✓ EPA's Lead; Renovation, Repair and Painting Program (RRP) Certificate (p. SC-21)	<i>HL</i>

C. SIGNATURE: The undersigned hereby acknowledges and has submitted the above listed requirements.

Name of Bidder: La Rocca, Inc.

Name of Company: La Rocca, Inc.

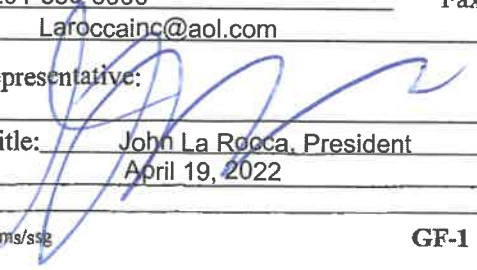
Address, City, State, Zip: 50 Lewis Avenue, Jersey City, N.J. 07306

Telephone #: 201-659-6960

Fax #: 201-659-0886

Email Address: Laroccainc@aol.com

By Authorized Representative:

Signature: 

Print Name and Title: John La Rocca, President

Date: April 19, 2022

BID FOR: Window & Curtain Wall Replacement at
Berry Gardens Building B (92 Danforth Avenue)

SUBMITTED TO
THE HOUSING AUTHORITY OF THE CITY OF JERSEY CITY
400 U.S. HIGHWAY #1, JERSEY CITY, NEW JERSEY

Contractors:

1. The undersigned, having
familiarized _____

Themselves

(himself/herself/themselves)

with the local conditions affecting the cost of the work, and with the Terms and Conditions of the Plans, Technical Specifications, Addenda (if any) and other bid documents including General Conditions, Instructions to Bidders, Invitation for Bid (including General Forms), Employment and Contracting Opportunity Requirements (Section 3, Affirmative Action Plan & Minority Business Enterprise), Form of Contract, Special Conditions, Affirmative Action Plan and attachments for Prevailing Wage Rates and List of Acceptable Sureties-Circular 570) thereto, and with the form and requirements of the Non-Collusive Affidavit, Bid Bond, Consent of Surety, Performance and Payment Bond and Certificate as to Corporate Principal of Contractor, as prepared by:

Kitchen & Associates Services, Inc.
Architect/Engineer

Siemens Industry, Inc.
Project Manager

and on file in the office of the Purchasing Division of the JCHA hereby propose to furnish all labor, materials, equipment services, etc. required to construct and complete the work for the bid identified above.

☐ YES ☒ NO

CONSTRUCTION PROJECT SIGN required per the description in the **Special Conditions**. If required, include cost in base contract. (Contract #1 in the case of multiple contracts).

BASIS OF AWARD

The Bidder shall state the **LUMP SUM BID** amount required to furnish the labor, materials, general conditions, overhead, fee and profit, etc. to complete the scope of work as described in these bid documents (including all Alternates and Asbestos Abatement), for the **Window & Curtain Wall Replacement at Berry Gardens Building B (92 Danforth Avenue)**.

If the bid amount exceeds the JCHA's budget, the JCHA reserves the right to use the Deduct Alternates to determine the lowest LUMP SUM BID amount as the basis of award prioritized in the numerical sequence (Deduct Alternate #1, #2 and #3).

LUMP SUM BID

(Four Million Nine Hundred Seventy Five Thousand Dollars) (\$ 4,975,000.00)
(in words) (in figures)

La Rocca, Inc.

Bidder's Name

a.) **BASE BID**

The following Base Bid Amount proposed for furnishing the labor, materials, mobilization, overhead, fee and profit, etc. indicated on the bid drawings and specifications, **except for the Deduct Alternates** listed below in (c), (d) and (e). Reference scope of work in Drawings and Technical Specifications.

BASE BID

(Four Million Six Hundred Eighty Four Thousand Dollars) (\$ 4,684,000.00)
(in words) (in figures)

b.) **ASBESTOS ABATEMENT WORK**

The following Asbestos Abatement Work Amount proposed for furnishing the labor, materials, mobilization, overhead, fee and profit, etc. indicated on the bid drawings and specifications. Reference scope of work in Drawings and Technical Specifications in the Asbestos Abatement booklet.

ASBESTOS ABATEMENT WORK

(Two Hundred Seventy Five Thousand Dollars) (\$ 275,000.00)
(in words) (in figures)

c.) **DEDUCT ALTERNATE #1 – BEDROOM WINDOWS**

Delete the replacement of the bedroom windows, indicated as Window Type Z on the drawings. Base Bid INCLUDES the replacement of all windows as indicated in drawings and technical specifications.

DEDUCT ALTERNATE #1 AMOUNT

(Two Hundred Thousand Dollars) (\$ 200,000.00)
(in words) (in figures)

La Rocca, Inc.
Bidder's Name

d.) **DEDUCT ALTERNATE #2 – POWERWASHING**

Delete power washing of the residential floors, 1-10. Base Bid INCLUDES the power washing of all brick surfaces of the entire building as indicated in drawings and technical specifications.

DEDUCT ALTERNATE #2 AMOUNT

(Sixty Five Thousand Dollars) (\$ 65,000.00)
(in words) (in figures)

e.) **DEDUCT ALTERNATE #3 – GROUND FLOOR STOREFRONT**

Provide street-facing ground floor storefront in the Community Room and Office Suite from slab to ceiling. Utilize glazed-in insulated metal panels where the stem wall would be. Base Bid INCLUDES street-facing ground floor storefront in the Community Room and Office Suite on a new stud stem wall as indicated in drawings and technical specifications (Window types J and H).

DEDUCT ALTERNATE #3 AMOUNT

(Twenty Six Thousand Dollars) (\$ 26,000.00)
(in words) (in figures)

e.) **ALLOWANCES**

Not Applicable

La Rocca, Inc.
Bidder's Name

e.) **UNIT PRICES**

All Unit Prices must be filled in by the Bidder.

The following Unit Prices shall be the basis of compensation for more or less work of the particular kind for which unit prices are given, if ordered in writing and such unit prices include labor, material, supplies, transportation, loading, unloading, insurance, overhead, profit and all other items and represent full payment. All work and materials shall be furnished and installed complete in place and existing work to be replaced shall be removed wherever necessary. The **ADD** or **DEDUCT** to the JCHA for any and all such work that may be added to or deducted from the amount of work required by the Contract Documents shall be computed on the basis of applicable unit prices. Unit prices are to be used in conjunction with ongoing work.

Unit Prices shall not be a basis of Contract Award. However, the JCHA reserves the right to reject and negotiate any Unit Price it deems not to be reasonable.

Not Applicable

La Rocca, Inc.

Bidder's Name

**2. ACKNOWLEDGEMENT OF RECEIPT OF CHANGES TO
BID DOCUMENTS FORM**

BID FOR: Window & Curtain Wall Replacement at
Berry Gardens Building B (92 Danforth Avenue)

Pursuant to N.J.S.A. 40A:11-23.1a., the undersigned bidder hereby acknowledges receipt of the following notices, revisions, or addenda to the bid advertisement, specifications or bid documents. By indicating date of receipt, bidder acknowledges the submitted bid takes into account the provisions of the notice, revision or addendum. Note that the local unit's record of notice to bidders shall take precedence and that failure to include provisions of changes in a bid proposal may be subject for rejection of the bid.

Local Unit Reference Number or Title of Addendum/Revision	Method of Receipt (mail, fax, pick-up, etc.)	Date Received
Addendum #1	EMail	3/30/22

Acknowledgement by bidder:

Name of Bidder: La Rocca, Inc.

By Authorized Representative:

Signature: 

Printed Name and Title: John La Rocca, President

Date: April 19, 2022

3. In submitting this bid it is understood that the right is reserved by the **HOUSING AUTHORITY OF THE CITY OF JERSEY CITY** to reject any and all bids. If written notice (Contract Award Letter) of the acceptance of this bid is mailed, telegraphed or delivered to the undersigned within sixty (60) days after the opening thereof, or at any time thereafter before this bid is withdrawn, the undersigned agrees that within ten (10) working days after receipt of the Contract Award Letter from the JCHA the undersigned shall execute and deliver to the JCHA proper performance and payment bonds and insurance certificates in such number as the JCHA may require.
4. Security in the amount of five percent (5%) of the Total Not To Exceed Contract Amount, in the sum of
- | | | |
|---|----------------------|-----------------|
| Two Hundred Forty Eight Thousand Seven
Hundred Fifty | and 00/100 (Dollars) | (\$ 248,750.00) |
| (Words) | | (Numbers) |
- in the form of _____ Bid Bond
(Bid Bond, Certified Check, Cashier's Check, Etc.)
- is submitted herewith in accordance with the **Instructions to Bidders**.
5. Attached hereto is a Non-Collusive Affidavit in proof that the undersigned has not entered into any collusion with any person in respect to this proposal or the submitting of proposals for the contract for which this proposal is submitted.

6. In addition, in accordance with the **Instructions to Bidders** article on **Qualifications and Listing of Subcontractors**, the following subcontractors are to be used on this contract in the **four (4)** areas of work noted below (*Note: contractor is required to submit license for each field of expertise checked below*):
- If the scope of work does not involve any of the trades below mark "**NONE**" in each of the appropriate spaces.
 - If the scope of work does involve the trades below but will be done in-house by qualified licensed employees on the contractor's payroll mark "**IN-HOUSE**" in each of the appropriate spaces.

CERTIFICATION

(DO NOT LEAVE ANY SPACE BLANK)

- (a) **Plumbing and Gas fitting of all kindred work:**

Name: None
Address: _____

Use of "In-House" plumber requires the "In-House" plumber own 10% or more of the stock or capital of the company submitting the Bid. See Instructions to Bidders article on **Qualification and Listing of Subcontractors**.

- (b) **Steam and hot water heating and ventilating apparatus and all kindred work:**

Name: Excelsior Plumbing Co., Inc.
Address: 50 Lewis Avenue
Jersey City, N.J. 07306

- (c) **Electrical Work:**

Name: Vespa Electrical Co., Inc.
Address: 13B Great Meadow Lane
E. Hanover, N.J. 07936

- (d) **Structural Steel and Ornamental Iron Work:**

Name: In-House
Address: _____

I hereby certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.

DATE: April 19, 2022

OFFICIAL ADDRESS:

50 Lewis Avenue
Jersey City, N.J. 07306

La Rocca, Inc.
(Name of Bidder)
By: John La Rocca
(Printed Name)

(Signature)
Title: President

**JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS AND SPECIFICATIONS**

**GENERAL FORMS
NON-COLLUSIVE AFFIDAVIT**

State of New Jersey

County of Hudson

John La Rocca being first duly sworn, deposes and says:
(NAME)

That he/she is President of the
(Individual, Partner or Officer)
La Rocca, Inc.

(Firm of)

the party making the foregoing proposal or bid, that such proposal or bid is genuine and not collusive or sham, that said Bidder has not colluded, conspired, connived or agreed, directly or indirectly, sought by agreement or collusion, or communication or conference with any person, firm or corporation, to fix the bid price of affiant or of any Bidder, or to fix any overhead, profit or cost element of said bid price, or of that of any other, or to secure any advantage against the **HOUSING AUTHORITY OF THE CITY OF JERSEY CITY** or any person interested in the proposed contract; and that all statements in said proposal or bid are true.

Signature of:

Bidder, if the Bidder is an individual;

Partner, if the Bidder is a partnership;

John La Rocca, President

Officer, if the Bidder is a corporation;

Subscribed and sworn to me this 19 day of April, 2022.

Carol M. La Rocca
(NOTARY PUBLIC)

My commission expires CAROL M. LARocca
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES MARCH 3, 2025

S E A L

**JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS AND SPECIFICATIONS**

**GENERAL FORMS
AFFIDAVIT FOR
SECTION 3 OF HOUSING ACT**

STATE OF New Jersey)

COUNTY OF Hudson)

SS.

John La Rocca

being first duly sworn deposes and says:

(NAME)

THAT he/she is President of the

(INDIVIDUAL, PARTNER OR OFFICER)

La Rocca, Inc.

(FIRM OF)

party making a certain proposal or bid dated April 19, 2022 for work in connection

with the construction of Window & Curtain Wall Replacement at

(INDICATE JOB NAME)

Berry Gardens Building B (92 Danforth Avenue) located in Jersey City, New

(NAME OF SITE)

Jersey that such proposal or bid is submitted with full knowledge and understanding of Section 3 of the Housing Act regarding requirements for **25% training and employment of Section 3 workers, and for 5% training and employment of Targeted Section 3 workers** as contained in the **Employment and Contracting Opportunity** section of the Contract. In submitting such proposal or bid the Bidder acknowledges that he/she must and will fulfill these requirements and that all statements in said proposal or bid are true.

SIGNATURE OF:

John La Rocca, President

BIDDER, IF THE BIDDER IS AN INDIVIDUAL; PARTNER, IF THE BIDDER IS A PARTNERSHIP; OFFICER, IF THE BIDDER IS A CORPORATION (CIRCLE ONE).

SUBSCRIBED AND SWORN TO ME THIS 19 DAY OF April, 2022.

Carol M. LaRocca
(NOTARY PUBLIC)

MY COMMISSION EXPIRES CAROL M. LARocca

NOTARY PUBLIC OF NEW JERSEY

MY COMMISSION EXPIRES MARCH 3, 2025

S E A L

**JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS AND SPECIFICATIONS**

**GENERAL FORMS
SECTION 3 - ESTIMATED PROJECT
WORK FORCE BREAKDOWN**


CONTRACT FOR: Window & Curtain Wall Replacement at
 SITE(S): Berry Gardens Building B (92 Danforth Avenue)
 NAME OF: ☒ CONTRACTOR: La Rocca, Inc.
 ☐ SUB-CONTRACTOR: _____
 SUB-CONTRACT FOR: _____

Job Category	Total Estimated Positions Needed for Project	# Positions to be Filled with NON-Section 3 Workers	# of Positions to be Filled with Section 3 Workers		
			Targeted	Other	Total
Officers/Supervisors*	1	1			
Professionals*					
Technical*					
Office/Clerical*					
Other*					
<i>*DO NOT list current employees. Complete these items only if NEW employees are to be hired for this contract.</i>					
TRADE: Laborer					
Journeyman	1		1		
Apprentices					
Laborers					
TRADE: Glazier					
Journeyman	4		1		
Apprentices					
Laborers					
TRADE: Electrician					
Journeyman	2		1		
Apprentices					
Laborers					
SUB-TOTALS (this sheet):					
TOTALS:					

~IF ADDITIONAL SPACE IS REQUIRED, COPY AND ATTACH ADDITIONAL SHEETS~

Section 3 Worker:

A goal of 25% of the total workforce labor hours shall be performed by Section 3 Workers and 5% of the total workforce labor hours shall be performed by Targeted Section 3 Workers.


 NAME: John La Rocca TITLE: President DATE: 4/19/22
 OF INDIVIDUAL, PARTNER OR OFFICER OF CORPORATION (CIRCLE ONE) SHEET 1 OF 2

**JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS AND SPECIFICATIONS**

**GENERAL FORMS
SECTION 3 - ESTIMATED PROJECT
WORK FORCE BREAKDOWN**

CONTRACT FOR: Window & Curtain Wall Replacement at
 SITE(S): Berry Gardens Building B (92 Danforth Avenue)
 NAME OF: ☒ CONTRACTOR: La Rocca, Inc.
 ☐ SUB-CONTRACTOR: _____
 SUB-CONTRACT FOR: _____

Job Category	Total Estimated Positions Needed for Project	# Positions to be Filled with NON-Section 3 Workers	# of Positions to be Filled with Section 3 Workers		
			Targeted	Other	Total
Officers/Supervisors*					
Professionals*					
Technical*					
Office/Clerical*					
Other*					
<i>*DO NOT list current employees. Complete these items only if NEW employees are to be hired for this contract.</i>					
TRADE: HVAC					
Journeyman	1		1		
Apprentices					
Laborers					
TRADE: Asbestos Removal					
Journeyman	1		1		
Apprentices					
Laborers					
TRADE:					
Journeyman					
Apprentices					
Laborers					
SUB-TOTALS (this sheet):					
TOTALS:					

~IF ADDITIONAL SPACE IS REQUIRED, COPY AND ATTACH ADDITIONAL SHEETS~

Section 3 Worker:

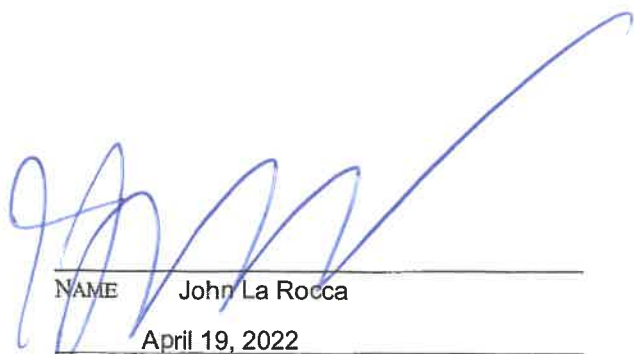
A goal of 25% of the total workforce labor hours shall be performed by Section 3 Workers and 5% of the total workforce labor hours shall be performed by Targeted Section 3 Workers.

NAME: John La Rocca TITLE: President DATE: 4/19/22
 OF INDIVIDUAL, PARTNER OR OFFICER OF CORPORATION (CIRCLE ONE) SHEET 2 OF 2

CONTRACT FOR: Window & Curtain Wall Replacement at
SITE(S) Berry Gardens Building B (92 Danforth Avenue)
NAME OF: ☒ CONTRACTOR: La Rocca, Inc.
☐ SUBCONTRACTOR: _____
SUBCONTRACT FOR: _____

[In this space please detail the steps that have been or will be taken by the Contractor to carry out the Section 3 plan as approved, including but not limited to telephone and mail communication with residents, associations, and the housing authority, training activities conducted or planned, etc.]

La Rocca, Inc. with Jersey City Housing Authority's help, will do everything possible in reaching out to hire JCHA residents.


NAME John La Rocca TITLE President DATE 4/19/22
DATE April 19, 2022 OF INDIVIDUAL, PARTNER OR OFFICER OF CORPORATION
(CIRCLE ONE)

**JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS AND SPECIFICATIONS**

**GENERAL FORMS
AFFIDAVIT FOR MINORITY
BUSINESS ENTERPRISES (MBE)**

STATE OF New Jersey)
COUNTY OF Hudson)SS

John La Rocca being first duly sworn deposes and says:
(NAME)

THAT he/she is President of the
(INDIVIDUAL, PARTNER OR OFFICER)
La Rocca, Inc. the party making a certain
(FIRM OF)

proposal or bid dated April 19, 2022, for work in connection with the
construction of Window & Curtain Wall Replacement
(INDICATE JOB NAME)

at Berry Gardens Building B (92 Danforth Avenue), located in
(NAME OF SITE[S])

Jersey City, New Jersey that such proposal or bid is submitted with full knowledge and understanding of the **20% Minority Business Enterprise (MBE)** Requirements contained in the contract section on **Equal and Contracting Opportunity Requirements**; that in submitting such proposal or bid the Bidder acknowledges that he/she must and will fulfill these requirements and that all statements in said proposal or bid are true.

SIGNATURE OF:

John La Rocca, President

BIDDER, IF THE BIDDER IS AN INDIVIDUAL; PARTNER, IF THE BIDDER IS A PARTNERSHIP; OFFICER, IF THE BIDDER IS A CORPORATION (CIRCLE ONE).

SUBSCRIBED AND SWORN TO ME THIS 19 DAY OF April, 2022.

Carol M. La Rocca
(NOTARY PUBLIC)

MY COMMISSION EXPIRES CAROL M LAROCCA
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES MARCH 3, 2025

S E A L

**JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS AND SPECIFICATIONS**

**GENERAL FORMS
MBE PROPOSED CONTRACTS/
SUBCONTRACTS BREAKDOWN**

CONTRACT FOR: Window & Curtain Wall Replacement at

SITE(S) Berry Gardens Building B (92 Danforth Avenue)

NAME OF: ☒ CONTRACTOR: La Rocca, Inc.

☐ SUBCONTRACTOR:

SUBCONTRACT FOR:

Item #	Description of Work To Be Performed	For: (✓)			Total Approx. Amount of Work To Be Performed	Estimated Amount of Total to MBE Businesses
		Labor	Mater	Both		
	Laborer	X			60,000.00	6,000.00
	Glazier	X			1,300,000.00	130,000.00
	Electrician	X			100,000.00	10,000.00
	HVAC	X			42,000.00	4,200.00
	Asbestos	X			200,000.00	20,000.00
TOTALS:						170,200.00
PERCENTAGE OF TOTAL CONTRACT:						10%

MINORITY BUSINESS ENTERPRISE:

A Minority Business Enterprise (MBE) refers to the contract goal of 20% of the contract amount to be done utilizing business enterprises that are 51% or more owned, controlled and actively operated by one or more persons who are classified as part of a socially and economically disadvantaged group. Such socially disadvantaged persons include Black Americans, Hispanic Americans, Native Americans, Eskimos, Aleuts, Hasidic-Jewish Americans, Asian Pacific Americans and Asian Indian Americans. A female-owned business is NOT considered an MBE, however, under Executive Order #12138, the Contractor is encouraged to take affirmative action to assist women-owned enterprises.

The Contractor shall identify the general area(s) where it anticipates utilizing MINORITY BUSINESS ENTERPRISE (MBE) firms and the approximate dollar value. The area of work, type of materials/suppliers or nature of service shall be sufficient for this purpose. Some examples of what the JCHA intends by 'general areas' might be: "painting", "plumbing", "electric material supplier", "surveyor". The specific firm name is NOT required at this time although it may be listed at the contractor's option.

Identify by an asterisk (*) any MBE firm which is a "pass-through" business. "Pass-through" MBE firms only receive credit of 25% of the MBE contract amount.

NAME John La Rocca

President

4/19/22

TITLE OF INDIVIDUAL, PARTNER OR OFFICER OF CORPORATION
(CIRCLE ONE)

**JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS AND SPECIFICATIONS**

**GENERAL FORMS
AFFIDAVIT FOR AFFIRMATIVE
ACTION PLAN (AAP)**

STATE OF New Jersey)
)SS
COUNTY OF Hudson)

John La Rocca being first duly sworn deposes and says:
(NAME)

THAT he/she is President of the
(INDIVIDUAL, PARTNER OR OFFICER)

La Rocca, Inc. the party making a certain
(FIRM OF)

proposal or bid dated April 19, 2022 for work in connection with the
construction of Window & Curtain Wall Replacement
(INDICATE JOB NAME)

at Berry Gardens Building B (92 Danforth Avenue), located in
(NAME OF SITE[S])

Jersey City, New Jersey that such proposal or bid is submitted with full knowledge and understanding of the **28% Affirmative Action Plan (AAP)** requirements for hiring minority persons contained in the Contract section on **Employment and Contracting Opportunity Requirements** pursuant to NJSA 10:5-31 etc. that in submitting such proposal or bid the Bidder acknowledges that he/she must and will fulfill these requirements and that all statements in said proposal or bid are true.

SIGNATURE OF:

John La Rocca, President

BIDDER, IF THE BIDDER IS AN INDIVIDUAL; PARTNER, IF THE BIDDER IS A PARTNERSHIP; OFFICER, IF THE BIDDER IS A CORPORATION (CIRCLE ONE).

SUBSCRIBED AND SWORN TO ME THIS 19 DAY OF April, 2022

Carol M. La Rocca
(NOTARY PUBLIC)

CAROL M LARocca
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES MY COMMISSION EXPIRES MARCH 3, 2025

S E A L

The employment policies and practices of the:
La Rocca, Inc.

(Name of Bidder)

The Bidder stated above agrees to recruit and hire employees without discrimination because of race, sex, creed, color, or national origin, and to treat them equally with respect to compensation and opportunities for advancement, including upgrading, promotion, and transfer.

This company submits this plan to assure compliance with Executive Order No. 11246 and/or subsequent orders that may pertain to this program and to reaffirm its continued commitment to a program of affirmative action and equal employment opportunity and merit employment policies.

It agrees to assert leadership with the community and to put forth the maximum effort to achieve full employment and utilization of the capabilities and productivity of all our citizens without regard to race, sex, color, creed, or national origin.

The Bidder further recognizes that the effective application of a policy of merit employment involves more than just a policy statement and will, therefore, undertake a program of affirmative action to make known that equal employment opportunities are available on the basis of individual merit and to encourage all persons to seek employment with the Bidder and to strive for advancement of this basis.

The Bidder also agrees to abide by this plan, and will maintain its committed goals with a good faith procedure until the completion of this contract.

The Bidder's minimum minority goal utilization is: **(Fill in below for years covered by the Contract Time for Completion):**

2021	_____	%	2024	_____	%
2022	30	%	2025	_____	%
2023	30	%	2026	_____	%

SIGNATURE OF:

John La Rocca

BIDDER, IF THE BIDDER IS AN INDIVIDUAL; PARTNER, IF THE BIDDER IS A PARTNERSHIP; OFFICER, IF THE BIDDER IS A CORPORATION (CIRCLE ONE).

President

TITLE

John La Rocca

SIGNATURE OF BIDDER'S EEO REPRESENTATIVE (IF ANY)

Qualification Questionnaire

BID FOR: Window & Curtain Wall Replacement at
SITE(S) Berry Gardens Building B (92 Danforth Avenue)
NAME OF BIDDER: La Rocca, Inc.
ADDRESS: 50 Lewis Avenue
Jersey City, N.J. 07306

Qualifications enclosed are for:

- ☒ GENERAL CONTRACTOR/BIDDER
- ☐ SUBCONTRACTOR (*Important Note: If this box is checked, make additional copies of pp. 13-22 and fill out for each sub-contractor*)

NAME: _____

ADDRESS: _____

In accordance with the **Instructions to Bidders** under article on **Qualifications and Listing of Subcontractors**, the Bidder and his/her subcontractor(s) in the four (4) categories listed below shall complete the enclosed Qualification Questionnaire and submit with the bid:

- Plumbing and Gas Fitting of all kindred work.
- Steam and Hot Water Heating and Ventilating apparatus and all kindred work.
- Electrical Work.
- Structural Steel and Ornamental Iron Work.

REQUIREMENTS FOR SUBMITTED PROPOSALS IF QUALIFIED

1. Each proposal or bid must be accompanied by a Bid Guarantee as described in the article **Bid Guarantee** of the **Instructions to Bidders**. The successful bidder shall also be required to provide an Assurance of Completion as described in the article **Assurance of Completion** of the **Instructions to Bidders**. Where a surety company is to provide the Bid Guarantee and/or an Assurance of Completion, the surety company must be qualified to do business in the State of New Jersey as described in the article **Approved Surety Companies** of the **Instructions to Bidders**.

Qualification Questionnaire - Page 2

2. It shall be necessary for the Bidder to present evidence that he/she is the contractor and that the Bidder's company or firm has been in business for at least:

5 YEARS

in this particular field, and can submit a suitable record of satisfactorily completing similar projects. In addition to the above he/she shall submit evidence that his/her company has the necessary equipment to carry out this type of operation.

Important Note: It is the intent of the JCHA to absolutely prohibit general construction contracts to a firm or person that brokers out all of the work to subcontractors. General Contractors must perform at least 20% or more of the work with their own in-house work force (exclusive of administrative or supervisory work). Furthermore, contractors are to provide complete and responsive answers to Question #2h of the JCHA Qualification Questionnaire as to the percentage of the work that they will specifically perform with their own work force and the general characteristics of such work.

Subcontractors for Plumbing, Heating, Electrical and Structural Steel and Ornamental Ironwork work shall likewise comply with the above except that they shall have been in business for at least:

5 YEARS

- a. How many years have you been or engaged in construction under your present firm or trade name?

55 Years

- b. Is your firm licensed in its trade in New Jersey? No

If Yes, attach copy of license.

- c. What equipment do you own that is available and intended to be used on this contract? Provide a description as to the quantity, size, type and capacity of this equipment along with its present condition.

Equipment Name	Quantity	Size	Type	Capacity	Present Condition
"SEE ATTACHED INVENTORY OF EQUIPMENT AVAILABLE FOR THIS PROJECT"					

~Attach additional sheets if necessary~

Qualification Questionnaire - Page 3

- d. What equipment do you intend to purchase or lease for use on this contract should the contract be awarded to you? Provide a description of the quantity, size, type and capacity of the equipment you intend to lease or purchase.

None

- e. How many years has your organization been in business performing the work required under this contract?

55 Years

- f. If a corporation or limited liability company, answer the following:

f.1. - Date of incorporation 10/05/67

f.2. - State of incorporation New Jersey

f.3. - President's name(s) John La Rocca

f.4. - Vice President's name(s) None

f.5. - Name any corporation, limited liability company, partnership, limited partnership, sole proprietorship, or any other business entity, of which greater than thirty (30%) percent is beneficially or legally owned by the bidder or any of the bidder's owners, shareholders, members, director, officers or employees.

John La Rocca, President of La Rocca, Inc., a General Construction firm is also President
and Shareholder of Excelsior Plumbing Co., Inc., a Plumbing & Heating contractor.

f.6. - Name any and all trade names under which the bidder, all related entities or any of the owners of more than a 10% beneficial or legal interest have operated in the last five years.

Excelsior Plumbing Co., Inc.

Qualification Questionnaire - Page 4

g. If individual or partnership, answer the following:

g.1. - Date of organization _____

g.2. - Name and address of all partners (state whether general or limited partnership):

g.3. - Name any corporation, limited liability company, partnership, limited partnership, sole proprietorship, or any other business entity, of which greater than thirty (30%) percent is beneficially or legally owned by the bidder or any of the bidder's owners, partners, members, directors, officers or employees.

g.4. - Name any and all trade names under which the bidder, any related entities or any of the owners of more than a 10% beneficial or legal interest have operated in the last five years.

h. We normally perform 50 % of the work with our own forces. General character of work performed by our company. (*See important note in the "Requirements for Submitted Proposals If Qualified" section, #2*).

Excavation, Masonry, Concrete Construction, Site Work Construction,
Interior Renovations and Carpentry.

i. Have you or any entity controlled by you ever failed to complete any work awarded to you? No. *If Yes, state circumstances.*

Qualification Questionnaire - Page 5

- j. Has any officer or partner of your organization or any entity controlled by any officer or partner of your organization ever failed to complete a construction contract handled in his/her own name? No. *If Yes, state name of individual, name of owner and reason therefore.*
- _____
- _____
- _____
- k. Has any other officer or partner of your organization or any entity controlled by any officer or partner of your organization ever been an officer or partner or some other organization that failed to complete a construction contract? No. *If Yes, state name of individual, name of owner and reason therefore.*
- _____
- _____
- _____
- l. Have you or any principal owner of your organization or any entity controlled by you or any principal owner of your organization been the subject of an investigation by any Federal, State or Local Governmental agency or quasi-governmental agency within the past five (5) years? No. *If Yes, then state the complete particularity, the name of the Agency, the date and nature of the investigation and the disposition thereof.*
- _____
- _____
- _____
- m. Has your organization or any related entity, within the past five (5) years ever had a license, permit or certificate to do business revoked, suspended or voided? No. *If Yes, state the name of the Agency, date and nature of the revocation, suspension, etc., and the reason for same.*
- _____
- _____
- _____
- n. Are there any liens, of any character, filed against your company or any related entity at this time? No. *If Yes, specify the nature and amount of lien.*
- _____
- _____
- _____

Qualification Questionnaire - Page 6

- o. In what manner have you inspected the proposed project? *Explain in detail.*

John La Rocca, attended the Pre-Bid Conference on March 24, 2022

- p. The work, if awarded to you, will have the personal supervision of whom:

John La Rocca, President

- q. Do you intend to subcontract any portion of the work: *If so, state which portion is to be subcontracted. (See important note in the "Requirements for Submitted Proposals If Qualified" section, #2)*

Electrical, HVAC and Structural Steel.

- r. Have you made contracts or received firm offers for all materials with price in preparing your proposal. Do not give names of dealers or manufacturers.

We have received firm offers.

- s. Give trade references:

"SEE ATTACHED LIST OF TRADE REFERENCES"

- t. Give Bank references:

Bank of America, 303 Central Avenue, Jersey City, N.J. 07307
201-795-5900

- u. The Contractor shall complete the attached charts on the Status of Contracts on Hand and Contracts Completed in Last Five (5) years. All information requested is to be provided particularly the telephone numbers of parties to contact for references.

Qualification Questionnaire - Page 7

v. Each bidder shall furnish the following information by filling the appropriate blocks:

(a) Is the bidder owned or controlled by a parent company as described below?

Yes ☐

No ☒

(For the purpose of this bid, a parent company is defined as one that either owns or controls the activities and basic business policies of the bidder. To own another company means the parent company must own at least a majority (fifty percent (50%)) of the voting rights in that company. To control another company, such ownership is not required; if another company is able to formulate, determine, or veto basic business policy decisions of the bidder, such other company is considered the parent company of the bidder. This control may be exercised through the use of dominant minority voting rights, use of proxy voting, contractual arrangements or otherwise).

(b) If the answer to (a) above is "YES", bidder shall insert in the space below the name and main office address of the parent company.

NAME

ADDRESS

(c) Bidder shall insert in the applicable space below, if he/she has no parent company, his/her own employer's identification number (E.I. No.) (Federal Social Security Number or Employer's Quarterly Federal Tax Return, U.S. Treasury Department Form 941), or, of the parent company.

Bidder's E.I. No. 22-1835219

Parent Company's E.I. No.

JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS

GENERAL FORMS
STATUS OF CONTRACTS ON HAND

Give full information about all of your contractors, whether private or government contracts, whether prime or subcontract, whether in progress or awarded but not yet begun, or whether you are low bidder pending formal award of contract.

Owner's Name, Address, Telephone # (1)	Owner's A/E Name, Address, Telephone # (2)	Name and Location of Work (3)	Description of Work (4)	% of Work Performed by Bidder's Work Force (5)	Adjusted Contract Amount (6)	Balance To Be Completed (7)	Date Work Started (8)	Estimated Date of Completion (9)
Ramsey BOE 25 N. Franklin Tpk Ramsey, N.J. (201) 785-2300	Solutions Architecture 96 Pompton Ave. Verona, N.J. (973) 484-4800	Mary A. Hubbard Elementary School 10 Hubbard Lane Ramsey, N.J.	Classroom Addition	50%	3,082,600	25,000.00	5/20	5/22
Englewood Cliffs BOE 143 Charlotte Pl. Englewood Cliffs, N.J. (201) 567-7292	FKA Architects 306 Ramapo Valley Road Oakland, N.J. (201) 644-0505	Upper School 143 Charlotte Place Englewood Cliffs, N.J.	Restroom Renovations	50%	282,000.	98,000.00	11/21	4/22
Pascack Valley R. High School BOE 28W. Grand Ave. Montvale, N.J. (201) 358-7005	Solutions Architecture 96 Pompton Ave. Verona, N.J. (973) 484-4800	Pascack Hills H.S. Montvale, N.J. and Pascack Valley H.S. Hillsdale, N.J.	Toilet Renovations	50%	229,900.	229,900.00	6/22	8/22
()	()							

List the more important contracts completed by you in the last five years, stating approximate gross cost for each and the month and year completed.

Owner's Name, Address, Telephone # (1)	Owner's A/E Name, Address, Telephone # (2)	Name and Location of Work (3)	Description of Work (4)	% of Work Performed by Bidder's Work Force (5)	Date of Contract Start (6)	Date of Contract Completion (7)	Gross Amount of Contract (8)
()	()		"SEE ATTACHED LIST OF COMPLETED PROJECTS"	(5)	(6)	(7)	(8)
()	()						
()	()						
()	()						
()	()						

Qualification Questionnaire - Page 8

The undersigned hereby certifies that the Qualification answers presented herein are a true, correct and accurate statement of fact.

DATED AT: Jersey City THIS 19th

DAY OF April, 2022

NAME OF ORGANIZATION: La Rocca, Inc.

BY: John La Rocca

TITLE: President

STATE OF New Jersey

COUNTY OF: Hudson SS:

John La Rocca BEING DULY SWORN, DEPOSES AND SAYS THAT HE/SHE IS

(NAME)

President

(TITLE)

OF THE ABOVE La Rocca, Inc. AND THAT THE ANSWERS TO THE FOREGOING
(NAME OF ORGANIZATION)

QUESTIONS AND ALL STATEMENTS THEREIN CONTAINED ARE TRUE, CORRECT AND ACCURATE.

SUBSCRIBED AND SWORN TO ME THIS 19 DAY OF April, 2022.

Carol M. La Rocca
(NOTARY PUBLIC)

MY COMMISSION EXPIRES CAROL M. LARocca
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES MARCH 3, 2025

S E A L

**JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS**

**GENERAL FORMS
CERTIFICATE AS TO CORPORATE
PRINCIPAL OF CONTRACTOR**

I, Carol La Rocca for La Rocca, Inc.
(NAME OF SECRETARY) (NAME OF CONTRACTOR)

certify that I am the secretary of the corporation named as Principal in the within bond; that

John La Rocca
(NAME)

who signed the said bond on behalf of the Principal, was then

President
(TITLE)

of said corporation; that I know this, his/her signature, and his/her signature thereto is genuine;
and that said bond was duly signed, sealed and attested for and in behalf of said corporation
by authority of its governing body.

(CORPORATE SEAL)


(SIGNATURE OF SECRETARY)
Carol La Rocca, Secretary

BID FOR: Window & Curtain Wall Replacement at Berry Gardens Building B
92 Danforth Avenue

BID DATED: April 19, 2022.

JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS

GENERAL FORMS
AFFIDAVIT OF OWNERSHIP

STATE OF NEW JERSEY

N.J.S.A. 52:25-24.2

"No corporation or partnership shall be awarded any contract nor shall any agreement be entered into for the performance of any work or the furnishing of any materials or supplies, the cost of which is to be paid with or out of any public funds, unless prior to the receipt of the bid or accompanying the bid, of said corporation or said partnership, there is submitted a statement setting forth the names and addresses of all stockholders in the corporation or partnership who own 10% or more of its stock, of any class or of all individual partners in the partnership who own a 10% or greater interest therein, as the case may be. If one or more such stockholder or partner is itself a corporation or partnership, the stockholders holding 10% or more of that corporation's stock, or the individual partners owning 10% or greater interest in that partnership, as the case may be, shall also be listed. The disclosure shall be continued until names and addresses of every non-corporate stockholder and individual partner, exceeding the 10% ownership criteria established in this act, has been listed."

TO: THE HOUSING AUTHORITY OF THE CITY OF JERSEY CITY

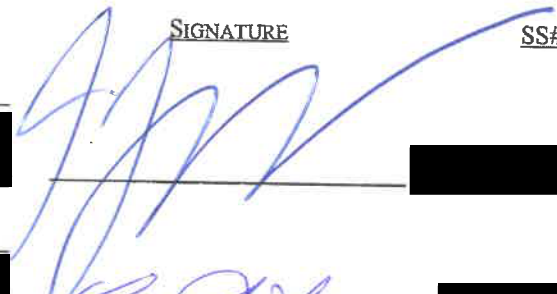


NAME OF BIDDER La Rocca, Inc.

ADDRESS 50 Lewis Avenue, Jersey City, N.J. 07306

The above firm states that the following is a complete listing of stockholders/individual partners who own ten percent (10%) or more interest in the above named firm.

☐ Partnership

☒ Corporation

<u>NAME/ADDRESS</u>	<u>SIGNATURE</u>	<u>SS#</u>	<u>% OF STOCK OR INTEREST</u>
John La Rocca			85%
Carol La Rocca			15%

SUBSCRIBED AND SWORN TO BEFORE ME, THIS 19 DAY OF April, 2022

Lorraine Ferrara
NOTARY PUBLIC

MY COMMISSION EXPIRES: Oct. 1, 23 . S E A L

Boiler Plate/Notary Public - State of New Jersey
My Commission Expires Oct 1, 2023

GF-33

Rev. 12/2021
All previous editions obsolete

JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS

GENERAL FORMS
WAGE RATE
STATEMENT OF COMPLIANCE

This is to certify that all persons employed by the undersigned and its subcontractors will be paid full weekly wages earned, less permissible deductions for income taxes, social security, etc., and that no rebates have been or will be made either directly or indirectly to the undersigned from the full weekly wages earned by any person in its employ, and further that all employees will be paid as defined in Regulations, Part 3 (29 CFR Part 3) issued by the Secretary of Labor under the Copeland Act, as amended (48 Stat. 948, 63 Stat. 108, 72 Stat. 967, 40 U.S.C. 276C), and described on said payroll; that said payroll is correct and complete; that the wage rates, as set forth in the General Wage Determinations issued under the Davis-Bacon and Related Acts, U.S. Department of Labor, Employment Standards Administration, Wage and Hour Division, (unless otherwise specified as HUD-Determined wage rates per Article 48 Labor Standards-Non-Routine Maintenance of the General Conditions), contained in said payroll for laborers, and mechanics, are not less than those applicable to such laborers and mechanics pursuant to the contract under which such work was performed; and that the classification set forth for each laborer or mechanic conforms with the work he/she performed.

BIDDER'S SIGNATURE: John La Rocca

TITLE: President

BID FOR: Window & Curtain Wall Replacement at
Berry Gardens Building B (92 Danforth Avenue)
(SITE AND NAME OF JOB)

BID DATED: April 19, 2022.

JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS

GENERAL FORMS
DISCLOSURE OF LOBBYING ACTIVITIES

Note to Bidders: This form is only to be filled out if you currently have any lobbying activities ongoing before any legislative body. IF NOT, just write "N/A" on the form and sign in the space provided below.

FEDERAL REGISTER/VOL. 54, NO. 243/WEDNESDAY, DECEMBER 20, 1989/NOTICES
 (Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
 (See reverse for public burden disclosure)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award <p style="text-align: center;">N/A</p>	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: Year _____ Quarter _____ Date of Last Report _____
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: _____		5. If Reporting Entity in No 4 is Subawardee, Enter Name and Address of Prime: Congressional District, if known: _____
6. Federal Department/Agency:	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$ _____	
10. a. Name and Address of Lobbying Entity (If individual, last name, first name, MI): (Attach Continuation Sheet(s) SF-LLL-A if necessary)	b. Individual Performing Services (including address if different from No. 10a) - (last name, first name, MI): (Attach Continuation Sheet(s) SF-LLL-A if necessary)	
11. Amount of Payment (check all that apply) \$ _____ <input type="checkbox"/> actual <input type="checkbox"/> planned	13. Type of Payment (check all that apply) <input type="checkbox"/> a. retainer <input type="checkbox"/> b. one-time fee <input type="checkbox"/> c. commission <input type="checkbox"/> d. contingent fee <input type="checkbox"/> e. deferred <input type="checkbox"/> f. other; specify: _____	
12. Form of Payment (check all that apply): <input type="checkbox"/> a. cash <input type="checkbox"/> b. in-kind; specify: nature: _____ value: _____		
14. Brief Description of Services Performed or to be Performed and Date(s) of Service, including officer(s), employee(s), or Member(s) contacted, for Payment Indicated in Item 11: (Attach Continuation Sheet(s) SF-LLL-A if necessary)		
15. Continuation Sheet(s) SF-LLL-A attached: <input type="checkbox"/> Yes <input type="checkbox"/> No		
16. Information requested through this form is authorized by title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance is placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.		Signature: _____ Print Name: John La Rocca Title: President Telephone #: 201-659-6960 Date: 4/19/22
Federal Use Only:		

Note: See also Invitation for Bid section entitled "Representation, Certifications and Other Statements of Bidders", Article 3, Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions.

**JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS**

**GENERAL FORMS
INSTRUCTIONS FOR COMPLETION OF SF-LLL
DISCLOSURE OF LOBBYING ACTIVITIES**

FEDERAL REGISTER/VOL. 54, NO. 243/WEDNESDAY, DECEMBER 20, 1989/NOTICES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. Section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number, Invitation for Bid (IFB) number, grant announcement number, the contract, grant or loan award number, the application/proposal control number assigned by the Federal agency). Include prefixes, e.g. "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal Agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) or Congress that were contacted.
15. Check whether or not a SF-LLL-A Continuation Sheet(s) is/are attached.
16. The certifying official shall sign and date the form, print his/her/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

FEDERAL REGISTER/VOL. 54, NO. 243/WEDNESDAY, DECEMBER 20, 1989/NOTICES

REPORTING ENTITY: _____ PAGE _____ OF _____

N/A

Standard Form LLL-A

**JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS**

**GENERAL FORMS
REPRESENTATIONS, CERTIFICATIONS, AND
OTHER STATEMENTS OF BIDDERS**

TABLE OF CONTENTS

<u>Clause</u>	<u>Page</u>
1. Certificate of Independent Price Determination	36
2. Contingent Fee Representation and Agreement	36
3. Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions	36
4. Organizational Conflicts of Interest Certification	37
5. Bidder's Certification of Eligibility	37
6. Minimum Bid Acceptance Period	37
7. Small, Minority, Women-Owned Business Concern Representation	37
8. Indian-Owned Economic Enterprise and Indian Organization Representation (<i>not applicable</i>)	37
9. Certification of Eligibility Under the Davis-Bacon Act	38
10. Certification of Non-segregated Facilities	38
11. Clean Air and Water Certification	38
12. Previous Participation Certificate	38
13. Bidder's Signature	38

**1. CERTIFICATE OF INDEPENDENT PRICE
DETERMINATION**

(a) The bidder certifies that--

(1) The prices in this bid have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other bidder or competitor relating to (i) those prices, (ii) the intention to submit a bid, or (iii) the methods or factors used to calculate the prices offered;

(2) The prices in this bid have not been and will not be knowingly disclosed by the bidder, directly or indirectly, to any other bidder or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a competitive proposal solicitation) unless otherwise required by law; and

(3) No attempt has been made or will be made by the bidder to induce any other concern to submit or not to submit a bid for the purpose of restricting competition.

(b) Each signature on the bid is considered to be a certification by the signatory that the signatory--

(1) Is the person in the bidder's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above; or

(2) (i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above.

→ John La Rocca [Insert full name of person(s) in the bidder's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the bidder's organization];

(ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and

(iii) As an agent, has not personally participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above.

→ Identifies items to be completed by Bidder.

(c) If the bidder deletes or modifies subparagraph (a)2 above, the bidder must furnish with its bid a signed statement setting forth in detail the circumstances of the disclosure.

☐ Contracting Officer: check if following paragraph is applicable] ←

(d) Non-collusive affidavit (applicable to contracts for construction and equipment exceeding \$50,000).

(1) Each bidder shall execute, in the form provided by the JCHA/IHA, an affidavit to the effect that he/she has not colluded with any other person, firm or corporation in regard to any bid submitted in response to this solicitation. If the successful bidder did not submit the affidavit with his/her bid, he/she must submit it within three (3) working days of bid opening. Failure to submit the affidavit by that date may render the bid non-responsive. No contract award will be made without a properly executed affidavit.

(2) A fully executed "Non-collusive Affidavit" ☒ is, ☐ is not included with the bid. (Pg. GF-3 contains Affidavit). ←

2. CONTINGENT FEE REPRESENTATION & AGREEMENT

(a) Definitions. As used in this provision:

"Bona fide employee" means a person, employed by a bidder and subject to the bidder's supervision and control as to time, place, and manner of performance, who neither exerts, nor proposes to exert improper influence to solicit or obtain contracts nor holds out as being able to obtain any contract(s) through improper influence.

"Improper influence" means any influence that induces or tends to induce a JCHA/IHA employee or officer to give consideration or to act regarding a JCHA/IHA contract on any basis other than the merits of the matter.

(b) The bidder represents and certifies as part of its bid that, except for full-time bona fide employees working solely for the bidder, the bidder:

(1) ☐ has, ☒ has not employed or retained any person or company to solicit or obtain this contract; and ←

(2) ☐ has, ☒ has not paid or agreed to pay to any person or company employed or retained to solicit or obtain this contract any commission, percentage, brokerage, or other fee contingent upon or resulting from the award of this contract. ←

(c) If the answer to either (a)(1) or (a)(2) above is affirmative, the bidder shall make an immediate and full written disclosure to the JCHA/IHA Contracting Officer.

(d) Any misrepresentation by the bidder shall give the JCHA/IHA the right to (1) terminate the contract; (2) at its discretion, deduct from contract payments the amount of any commission, percentage, brokerage, or other contingent fee; or (3) take other remedy pursuant to the contract.

**3. CERTIFICATION & DISCLOSURE REGARDING
PAYMENTS TO INFLUENCE CERTAIN FEDERAL
TRANSACTIONS (applicable to contracts exceeding
\$100,000)**

(a) The definitions and prohibitions contained in Section 1352 of title 31, United States Code, are hereby incorporated by reference in paragraph (b) of this certification.

**JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS**

**GENERAL FORMS
REPRESENTATIONS, CERTIFICATIONS, AND
OTHER STATEMENTS OF BIDDERS**

(b) The bidder, by signing its bid, hereby certifies to the best of his or her knowledge and belief as of December 23, 1989 that:

(1) No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with the awarding of a contract resulting from this solicitation;

(2) If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with this solicitation, the bidder shall complete and submit, with its bid, OMB standard form LLL, "Disclosure of Lobbying Activities" (Pgs. GF38 and GF39 contain form LLL); and

(3) He or she will include the language of this certification in all subcontracts at any tier and require that all recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.

(c) Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by section 1352, title 31, United States Code. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure form to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000 for each such failure.

(d) Indian tribes (except those chartered by States) and Indian organizations as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B) are exempt from the requirements of this provision.

4. ORGANIZATIONAL CONFLICTS OF INTEREST CERTIFICATION

The bidder certifies that to the best of its knowledge and belief and except as otherwise disclosed, he or she does not have any organizational conflict of interest which is defined as a situation in which the nature of work to be performed under this proposed contract and the bidder's organizational, financial, contractual, or other interests may, without some restriction on future activities:

(a) Result in an unfair competitive advantage to the bidder; or,
(b) Impair the bidder's objectivity in performing the contract work.

→ ☒ In the absence of any actual or apparent conflict, I hereby certify that to the best of my knowledge and belief, no actual or apparent conflict of interest exists with regard to my possible performance of this procurement.

5. BIDDER'S CERTIFICATION OF ELIGIBILITY

(a) By the submission of this bid, the bidder certifies that to the best of its knowledge and belief, neither it, nor any person or firm which has an interest in the bidder's firm, nor any of the bidder's subcontractors, is ineligible to:

(1) Be awarded contracts by any agency of the United States Government, HUD, or the State in which this contract is to be performed; or,

(2) Participate in HUD programs pursuant to 24 CFR Part 24
(b) The certification in paragraph (a) above is a material representation of fact upon which reliance was placed when making award. If it is later determined that the bidder knowingly rendered an erroneous certification, the contract may be terminated for default, and the bidder may be debarred or suspended from participation in HUD programs and other Federal contract programs.

6. MINIMUM BID ACCEPTANCE PERIOD

(a) "Acceptance period," as used in this provision, means the number of calendar days available to the JCHA/IHA for awarding a contract from the date specified in this solicitation for receipt of bids.

(b) This provision supercedes any language pertaining to the acceptance period that may appear elsewhere in this solicitation.

(c) The JCHA/IHA requires a minimum acceptance period of sixty (60) calendar days.

(d) In the space provided immediately below, bidders may specify a longer acceptance period than the JCHA's/IHA's minimum requirement. The bidder allows the following acceptance period: _____ calendar days.

(e) A bid allowing less than the JCHA's/IHA's minimum acceptance period will be rejected.

(f) The bidder agrees to execute all that it has undertaken to do, in compliance with its bid, if that bid is accepted in writing within (1) the acceptance period stated in paragraph (c) above or (2) any longer acceptance period stated in paragraph (d) above.

7. SMALL, MINORITY, WOMEN-OWNED BUSINESS CONCERN REPRESENTATION

The bidder represents and certifies as part of its bid offer that it--

(a) ☒ is, ☐ is not a small business concern. "Small business concern," as used in this provision, means a concerning, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding, and qualified as a small business under the criteria and size standards in 13 CFR 121.

(b) ☐ is, ☒ is not a women-owned business enterprise. "Women owned business enterprise," as used in this provision, means a business that is at least 51 percent owned by a women or women who are U.S. citizens and who also control and operate the business.

(c) ☐ is, ☒ is not a minority business enterprise. "Minority business enterprise," as used in this provision, means a business which is at least 51 percent owned or controlled by one or more minority group members or, in the case of a publicly owned business, at least 51 percent of its voting stock is owned by one or more minority group members, and whose management and daily operations are controlled by one or more such individuals. For the purpose of this definition, minority group members are:

(Check the box applicable to you):

<input type="checkbox"/> Eskimo	<input type="checkbox"/> Aleuts
<input type="checkbox"/> Black Americans	<input type="checkbox"/> Asian Pacific Americans
<input type="checkbox"/> Hispanic Americans	<input type="checkbox"/> Asian Indian Americans
<input type="checkbox"/> Native Americans	<input type="checkbox"/> Hasidic Jewish Americans

8. INDIAN-OWNED ECONOMIC ENTERPRISE AND INDIAN ORGANIZATION REPRESENTATION (applicable only if this solicitation is for a contract to be performed on a project for an Indian Housing Authority)

The bidder represents and certifies that it:

(a) ☐ is, ☒ is not an Indian-owned economic enterprise. "Economic enterprise," as used in this provision, means any commercial, industrial, or business activity established or organized for the purpose of profit, which is at least 51 percent Indian-owned. "Indian," as used in this provision, means any person who is a member of any tribe, band, group, pueblo, or community which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs and any "Native," as defined in the Alaska Native Claims Settlement Act.

**JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS**

**GENERAL FORMS
REPRESENTATIONS, CERTIFICATIONS, AND
OTHER STATEMENTS OF BIDDERS**

(b) ☐ is, ☐ is not an Indian organization. "Indian organization," as used in this provision, means the governing body of any Indian tribe or entity established or recognized by such governing body. Indian "tribe" means any Indian tribe, band, group, pueblo, or community including Native villages and Native groups (including corporations organized by Kenai, Juneau, Sitka and Kodiak) as defined in the Alaska Native Claims Settlement Act, which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs.

9. CERTIFICATION OF ELIGIBILITY UNDER THE DAVIS-BACON ACT (applicable to construction contracts exceeding \$2,000)

(a) By submission of this bid, the bidder certifies that neither it nor any person or firm who has an interest in the bidder's firm is a person or firm ineligible to be awarded contracts by the United States Government by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(b) No part of the contract resulting from this solicitation shall be subcontracted to any person or firm ineligible to be awarded contracts by the United States Government by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(c) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

10. CERTIFICATION OF NON-SEGREGATED FACILITIES (applicable to contracts exceeding \$10,000)

(a) The bidder's attention is called to the clause entitled Equal Employment Opportunity of the General Conditions of the Contract for Construction.

(b) "Segregated facilities," as used in this provision, means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, or national origin because of habit, local custom, or otherwise.

(c) By the submission of this bid, the bidder certifies that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The bidder agrees that a breach of this certification is a violation of the Equal Employment Opportunity clause in the contract.

(d) The bidder further agrees that (except where it has obtained identical certifications from proposed subcontractors for specific time periods) prior to entering into subcontracts which exceed \$10,000 and are not exempt from the requirements of the Equal Employment Opportunity clause, it will--

(1) Obtain identical certifications from the proposed subcontractors;

(2) Retain the certifications in its files; and

(3) Forward the following notice to the proposed subcontractors (except if the proposed subcontractors have submitted identical certifications for specific time periods):

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NON-SEGREGATED FACILITIES

A Certification of Non-segregated Facilities must be submitted before the award of a subcontract exceeding \$10,000 which is not exempt from the provisions of the Equal Employment Opportunity clause of the prime contract. The certification may be submitted either for each subcontract or for all subcontracts during a period (i.e., quarterly, semiannually, or annually).

Note: The penalty for making false statements in bids is prescribed in 18 U.S.C. 1001

11. CLEAN AIR AND WATER CERTIFICATION (applicable to contracts exceeding \$100,000)

The bidder certifies that:

(a) Any facility to be used in the performance of this contract ☐ is, ☒ is not listed on the Environmental Protection Agency List of Violating Facilities;

(b) The bidder will immediately notify the JCHA/THA Contracting Officer, before award, of the receipt of any communication from the Administrator, or a designee, of the Environmental Protection Agency, indicating that any facility that the bidder proposes to use for the performance of the contract is under consideration to be listed on the EPA List of Violating Facilities; and

(c) The bidder will include a certification substantially the same as this certification, including this paragraph (c), in every nonexempt subcontract.

12. PREVIOUS PARTICIPATION CERTIFICATE (applicable to construction and equipment contracts exceeding \$50,000)

(a) The bidder shall complete and submit with his/her bid the Form HUD-2530, "Previous Participation Certificate." If the successful bidder does not submit the certificate with his/her bid, he/she must submit it within three (3) working days of bid opening. Failure to submit the certificate by that date may render the bid nonresponsive. No contract award will be made without a properly executed certificate.

(b) A fully executed "Previous Participation Certificate" ☒ is, ☐ is not included with the bid.

13. BIDDER'S SIGNATURE

The bidder hereby certifies that the information contained in these certifications and representations is accurate, complete, and current.

4/19/22

(Signature and Date)

John La Rocca

(Typed or Printed Name)

President

(Title)

La Rocca, Inc.

(Company Name)

Previous Participation Certification

OMB Approval No. 2502-0118
(Exp. 11/30/2022)


U.S. Department of Housing and Urban Development Office of Housing/Federal Housing Commissioner

U.S. Department of Agriculture Farmers Home Administration

Part I to be completed by Controlling Participant(s) of Covered Projects (See instructions) Reason for submission:		For HUD HQ/FmHA use only	
1. Agency name and City where the application is filed Jersey City Housing Authority		2. Project Name, Project Number, City and Zip Code Window & Curtain Wall Replacement at Berry Gardens Bldg. B, Jersey City	
3. Loan or Contract amount \$	4. Number of Units or Beds	5. Section of Act	6. Type of Project (check one) <input checked="" type="checkbox"/> Existing <input type="checkbox"/> Rehabilitation <input type="checkbox"/> Proposed (New)
7. List all proposed Controlling Participants and attach complete organization chart for all organizations showing ownership % Name and address (Last, First, Middle Initial) of controlling participant(s) proposing to participate La Rocca, Inc.		8. Role of Each Principal in Project General Contractor	9. SSN or IRS Employer Number (TIN) 22-1835219

Certifications: The controlling participant(s) listed above hereby apply to HUD or USDA FmHA, as the case may be, for approval to participate as controlling participant(s) in the role(s) and project listed above. The controlling participant(s) certify that the information provided on this form and in any accompanying documentation is true and accurate. I/we acknowledge that making, presenting, or submitting a false, fictitious, or fraudulent statement, representation, or certification may result in criminal, civil, and/or administrative sanctions, including fines, penalties, and imprisonment. The controlling participant(s) further certify to the truth and accuracy of the following:

- Schedule A contains a listing, for the last ten years, of every project assisted or insured by HUD, USDA FmHA and/or State and local government housing finance agencies in which the controlling participant(s) have participated or are now participating.
- For the period beginning 10 years prior to the date of this certification, and except as shown on the certification:
 - No mortgage on a project listed has ever been in default, assigned to the Government or foreclosed, nor has it received mortgage relief from the mortgagee;
 - The controlling participants have no defaults or noncompliance under any Conventional Contract or Turnkey Contract of Sale in connection with a public housing project;
 - There are no known unresolved findings as a result of HUD audits, management reviews or other Governmental investigations concerning the controlling participants or their projects;
 - There has not been a suspension or termination of payments under any HUD assistance contract due to the controlling participant's fault or negligence;
 - The controlling participants have not been convicted of a felony and are not presently the subject of a complaint or indictment charging a felony. (A felony is defined as any offense punishable by imprisonment for a term exceeding one year, but does not include any offense classified as a misdemeanor under the laws of a State and punishable by imprisonment of two years or less);
 - The controlling participants have not been suspended, debarred or otherwise restricted by any Department or Agency of the Federal Government or of a State Government from doing business with such Department or Agency;
 - The controlling participants have not defaulted on an obligation covered by a surety or performance bond and have not been the subject of a claim under an employee fidelity bond.
- All the names of the controlling participants who propose to participate in this project are listed above.
- None of the controlling participants is a HUD/FmHA employee or a member of a HUD/FmHA employee's immediate household as defined in Standards of Ethical Conduct for Employees of the Executive Branch in 5 C.F.R. Part 2635 (57 FR 35006) and HUD's Standard of Conduct in 24 C.F.R. Part O and USDA's Standard of Conduct in 7 C.F.R. Part O Subpart B.
- None of the controlling participants is a participant in an assisted or insured project as of this date on which construction has stopped for a period in excess of 20 days or which has been substantially completed for more than 90 days and documents for closing, including final cost certification, have not been filed with HUD or FmHA.
- None of the controlling participants have been found by HUD or FmHA to be in noncompliance with any applicable fair housing and civil rights requirements in 24 CFR 5.105(a). (If any controlling participants have been found to be in noncompliance with any requirements, attach a signed statement explaining the relevant facts, circumstances, and resolution, if any).
- None of the controlling participants is a Member of Congress or a Resident Commissioner nor otherwise prohibited or limited by law from contracting with the Government of the United States of America.
- Statements above (if any) to which the controlling participant(s) cannot certify have been deleted by striking through the words with a pen, and the controlling participant(s) have initialed each deletion (if any) and have attached a true and accurate signed statement (if applicable) to explain the facts and circumstances.

Name of Controlling Participant John La Rocca	Signature of Controlling Participant 	Certification Date (mm/dd/yyyy) 04/19/2022	Area Code and Telephone No. 201-659-6960
This form prepared by (print name) John La Rocca		Area Code and Telephone No. 201-659-6960	

Schedule A: List of Previous Projects and Section 8 Contracts. By my name below is the complete list of my previous projects and my participation history as a principal in Multifamily Housing programs of HUD/FmHA, State and Local Housing Finance Agencies. Note: Read and follow the instruction sheet carefully. Abbreviate where possible. Make full disclosure. Add extra sheets if you need more space. Double check for accuracy. If you have no previous projects, write by your name, "No previous participation, First Experience."

1. List each Principal's Name (list in alphabetical order, last name first)	2. List Previous Projects (give the I.D. number, project name, city location, & government agency involved if other than HUD)	3. List Principals' Role(s) (Indicate dates participated, and if fee or identity of interest participant)	4. Status of Loan (current, defaulted, assigned or foreclosed)	5. Was Project ever in Default during your participation? Yes No If 'Yes', explain	6. Last Mgmt. And/or Physical Inspection Rating
La Rocca, Inc.	WNY Housing Auth. NJ30-5 McGowan Bldg. Apt. Bathroom Ren. Community Rm. Imp.	General Construct. 12/01 - 5/02		X	
	WNY Housing Auth. NJ30-6 Otis Gardens Communit Rm. Imp.	General Construct. 1/02 - 2/02		X	
	WNY Housing Auth. NJ30-7 FDR Bldg. Authority Office Ren.	General Construct. 12/02 - 4/02		X	
	Jersey City H. Auth. NJ9-2 Marlon Gardens & B.T. Washington Int. Stair Repl.	General Construct. 7/99 - 7/00		X	
	Jersey City H. Auth. NJ9-1 Lafayette Gard. Int. Stair Repl.	General Construct. 6/00 - 10/00		X	
	Jersey City H. Auth. NJ9-1 Lafayette Gard. NJ9-2 Marlon Gardens Int. Stair Repl.	General Construct. 1/00 - 2/01		X	

Part II - For HUD Internal Processing Only

Received and checked by me for accuracy and completeness; recommend approval or transfer to Headquarters as checked below:

Date (mm/dd/yyyy)

Area Code/Telephone Number

Staff

Processing and Control

☐ A. No Adverse information; form HUD-2530 approval is recommended

☐ C. Disclosure or Certification program

Supervisor

☐ B. Name match in system
Director of Housing/Director, Multifamily Division

☐ D. Other, our memorandum is attached.

Approved

☐ Yes ☐ No

Date (mm/dd/yyyy)

Instructions for Completing the Previous Participation Certificate, form HUD-2530

Carefully read these instructions and the applicable regulations. A copy of the regulations published at 24 C.F.R. part 200, subpart H, § 200.210-200.222 can be obtained on-line at www.gpo.gov and from the Account Executive at any HUD Office. Type or print neatly in ink when filling out this form. Incomplete form will be returned to the applicant.

Attach extra sheets as you need them. Be sure to indicate "Continued on Attachments" wherever appropriate. Sign each additional page that you attach if it refers to you or your record. **Carefully read the certification before you sign it.** Any questions regarding the form or how to complete it can be answered by your HUD Account Executive.

Purpose: This form provides HUD/USDA FmHA with a certified report of all previous participation in relevant HUD/USDA programs by those parties submitting the application. The information requested in this form is used by HUD/USDA to determine if you meet the standards established to ensure that all controlling participants in HUD/USDA projects will honor their legal, financial and contractual obligations and are of acceptable risks from the underwriting standpoint of an insurer, lender or governmental agency. HUD requires that you certify and submit your record of previous participation, in relevant projects, by completing and signing this form, before your participation can be approved.

HUD approval of your certification is a necessary precondition for your participation in the project and in the capacity that you propose. If you do not file this certification, do not furnish the information requested accurately, or do not meet established standards, HUD will not approve your certification.

Note that approval of your certification does not obligate HUD to approve your project application, and it does not satisfy all other HUD program requirements relative to your qualifications.

Who Must Sign and File Form HUD-2530: Form HUD-2530 must be completed and signed by all Controlling Participants of Covered Projects, as such terms are defined in 24 CFR part 200 §200.212, and as further clarified by the Processing Guide (HUD notice H 2016-15) referenced in 24 CFR §200.210(b) and available on the HUD website at: http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/mfh/prevparticipation.

Where and When Form HUD-2530 Must Be Filed: The original of this form must be submitted to the HUD Office where your project application will be processed at the same time you file your initial project application. This form must be filed with applications for projects listed in 24 CFR §200.214 and for the Triggering Events listed at 24 CFR §200.218.

Review of Adverse Determination: If approval of your participation in a HUD project is denied, withheld, or conditionally granted on the basis of your record of previous participation, you will be notified by the HUD Office. You may request reconsideration in accordance with 24 CFR §200.222 and further clarified by the Processing Guide. Request must be made in writing within 30 days from your receipt of the notice of determination.

The Department of Housing and Urban Development (HUD) is authorized to collect this information by law 42 U.S.C. 3535(d) and by regulation at 24 CFR 200.210. This information is needed so that principals applying to participate in multifamily programs can become HUD-approved controlling participants. The information you provide will enable HUD to evaluate your record with respect to established standards of performance, responsibility and eligibility. Without prior approval, a controlling participant may not participate in a proposed or existing multifamily or healthcare project. HUD uses this information to evaluate whether or not controlling participants pose an unsatisfactory underwriting risk. The information is used to evaluate the potential controlling participants and approve only individuals and organizations that will honor their legal, financial and contractual obligations.

Privacy Act Statement: The Housing and Community Development Act of 1987, 42 U.S.C. 3543 requires persons applying for a Federally-insured or guaranteed loan to furnish his/her Social Security Number (SSN). HUD must have your SSN for identification of your records. HUD may use your SSN for automated processing of your records and to make requests for information about you and your previous records with other public agencies and private sector sources. HUD may disclose certain information to Federal, State and local agencies when relevant to civil, criminal, or regulatory investigations and prosecutions. It will not be otherwise disclosed or released outside of HUD, except as required and permitted by law. You must provide all of the information requested in this application, including your SSN.

Public reporting burden for this collection of information is estimated to average three hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

A response is mandatory. Failure to provide any of the information will result in your disapproval of participation in this HUD program.



STATE OF NEW JERSEY
DEPARTMENT OF THE TREASURY
DIVISION OF PURCHASE AND PROPERTY

33 WEST STATE STREET, P.O. BOX 230
TRENTON, NEW JERSEY 08625-0230

DISCLOSURE OF INVESTMENT ACTIVITIES IN IRAN FORM

BID SOLICITATION #:

VENDOR/BIDDER:

La Rocca, Inc.

PART 1

CERTIFICATION

VENDOR/BIDDER MUST COMPLETE PART 1 BY CHECKING ONE OF THE BOXES
FAILURE TO CHECK ONE OF THE BOXES WILL RENDER THE PROPOSAL NON-RESPONSIVE

Pursuant to Public Law 2012, c. 25, any person or entity that submits a bid or proposal or otherwise proposes to enter into or renew a contract must complete the certification below to attest, under penalty of perjury, that neither the person nor entity, nor any of its parents, subsidiaries, or affiliates, is identified on the Department of the Treasury's Chapter 25 list as a person or entity engaged in investment activities in Iran. The Chapter 25 list is found on the Division's website at <http://www.state.nj.us/treasury/purchase/pdf/Chapter25List.pdf>. Vendors/Bidders must review this list prior to completing the below certification. Failure to complete the certification will render a Vendor's/Bidder's proposal non-responsive. If the Director of the Division of Purchase and Property finds a person or entity to be in violation of the law, s/he shall take action as may be appropriate and provided by law, rule or contract, including but not limited to, imposing sanctions, seeking compliance, recovering damages, declaring the party in default and seeking debarment or suspension of the party.

CHECK THE APPROPRIATE BOX

X A. I certify, pursuant to Public Law 2012, c. 25, that neither the Vendor/Bidder listed above nor any of its parents, subsidiaries, or affiliates is listed on the N.J. Department of the Treasury's list of entities determined to be engaged in prohibited activities in Iran pursuant to P.L. 2012, c. 25 ("Chapter 25 List"). Disregard Part 2 and complete and sign the Certification below.

OR

B. I am unable to certify as above because the Vendor/Bidder and/or one or more of its parents, subsidiaries, or affiliates is listed on the Department's Chapter 25 list. I will provide a detailed, accurate and precise description of the activities in Part 2 below and sign and complete the Certification below. Failure to provide such information will result in the proposal being rendered as nonresponsive and appropriate penalties, fines and/or sanctions will be assessed as provided by law.

PART 2

PLEASE PROVIDE ADDITIONAL INFORMATION RELATED TO INVESTMENT ACTIVITIES IN IRAN

If you checked Box "B" above, provide a detailed, accurate and precise description of the activities of the Vendor/Bidder, or one of its parents, subsidiaries or affiliates, engaged in the investment activities in Iran by completing the boxes below.

ENTITY NAME:

RELATIONSHIP TO VENDOR/BIDDER:

DESCRIPTION OF ACTIVITIES:

DURATION OF ENGAGEMENT:

ANTICIPATED CESSATION DATE:

VENDOR/BIDDER CONTACT NAME:

VENDOR/BIDDER CONTACT PHONE No.:

Attach Additional Sheets If Necessary.

CERTIFICATION

I, the undersigned, certify that I am authorized to execute this certification on behalf of the Vendor/Bidder, that the foregoing information and any attachments hereto, to the best of my knowledge are true and complete. I acknowledge that the State of New Jersey is relying on the information contained herein, and that the Vendor/Bidder is under a continuing obligation from the date of this certification through the completion of any contract(s) with the State to notify the State in writing of any changes to the information contained herein; that I am aware that it is a criminal offense to make a false statement or misrepresentation in this certification. If I do so, I will be subject to criminal prosecution under the law, and it will constitute a material breach of my agreement(s) with the State, permitting the State to declare any contract(s) resulting from this certification void and unenforceable.

Signature

John La Rocca, President

Print Name and Title

April 19, 2022

Date

Subject: **Notice of Classification**
Date: 3/18/2021 8:13:12 AM Eastern Standard Time
From: CClass@treas.state.nj.us
To: laroccainc@aol.com

LA ROCCA INC
50 LEWIS AVE
JERSEY CITY, NJ 07306

State of New Jersey



DEPARTMENT OF THE TREASURY
DIVISION OF PROPERTY MANAGEMENT AND CONSTRUCTION
33 WEST STATE STREET - P.O. BOX 034
TRENTON, NEW JERSEY 08625-0034



NOTICE OF CLASSIFICATION

In accordance with N.J.S.A. 18A:18A-27 et seq (Department of Education) and N.J.S.A. 52:35-1 (Department of the Treasury) and any rules and regulations issued pursuant hereto, you are hereby notified of your classification to do State work for the Department (s) as previously noted.

Aggregate Amount	Trade(s) & License(s)	Effective Date	Expiration Date
\$15,000,000	C008 -GENERAL CONSTRUCTION	03/23/2021	03/22/2023

- Licenses associated with certain trades are on file with the Division of Property Management & Construction (DPMC).
- Current license information must be verified prior to bid award.
- A copy of the DPMC 701 Form (Total Amount of Uncompleted Projects) may be accessed from the DPMC website at http://www.state.nj.us/treasury/dpmc/Assets/Files/dpmc-27_03_07.pdf.

ANY ATTEMPT BY A CONTRACTOR TO ALTER OR MISREPRESENT ANY INFORMATION CONTAINED IN THIS FORM MAY RESULT IN PROSECUTION AND/OR DEBARMENT, SUSPENSION OR DISQUALIFICATION. INFORMATION ON AGGREGATE AMOUNTS CAN BE VERIFIED ON THE DPMC WEB SITE.



State of New Jersey

DEPARTMENT OF THE TREASURY
DIVISION OF PROPERTY MANAGEMENT AND CONSTRUCTION
33 W. STATE STREET
PO BOX 034
TRENTON, NEW JERSEY 08625-0034

REPLY TO:
TEL: (609) 943-3400
FAX: (609) 292-7651

TOTAL AMOUNT OF UNCOMPLETED CONTRACTS

(This form is to be used with the NOTICE OF CLASSIFICATION when submitting bids to the Department of Education.)

I Certify that the amount of uncompleted work on contracts is \$ 352,900.00

The amount claimed includes uncompleted portions of all currently held contracts from all sources (public and private) in accordance with N.J.A.C. 17:19-2.13.

I further certify that the amount of this bid proposal, including all outstanding incomplete contracts does not exceed my prequalification dollar limit.

Affix
corporate
seal
here

Respectfully submitted,

By La Rocca, Inc.
Name of Firm
[Signature]
Signature John La Rocca
President
Title

Sworn to and
subscribed before me

This 19 day of April
2022

[Signature]
Notary Public

CAROL M LARocca

NOTARY PUBLIC OF NEW JERSEY

MY COMMISSION EXPIRES MARCH 3, 2025

50 Lewis Avenue
Business Address

Jersey City, New Jersey 07306

201-659-6960

Phone

CONTRACTOR NOTICE OF PREQUALIFICATION

for
La Rocca, Inc.
50 Lewis Ave.
Jersey City, NJ 07306

In accordance with N.J.S.A. 18A:7G-41 and any rules and regulations issued pursuant hereto, your firm has been approved with the NJSDA for Prequalification:

Effective Date: March 22, 2021

Expiration Date: March 22, 2023

Aggregate Limit: \$15 Million

<input type="checkbox"/> Construction Manager as Constructor	<input type="checkbox"/> Sprinkler Systems	<input type="checkbox"/> Pile Driving
<input type="checkbox"/> Design Build	<input type="checkbox"/> Sheet Metal (Mechanical)	<input type="checkbox"/> Prefabrication Buildings
<input checked="" type="checkbox"/> General Construction	<input type="checkbox"/> Electrical	<input type="checkbox"/> Prefabrication Music/Sound Clean Rooms
<input type="checkbox"/> General Construction/Alterations & Additions	<input type="checkbox"/> Communications Systems	<input type="checkbox"/> Relocatable Buildings
<input type="checkbox"/> Partitions/Ceilings	<input type="checkbox"/> Fire Alarm/Signal Systems	<input type="checkbox"/> Asbestos Removal/Treatment
<input type="checkbox"/> Doors & Hardware	<input type="checkbox"/> Security/Intrusion Alarms	<input type="checkbox"/> Asbestos Removal/Mechanical
<input type="checkbox"/> Windows	<input type="checkbox"/> Audio Visual Systems	<input type="checkbox"/> Waste Removal Toxic/Hazardous
<input type="checkbox"/> Siding & Gutters	<input type="checkbox"/> Site Work	<input type="checkbox"/> Radon Mitigation
<input type="checkbox"/> Carpeting	<input type="checkbox"/> Sewage & Water Treatment Plants	<input type="checkbox"/> Lead Paint Abatement
<input type="checkbox"/> Flooring/Tile	<input type="checkbox"/> Sewer Piping & Storm Drains	<input type="checkbox"/> Detention Equipment Systems
<input type="checkbox"/> Millwork	<input type="checkbox"/> Landscape Construction	<input type="checkbox"/> Energy Management Systems
<input type="checkbox"/> Insulation	<input type="checkbox"/> Underground Water & Utilities	<input type="checkbox"/> Elevators
<input type="checkbox"/> Acoustical	<input type="checkbox"/> Road Construction & Paving	<input type="checkbox"/> Museum Exhibits
<input type="checkbox"/> Concrete/Foundation Footings/Masonry Work	<input type="checkbox"/> Athletic Fields/Tracks/Courts	<input type="checkbox"/> Test Boring
<input type="checkbox"/> Gunite	<input type="checkbox"/> Athletic Fields/Synthetic Turf	<input type="checkbox"/> Well Drilling
<input type="checkbox"/> Demolition	<input type="checkbox"/> Pumping Stations	<input type="checkbox"/> Microbial Remediation
<input type="checkbox"/> Fencing	<input type="checkbox"/> Landscape Irrigation	<input type="checkbox"/> Food Service Equipment
<input type="checkbox"/> Historical Light Fixture Restoration	<input type="checkbox"/> Roofing-Membrane EPDM	<input type="checkbox"/> School Furnishings
<input type="checkbox"/> Historical Restoration	<input type="checkbox"/> Roofing-Membrane PVC/CPE/CSPE	<input type="checkbox"/> Lab Furniture/Equipment
<input type="checkbox"/> Pre-Cast Concrete	<input type="checkbox"/> Roofing-Membrane Modified Bitumen	<input type="checkbox"/> Seating/Bleachers
<input type="checkbox"/> Curtain Walls	<input type="checkbox"/> Roofing-Urethane	<input type="checkbox"/> Swimming Pools
<input type="checkbox"/> Architectural Cast Iron	<input type="checkbox"/> Roofing-Built Up	<input type="checkbox"/> Dust Collectors
<input type="checkbox"/> Welding	<input type="checkbox"/> Roofing-Metal	<input type="checkbox"/> Signage & Graphics
<input type="checkbox"/> Structural Steel & Ornamental Iron	<input type="checkbox"/> Roofing-Tile/Slate/Shingles	<input type="checkbox"/> Septic Systems
<input type="checkbox"/> Plumbing	<input type="checkbox"/> Caulking & Waterproofing	<input type="checkbox"/> Stage Equipment
<input type="checkbox"/> Oil & Gas Burners	<input type="checkbox"/> Scaffolding	<input type="checkbox"/> Underground Storage Tanks/Closure & Installation
<input type="checkbox"/> HVAC/R	<input type="checkbox"/> Roofing-Historical Sites	<input type="checkbox"/> Underground Storage Tanks/Installation
<input type="checkbox"/> Boilers (New Repair)	<input type="checkbox"/> Roofing-TPO	<input type="checkbox"/> Underground Storage Tanks/Closure
<input type="checkbox"/> Service Station	<input type="checkbox"/> Painting-General	<input type="checkbox"/> UST/Tank Testing
<input type="checkbox"/> Solar Energy Systems	<input type="checkbox"/> Painting-Tanks/Steel Structures/Elevated Structures	<input type="checkbox"/> Underground Storage Tanks/Corrosion Protection Systems Analysis
<input type="checkbox"/> Energy Services (ESCO)	<input type="checkbox"/> Painting-Historical Sites	<input type="checkbox"/> Above Ground Storage Tanks
<input type="checkbox"/> Geothermal Loop Systems	<input type="checkbox"/> Sandblasting	<input type="checkbox"/> Site Remediation
<input type="checkbox"/> Fireproof Applications	<input type="checkbox"/> Divers	<input type="checkbox"/> Inside Plant Cable
<input type="checkbox"/> Insulation (Mechanical)	<input type="checkbox"/> Barges	<input type="checkbox"/> Outside Plant Cable
<input type="checkbox"/> Fire Suppression Systems	<input type="checkbox"/> Bulkhead & Docks	<input type="checkbox"/> Fiber Installation & Splicing
<input type="checkbox"/> Control Systems	<input type="checkbox"/> Jetty & Breakwater	
<input type="checkbox"/> Parking & Control Systems	<input type="checkbox"/> Dredging	

ANY ATTEMPT TO ALTER OR MISREPRESENT ANY INFORMATION CONTAINED IN THIS NOTICE MAY RESULT IN PROSECUTION, DEBARMENT, AND/OR DISQUALIFICATION.

Information contained in this notice can be verified at: <https://sda03.njsda.gov/PublicReportsUI/VendorSearch.aspx>

NJSDA Risk Management – Prequalification Unit – 609-858-5356

NJSDA TOTAL AMOUNT OF UNCOMPLETED CONTRACTS

I certify that the amount of uncompleted construction work (defined as the total contract value of unbilled work - including public and private) as of the bid due date is \$ 352,900.00

A firm may **exclude** from "uncompleted construction work" the following:

- "Non-at-Risk" construction management services (also known as "fee only" construction management services) where the firm is acting strictly as an agent for the owner.
- On any project where the firm was awarded a single prime contract which encompasses work to be performed by a subcontractor in a principal trade defined as plumbing and gas fitting; steam and hot water heating and ventilation; electrical; or structural steel and ornamental iron work, the firm may calculate the value of the awarded contract by deducting eighty-five percent (85%) of the actual subcontract price of the work to be performed by principal trade(s) from the actual price of the contract awarded to it. The firm will have the burden of proving that the work is encompassed by the principal trades and the value of the amount of work performed by those principal trades.

I further certify that the amount of this bid proposal, including the amount listed above does not exceed my New Jersey Schools Development Authority, Aggregate Rating limit.

Respectfully submitted,



By: La Rocca, Inc.

Name of Firm

Signature

John La Rocca, President

Type or Print Name and Title

50 Lewis Avenue

Business Address

Jersey City, New Jersey 07306

201-659-6960

Telephone

Date: 4/19/22

TEL: (201) 659-6960

La Rocca, Inc.

FAX: (201) 659-0886

BUILDERS - CONTRACTORS

50 LEWIS AVENUE
JERSEY CITY, N.J. 07306

STATUS OF CONTRACTS ON HAND

OWNERS NAME, ADDRESS & TEL. #	OWNER ARCHIT/ ENGINEER NAME, ADDRESS & TEL. #.	NAME & LOCATION OF WORK	DESCRIPTION OF WORK	% OF WORK BY BIDDER'S WORK FORCE	ADJUSTED CONTRACT AMOUNT	BALANCE TO BE COMPLETED	DATE WORK STARTED	ESTIMATED DATE OF COMPLETION
Ramsey Board of Education 25 N. Franklin Tpk. Ramsey, N.J. 07446 (201) 785-2300	Solutions Architecture 96 Pompton Ave. Verona, N.J. 07044 (973) 484-4800	Mary A. Hubbard Elementary School 10 Hubbard Lane Ramsey, N.J.	Classroom Addition	50%	\$3,082,600.00	\$25,000.00	5/26/20	05/05/22
Englewood Cliffs BOE 143 Charlotte Place Englewood Cliffs, N.J. 07632 (201) 567-7292	FKA Architects 306 Ramapo Valley Road Oakland, N.J. 07436 (201) 644-0505	Upper School 143 Charlotte Place Englewood Cliffs, N.J.	Restroom Renovations	50%	\$282,000.00	\$98,000.00	11/02/21	04/15/22
Pascack Valley Regional High School Board of Education 28 W. Grand Avenue Montvale, N.J. 07645 (201) 358-7005	Solutions Architecture 96 Pompton Ave. Verona, N.J. 07044 (973-484-4800	Pascack Hills H.S Montvale, N.J. And Pascack Valley H.S Hillsdale, N.J.	Toilet Renovations	50%	\$229,900.00	\$229,900.00	06/20/22	08/26/22

09/19/01

LA ROCCA, INC.
50 LEWIS AVE
JERSEY CITY NJ 07306

Taxpayer Identification# 221-835-219/000

Dear Business Representative:

Recently enacted State law (Public Law 2001, c.134) requires all contractors and subcontractors with State, county and municipal agencies to provide proof of their registration with the Department of the Treasury, Division of Revenue. The law became effective September 1, 2001.

Our records indicate that you are currently registered with the Division of Revenue, and accordingly, we have attached a Proof of Registration Certificate for your use. If you are currently under contract or entering into a contract with a State, county or local agency, you must provide a copy of the certificate to the contracting agency.

Please note that the law sets forth penalties for non-compliance with the provisions above. See N.J.S.A. 54:52-20.

Finally, please note that the new law amended Section 92 of the Casino Control Act, which deals with the casino service industry.


Should you have any questions or require more information about the attached certificate, or are involved with the casino service industry, call (609) 292-1730.

Thank you in advance for your consideration and cooperation.

Sincerely,

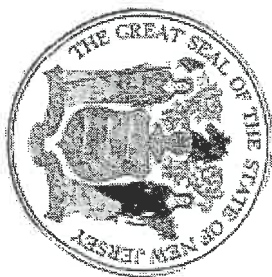


Patricia A. Chiacchio
Director, Division of Revenue

STATE OF NEW JERSEY BUSINESS REGISTRATION CERTIFICATE FOR STATE AGENCY AND CASINO SERVICE CONTRACTORS		DEPARTMENT OF TREASURY DIVISION OF REVENUE PO BOX 252 TRENTON, NJ 08646-0252
TAXPAYER NAME: LA ROCCA, INC.	TRADE NAME:	
TAXPAYER IDENTIFICATION# 221-835-219/000	CONTRACTOR CERTIFICATION# 0097215	
ADDRESS 50 LEWIS AVE JERSEY CITY NJ 07306	ISSUANCE DATE: 09/19/01	
EFFECTIVE DATE 10/05/67	 Director, Division of Revenue	
FORM-BRC(08-01)	This Certificate is NOT assignable or transferable. It must be conspicuously displayed at above address.	

Certificate Number
604330

Registration Date: 04/08/2021
Expiration Date: 04/07/2023



State of New Jersey

Department of Labor and Workforce Development Division of Wage and Hour Compliance

Public Works Contractor Registration Act

Pursuant to N.J.S.A. 34:11-56.48, et seq. of the Public Works Contractor Registration Act, this certificate of registration is issued for purposes of bidding on any contract for public work or for engaging in the performance of any public work to:

2021
La Rocca, Inc.

Responsible Representative(s):
John La Rocca, President

Responsible Representative(s):
Carol La Rocca, Secretary

Handwritten signature of Robert Asaro-Angelo.

Robert Asaro-Angelo, Commissioner
Department of Labor and Workforce Development

NON TRANSFERABLE

This certificate may not be transferred or assigned and may be revoked for cause by the Commissioner of Labor and Workforce Development.



State of New Jersey

PHIL MURPHY
Governor

DEPARTMENT OF THE TREASURY
DIVISION OF REVENUE & ENTERPRISE SERVICES
P.O. BOX 026
TRENTON, NJ 08625-034
PHONE: 609-292-2146 FAX: 609-984-6679

SHEILA OLIVER
Lt. Governor

ELIZABETH MAHER MUOIO
State Treasurer

APPROVED

under the
Small Business Set-Aside Act

This certificate acknowledges LA ROCCA, INC. as a Category 2 & 4 approved Small Business (SBE) that has met the criteria established by N.J.A.C. 17:13 and/or 17:14..

This registration will remain in effect for three years. Annually the business must submit, not more than 60 days prior to the anniversary of the registration notice, an annual verification statement in which it shall attest that there is no change in the ownership, revenue eligibility or control of that business.

If the business fails to submit the annual verification statement by the anniversary date, the SBE registration will lapse and the business SBE status will be revoked in the New Jersey Selective Assistance Vendor information (NJSAVI) database that lists registered Small businesses. If the business seeks to be registered again, it will have to reapply and complete a new application



Peter Lowicki
Deputy Director

Issued: 2/10/2021
Certification Number: A0139-56

Expiration: 2/10/2024

STATE OF NEW JERSEY
CERTIFICATE OF AUTHORITY

DIVISION OF TAXATION
TRENTON, NEW JERSEY
08646

The person, partnership or corporation named below is hereby authorized to collect:

NEW JERSEY SALES & USE TAX

pursuant to: **N.J.S.A. 54:32B-1 ET SEQ.**

This authorization is good **ONLY** for the named person at the location specified herein.

This authorization is null and void if any change of ownership or address is effected.

Beslie A. Thompson
Director, Division of Taxation

**LA ROCCA INC
50 LEWIS AVE
JERSEY CITY NJ 07306**

Tax Registration No. **221-835-219/000**
Tax Effective Date **12-01-67**
Document Locator No. **A0000221998**
Date issued **08-31-93**

This Certificate is NOT assignable or transferable. It must be conspicuously displayed at above address.

CERTIFICATE OF EMPLOYEE INFORMATION REPORT**RENEWAL**

This is to certify that the contractor listed below has submitted an Employee Information Report pursuant to N.J.A.C. 17:27-1.1 et. seq. and the State Treasurer has approved said report. This approval will remain in effect for the period of

15-APR-2015 to 15-APR-2022

LA ROCCA, INC.
50 LEWIS AVE.
JERSEY CITY

NJ 07306



A handwritten signature in black ink, appearing to read "Andrew P. Sidamon-Eristoff".

Andrew P. Sidamon-Eristoff
State Treasurer

(201) 659-6960

La Rocca, Inc.

FAX: (201) 659-0886

BUILDERS - CONTRACTORS

50 LEWIS AVENUE
JERSEY CITY, N.J. 07306

RE: Trade References

Eastern Concrete Materials

250 Pehle Ave., Plaza One, Suite 503
Saddle Brook, NJ 07663
(201) 797-7979
Contact – Preston Sisco

Maresca Lumber & Building Materials, Inc.

69 Newark Pompton Turnpike
Pequannock, NJ 07440
(973) 696-0909
Contact – Frank Maresca

Kuiken Brothers Company, Inc.

485 River Road
Garfield, NJ 07026
(973) 272-0800
Contact – Arthur Alfieri



LAROC-1

OP ID: SR

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

03/07/2022

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Michael Bello Insurance P.O. Box 2149 Cliffside Park, NJ 07010 Phillip Mastrotto		201-943-3575		CONTACT NAME: Susan Reitano	
				PHONE (A/C, No, Ext): 201-943-3575	FAX (A/C, No):
				E-MAIL ADDRESS: susan@michaelbelloagency.com	
				INSURER(S) AFFORDING COVERAGE	
				INSURER A: Penn National Insurance	
				INSURER B:	
				INSURER C:	
				INSURER D:	
				INSURER E:	
				INSURER F:	

INSURED La Rocca, Inc. 50 Lewis Ave Jersey City, NJ 07306	NAIC # 32441
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COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC <input type="checkbox"/> OTHER:			CX90770260	03/05/2022	03/05/2023	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 250,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 3,000,000 PRODUCTS - COMP/OP AGG \$ 3,000,000 COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY						\$ \$ \$ \$ \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$ 10000 WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input type="checkbox"/> Y/N If yes, describe under DESCRIPTION OF OPERATIONS below			UL90770260	03/05/2022	03/05/2023	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000 \$ PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

CERTIFICATE HOLDER

CANCELLATION

Evidence of Insurance

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

CERTIFICATE OF LIABILITY INSURANCE

ISSUING DATE (MM/DD/YYYY)

09/07/2021

THIS CERTIFICATE ISSUED IS FOR INFORMATION PURPOSES ONLY. IT PROVIDES NO RIGHTS TO THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, ALTER OR EXTEND COVERAGE PROVIDED BY THE POLICIES LISTED BELOW. THIS CERTIFICATE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE CARRIER AFFORDING COVERAGE AND THE CERTIFICATE HOLDER.

STATEMENT ON THIS CERTIFICATE DOES NOT PROVIDE RIGHTS TO THE CERTIFICATE HOLDER FOR THE FOLLOWING UNLESS THE APPLICABLE ENDORSEMENTS ARE ATTACHED TO THE POLICY(IES) LISTED BELOW

ADDITIONAL INSURED/ALTERNATE EMPLOYER/WAIVER OF SUBROGATION/PRIMARY & NON-CONTRIBUTORY/NOTICE OF CANCELLATION: THE POLICY(IES) MUST HAVE THE NECESSARY ENDORSEMENT(S) TO MODIFY TERMS AND CONDITIONS.

INSURED:

3 Rocca Inc
300 Additional Remarks Schedule
100 Lewis Ave
New Jersey City, NJ 07306-6107

INSURANCE CARRIER AFFORDING COVERAGE:

NAIC #

GENERAL LIABILITY:

AUTO LIABILITY:

New Jersey Manufacturers Insurance Company

12122

UMBRELLA LIABILITY:

WORKERS COMP:

New Jersey Manufacturers Insurance Company

12122

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

TYPE OF INSURANCE	POLICY NUMBER	POLICY PERIOD (MM/DD/YYYY) - (MM/DD/YYYY)	LIMITS OF INSURANCE
COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> OCCURRENCE GENERAL AGGREGATE LIMIT APPLIES: <input type="checkbox"/> POLICY <input type="checkbox"/> LOCATION <input type="checkbox"/> PROJECT			EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Each Occurrence) \$ MED EXP (Any One Person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODS - COMP/OPS AGG \$
AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input checked="" type="checkbox"/> OWNED AUTOS ONLY <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS	C8751117 CAGM	10/08/2021 - 10/08/2022	COMBINED SINGLE LIMIT (Each accident) \$ 1,000,000 BODILY INJURY (Per Person) \$ BODILY INJURY (Per Accident) \$ PROPERTY DAMAGE (Per accident) \$ EACH OCCURRENCE \$ AGGREGATE \$
UMBRELLA LIABILITY <input type="checkbox"/> OCCURRENCE <input type="checkbox"/> RETENTION \$			E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE-EACH EMPLOYEE \$ 1,000,000 E.L. DISEASE-POLICY LIMIT \$ 1,000,000 PER STATUTE NJ
WORKERS COMPENSATION AND EMPLOYERS' LIABILITY <input checked="" type="checkbox"/> ANY PROPRIETOR/PARTNER/EXECUTIVE <input checked="" type="checkbox"/> OFFICER/MEMBER EXCLUDED?	W385260	10/05/2021 - 10/05/2022	

SEE ATTACHED ADDITIONAL REMARKS SCHEDULE FOR DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES

CERTIFICATE HOLDER

3 Rocca Inc
100 Lewis Ave
New Jersey City, NJ 07306-6107

ADDITIONAL INSURED (IF APPLICABLE)

ADDL INSURED OR ALTERNATE EMPLOYER	WAIVER OF SUBROGATION	PRIMARY & NON- CONTRIBUTORY
<input type="checkbox"/> CGL	<input type="checkbox"/> CGL	<input type="checkbox"/> CGL
<input checked="" type="checkbox"/> AUTO	<input type="checkbox"/> AUTO	<input type="checkbox"/> AUTO
<input type="checkbox"/> WC (ALT. EMPLOYER)	<input type="checkbox"/> WC	N/A WC
<input type="checkbox"/> UMB	<input type="checkbox"/> UMB	<input type="checkbox"/> UMB NON-CONTRIB

CANCELLATION

IF ANY OF THE ABOVE CAPTIONED POLICIES BE CANCELLED, EITHER BY REQUEST OF THE INSURED OR CARRIER, PRIOR TO THE EXPIRATION DATE, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY TERMS, CONDITIONS & PROVISIONS

[Signature]

AUTHORIZED REPRESENTATIVE



TEL: (201) 659-6960

La Rocca, Inc.

FAX: (201) 659-0886

BUILDERS - CONTRACTORS

50 LEWIS AVENUE
JERSEY CITY, N.J. 07306

The following is a list of equipment that is available and intended to be used on the proposed project

QTY.	ITEM	DESCRIPTION, SIZE, CAPACITY, ETC.	YEAR
1	CASE SKID STEER (BOBCAT)	40XT	2002
1	LULL FORKLIFT	10,000 LBS.	2002
1	CAT LOADER	3 YARD - Caterpillar	1970
1	VAN	DODGE	1996
1	VAN	GMC	2005
1	DUMP TRUCK	FORD - 10 YARD	1987
1	DUMP TRUCK	GMC - 3 YARD	1997
1	CASE BACKHOE	1 ½ YARDS	1996
1	CASE BACKHOE	1 ½ YARDS	1997
2	COMPRESSORS	180 CFM	1989
1	Power Treading Machine	Rigid	1992
1	Torch Burning Outfit	Air-Con	1980
3	90 lb. Air Hammers	Chicago - Pneumatic	1985-1990
1	80 lb. Air Hammer	Chicago - Pneumatic	1984
3	Electric Chipping Hammers	Bosch	1993
2	Power Saws	Milwaukee	1990

Tanya/LaRocca/Correspondence/Equipment List

United States Department of Labor
Office of Apprenticeship Training, Employer and Labor Services
Bureau of Apprenticeship and Training

Certificate of Registration

N. J. STATE COUNCIL OF CARPENTERS

CARPENTER RES. CAR, MILLWRIGHT, CABINMAKER FL. COV.

*Registered as part of the National Apprenticeship Program
in accordance with the basic standards of apprenticeship
established by the Secretary of Labor*

SEPTEMBER 5, 2000

Date

NJ004000026

Registration No.

Secretary of Labor

R. S. Chao

Assistant Secretary
Administration, Apprenticeship Training, Employer and Labor Services

The United States Department of Labor



Bureau of Apprenticeship and Training Certificate of Registration

N. J. CONSTRUCTION CRAFT LABORERS APPRENTICESHIP PROGRAM
MONROE TOWNSHIP, NEW JERSEY

for the trade classification of CONSTRUCTION CRAFT LABORER

*Registered as part of the National Apprenticeship Program
in accordance with the basic standards of apprenticeship
established by the Secretary of Labor*

Date SEPTEMBER 5, 2000

Registration No. 10629

Secretary of Labor

Alfred M. Allen

Anthony Durso
Director, Bureau of Apprenticeship and Training

TEL: (201) 659-6960

FAX: (201) 659-0866

La Rocca, Inc.

BUILDERS - CONTRACTORS

50 LEWIS AVENUE
JERSEY CITY, N.J. 07306

EMPLOYEE LIST

Name	Position	Year started in Construction	Prior positions and Experience in construction
John La Rocca	President	1981	35 Years construction experience and President of Excelsior Plumbing
Ralph Alfieri	Head job Superintendent	1970	Site supervisor, 46 years experience in concrete structures industrial/commercial buildings.

Tanya/La Rocca Projects/Correspondence/Employees & Experience

(201) 659-6960

La Rocca, Inc.

FAX: (201) 659-0886

BUILDERS - CONTRACTORS

50 LEWIS AVENUE
JERSEY CITY, N.J. 07306

COMPLETED PROJECTS

Fire Damage Repairs at Fairfield Concession Stand

Township of Fairfield

\$460,771.73

July 2021 – December 2021

Solutions Architecture – 973-484-4800

96 Pompton Avenue

Verona, N.J. 07044

Bathroom Upgrades at Kroll Heights Apartments

Secaucus Housing Authority

\$439,774.00

April 2021 – November 2021

Habitech Architecture – 609-413-2566

12 Pinecrest Drive

Medford, N.J. 08055

Shower Repairs at Bergen New Bridge Medical Center Paramus, N.J.

Bergen County Improvement Authority

\$561,000.00

September 2020 – April 2021

RSC Architects – 201-941-3040

3 University Plaza Drive, Suite 600

Hackensack, N.J. 07601

Building Alterations at James W. Roe Memorial Pool

Township of Wayne

\$909,052.88

September 2019 – April 2020

Fox Architectural Design, PC – 973-970-9355

546 State Rte. 10W

Ledgewood, N.J. 07852

**Gymnasium Addition/Creative Arts Suite Build-Out
Teaneck Community Charter School**

\$2,943,620.87

November 2017 – January 2020

Gertler & Wente Architects LLP – 212-273-9888

145 W 30th Street

New York, N.Y. 10001

**Toilet Renovations & Corridor & Classroom
Floor Replacement**

Charles DeWolf Middle School

Old Tappan Board of Education

\$515,000.00

June 2019 – October 2019

Di Cara Rubino Architects – 973-256-0202

30 Galesi Drive

Wayne, N.J. 07470

Bathroom Renovations

Bayonne City Hall

City of Bayonne

\$112,500.00

June 2019 – September 2019

CME Associates – 732-727-8000

3141 Bordentown Avenue

Parlin, N.J. 08859

Bathroom Renovation

Honiss School

Dumont Board of Education

\$95,778.00

June 2019 – September 2019

Environetics Group Architects P.C. – 201-894-1000

180 Sylvan Avenue

Englewood Cliffs, N.J. 07632

Restroom Upgrades

Elmora Branch Library

Elizabeth Free Public Library

\$75,904.00

January 2019 – August 2019

USA Architects – 908-722-2300

20 N. Doughty Avenue

Somerville, N.J. 08876

Breezeways Security Enclosure

Pascack Hills High School, Montvale, N.J.

Pascack Valley Regional High School

Board of Education

\$181,500.00

December 2018 – May 2019

Environetics Group Architects P.C. – 201-894-1000

180 Sylvan Avenue

Englewood Cliffs, N.J. 07632

New Fire Suppression System & Suspended Ceiling

Oakland Senior Center

\$383,053.70

January 2019 – April 2019

Daniel Dressel, Architect – 201-370-6293

19 Edstan Drive

Moonachie, N.J. 07074

Faculty Toilet Room Renovations

Upper School

Englewood Cliffs Board of Education

\$176,348.00

June 2018 – October 2018

Di Cara Rubino Architects – 973-256-0202

30 Galesi Drive

Wayne, N.J. 07470

Rehabilitation of Latrines & Showers

The National Guard Armory, Jersey City

State of New Jersey Department of

Military & Veterans Affairs

\$581,232.00

October 2017 – August 2018

Design Resources Group Architect – 732-560-7900

400 Franklin Square Drive, Suite 402

Somerset, N.J. 08873

Facility Renovations

NJ DOT/Ramsey Maintenance Facility

State of New Jersey DPMC

\$3,388,680.00

November 2016 - December 2017

LAN Associates – 201-447-6400

445 Godwin Avenue

Midland Park, N.J. 07432

**Toilet Room Renovations at
Upper School**

Englewood Cliffs Board of Education

\$117,772.50

October 2017 – December 2017

Di Cara Rubino Architects – 973-256-0202

30 Galesi Drive

Wayne, N.J. 07470

**Toilet Renovation at
East Brook School**

Paramus Board of Education

\$350,400.00

June 2017 – September 2017

Environetics Group Architects – 201-894-1000

180 Sylvan Avenue

Englewood Cliffs, N.J. 07632

**Toilet Renovation at
West Brook School**

Paramus Board of Education

\$209,985.00

June 2017 – September 2017

Environetics Group Architects – 201-894-1000

180 Sylvan Avenue

Englewood Cliffs, N.J. 07632

**Infrastructure Upgrade – Restroom Renovation
Midland School**

Rochelle Park Board of Education

\$301,498.00

June 2016 – October 2016

Environetics Group Architects – 201-894-1000

180 Sylvan Avenue

Englewood Cliffs, N.J. 07632

**New Recreation Center at
Hollywood Avenue Park**

Township of Fairfield

\$5,692,899.52

January 2015 – September 2016

Solutions Architecture – 973-484-4800

81 Clay Street

Newark, N.J. 07104

LA ROCCA, INC.
BALANCE SHEETS
SEE INDEPENDENT ACCOUNTANT'S REVIEW REPORT
DECEMBER 31, 2021 AND 2020

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See accompanying notes.

Qualification Questionnaire

BID FOR: Window & Curtain Wall Replacement at
SITE(S) Berry Gardens Building B (92 Danforth Avenue)
NAME OF BIDDER: La Rocca, Inc.
ADDRESS: 50 Lewis Avenue
Jersey City, N.J. 07306

Qualifications enclosed are for:

- ☐ GENERAL CONTRACTOR/BIDDER
- ☐ SUBCONTRACTOR (*Important Note: If this box is checked, make additional copies of pp. 13-22 and fill out for each sub-contractor*)

NAME: Excelsior Plumbing Co., Inc.
ADDRESS: 50 Lewis Avenue
Jersey City, N.J. 07306

In accordance with the **Instructions to Bidders** under article on **Qualifications and Listing of Subcontractors**, the Bidder and his/her subcontractor(s) in the four (4) categories listed below shall complete the enclosed Qualification Questionnaire and **submit with the bid**:

- Plumbing and Gas Fitting of all kindred work.
- Steam and Hot Water Heating and Ventilating apparatus and all kindred work.
- Electrical Work.
- Structural Steel and Ornamental Iron Work.

REQUIREMENTS FOR SUBMITTED PROPOSALS IF QUALIFIED

1. Each proposal or bid must be accompanied by a Bid Guarantee as described in the article **Bid Guarantee** of the **Instructions to Bidders**. The successful bidder shall also be required to provide an Assurance of Completion as described in the article **Assurance of Completion** of the **Instructions to Bidders**. Where a surety company is to provide the Bid Guarantee and/or an Assurance of Completion, the surety company must be qualified to do business in the State of New Jersey as described in the article **Approved Surety Companies** of the **Instructions to Bidders**.

Qualification Questionnaire - Page 2

2. It shall be necessary for the Bidder to present evidence that he/she is the contractor and that the Bidder's company or firm has been in business for at least:

5 YEARS

in this particular field, and can submit a suitable record of satisfactorily completing similar projects. In addition to the above he/she shall submit evidence that his/her company has the necessary equipment to carry out this type of operation.

Important Note: It is the intent of the JCHA to absolutely prohibit general construction contracts to a firm or person that brokers out all of the work to subcontractors. General Contractors must perform at least 20% or more of the work with their own in-house work force (exclusive of administrative or supervisory work). Furthermore, contractors are to provide complete and responsive answers to Question #2h of the JCHA Qualification Questionnaire as to the percentage of the work that they will specifically perform with their own work force and the general characteristics of such work.

Subcontractors for Plumbing, Heating, Electrical and Structural Steel and Ornamental Ironwork work shall likewise comply with the above except that they shall have been in business for at least:

5 YEARS

- a. How many years have you been or engaged in construction under your present firm or trade name?

36 Years

- b. Is your firm licensed in its trade in New Jersey? Yes
If Yes, attach copy of license.

- c. What equipment do you own that is available and intended to be used on this contract? Provide a description as to the quantity, size, type and capacity of this equipment along with its present condition.

Equipment Name	Quantity	Size	Type	Capacity	Present Condition
"SEE ATTACHED INVENTORY OF EQUIPMENT AVAILABLE FOR THIS PROJECT"					

~Attach additional sheets if necessary~

Qualification Questionnaire - Page 3

- d. What equipment do you intend to purchase or lease for use on this contract should the contract be awarded to you? Provide a description of the quantity, size, type and capacity of the equipment you intend to lease or purchase.

None

- e. How many years has your organization been in business performing the work required under this contract?

36 Years

- f. If a corporation or limited liability company, answer the following:

f.1. - Date of incorporation 05/28/86

f.2. - State of incorporation New Jersey

f.3. - President's name(s) John La Rocca

f.4. - Vice President's name(s) None

f.5. - Name any corporation, limited liability company, partnership, limited partnership, sole proprietorship, or any other business entity, of which greater than thirty (30%) percent is beneficially or legally owned by the bidder or any of the bidder's owners, shareholders, members, director, officers or employees.

John La Rocca, President of Excelsior Plumbing Co., Inc. is also President

and Shareholder of La Rocca, Inc., a General Constructgion Firm.

f.6. - Name any and all trade names under which the bidder, all related entities or any of the owners of more than a 10% beneficial or legal interest have operated in the last five years.

La Rocca, Inc.

Qualification Questionnaire - Page 4

g. If individual or partnership, answer the following:

g.1. - Date of organization _____

g.2. - Name and address of all partners (state whether general or limited partnership):

g.3. - Name any corporation, limited liability company, partnership, limited partnership, sole proprietorship, or any other business entity, of which greater than thirty (30%) percent is beneficially or legally owned by the bidder or any of the bidder's owners, partners, members, directors, officers or employees.

g.4. - Name any and all trade names under which the bidder, any related entities or any of the owners of more than a 10% beneficial or legal interest have operated in the last five years.

h. We normally perform 90 % of the work with our own forces. General character of work performed by our company. (*See important note in the "Requirements for Submitted Proposals If Qualified" section, #2).*

Plumbing, Heating including Pipes, Valves, Fittings, Heat Exchangers, Storage Vessels, Pumps, Condensant Pumps & Fire Pumps.

i. Have you or any entity controlled by you ever failed to complete any work awarded to you? No. *If Yes, state circumstances.*

Qualification Questionnaire - Page 5

- j. Has any officer or partner of your organization or any entity controlled by any officer or partner of your organization ever failed to complete a construction contract handled in his/her own name? No. *If Yes, state name of individual, name of owner and reason therefore.*
- _____
- _____
- _____
- k. Has any other officer or partner of your organization or any entity controlled by any officer or partner of your organization ever been an officer or partner of some other organization that failed to complete a construction contract? No. *If Yes, state name of individual, name of owner and reason therefore.*
- _____
- _____
- _____
- l. Have you or any principal owner of your organization or any entity controlled by you or any principal owner of your organization been the subject of an investigation by any Federal, State or Local Governmental agency or quasi-governmental agency within the past five (5) years? No. *If Yes, then state the complete particularity, the name of the Agency, the date and nature of the investigation and the disposition thereof.*
- _____
- _____
- _____
- m. Has your organization or any related entity, within the past five (5) years ever had a license, permit or certificate to do business revoked, suspended or voided? No. *If Yes, state the name of the Agency, date and nature of the revocation, suspension, etc., and the reason for same.*
- _____
- _____
- _____
- n. Are there any liens, of any character, filed against your company or any related entity at this time? No. *If Yes, specify the nature and amount of lien.*
- _____
- _____
- _____

Qualification Questionnaire - Page 6

- o. In what manner have you inspected the proposed project? *Explain in detail.*
John La Rocca, attended the Pre-Bid Conference on March 24, 2022

- p. The work, if awarded to you, will have the personal supervision of whom:
John La Rocca

- q. Do you intend to subcontract any portion of the work: *If so, state which portion is to be subcontracted. (See important note in the "Requirements for Submitted Proposals If Qualified" section, #2)*
No

- r. Have you made contracts or received firm offers for all materials with price in preparing your proposal. Do not give names of dealers or manufacturers.
We have received firm offers.

- s. Give trade references:
General Plumbing Supply, 540 Thomas Blvd., Orange, N.J. 07050
973-414-9477

- t. Give Bank references:
Bank of America, 303 Central Avenue, Jersey City, N.J. 07307
201-795-5900

- u. The Contractor shall complete the attached charts on the Status of Contracts on Hand and Contracts Completed in Last Five (5) years. All information requested is to be provided particularly the telephone numbers of parties to contact for references.

Qualification Questionnaire - Page 7

v. Each bidder shall furnish the following information by filling the appropriate blocks:

(a) Is the bidder owned or controlled by a parent company as described below?

Yes ☐

No ☒

(For the purpose of this bid, a parent company is defined as one that either owns or controls the activities and basic business policies of the bidder. To own another company means the parent company must own at least a majority (fifty percent (50%)) of the voting rights in that company. To control another company, such ownership is not required; if another company is able to formulate, determine, or veto basic business policy decisions of the bidder, such other company is considered the parent company of the bidder. This control may be exercised through the use of dominant minority voting rights, use of proxy voting, contractual arrangements or otherwise).

(b) If the answer to (a) above is "YES", bidder shall insert in the space below the name and main office address of the parent company.

NAME

ADDRESS

(c) Bidder shall insert in the applicable space below, if he/she has no parent company, his/her own employer's identification number (E.I. No.) (Federal Social Security Number or Employer's Quarterly Federal Tax Return, U.S. Treasury Department Form 941), or, of the parent company.

Bidder's E.I. No. 22-2720176

Parent Company's E.I. No.

JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS

GENERAL FORMS
STATUS OF CONTRACTS ON HAND

Give full information about all of your contractors, whether private or government contracts, whether prime or subcontract; whether in progress or awarded but not yet begun, or whether you are low bidder pending formal award of contract.

Owner's Name, Address, Telephone # (1)	Owner's A/E Name, Address, Telephone # (2)	Name and Location of Work (3)	Description of Work (4)	% of Work Performed by Bidder's Work Force (5)	Adjusted Contract Amount (6)	Balance To Be Completed (7)	Date Work Started (8)	Estimated Date of Completion (9)
()	()	"SEE ATTACHED LIST OF STATUS OF CONTRACTS ON HAND"						
()	()							
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()	()							

JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS

GENERAL FORMS
CONTRACTS COMPLETED IN LAST FIVE (5) YEARS

List the more important contracts completed by you in the last five years, stating approximate gross cost for each and the month and year completed.

Owner's Name, Address, Telephone # (1)	Owner's A/E Name, Address, Telephone # (2)	Name and Location of Work (3)	Description of Work (4)	% of Work Performed by Bidder's Work Force (5)	Date of Contract Start (6)	Date of Contract Completion (7)	Gross Amount of Contract (8)
()	()		"SEE ATTACHED LIST OF COMPLETED PROJECTS"		(6)	(7)	(8)
()	()						
()	()						
()	()						
()	()						

Qualification Questionnaire - Page 8

The undersigned hereby certifies that the Qualification answers presented herein are a true, correct and accurate statement of fact.

DATED AT: Jersey City THIS 19th

DAY OF April, 2022

NAME OF ORGANIZATION: Excelsior Plumbing Co., Inc.

BY: John La Rocca

TITLE: President

STATE OF New Jersey

COUNTY OF: Hudson SS:

John La Rocca BEING DULY SWORN, DEPOSES AND SAYS THAT HE/SHE IS

(NAME)

President

(TITLE)

OF THE ABOVE Excelsior Plumbing Co., Inc. AND THAT THE ANSWERS TO THE FOREGOING

(NAME OF ORGANIZATION)

QUESTIONS AND ALL STATEMENTS THEREIN CONTAINED ARE TRUE, CORRECT AND ACCURATE.

SUBSCRIBED AND SWORN TO ME THIS 19 DAY OF April, 2022.

Carol M. La Rocca
(NOTARY PUBLIC)

MY COMMISSION EXPIRES CAROL M LARocca

NOTARY PUBLIC OF NEW JERSEY

MY COMMISSION EXPIRES MARCH 3, 2025

S E A L

Subject: **Notice of Classification**
 Date: 8/11/2021 8:59:51 AM Eastern Standard Time
 From: CClass@treas.state.nj.us
 To: laroccainc@aol.com

EXCELSIOR PLUMBING CO INC
 50 LEWIS AVE
 JERSEY CITY, NJ 07306

State of New Jersey



DEPARTMENT OF THE TREASURY
 DIVISION OF PROPERTY MANAGEMENT AND CONSTRUCTION
 33 WEST STATE STREET - P.O. BOX 034
 TRENTON, NEW JERSEY 08625-0034

NOTICE OF CLASSIFICATION



In accordance with N.J.S.A. 18A:18A-27 et seq (Department of Education) and N.J.S.A. 52:35-1 (Department of the Treasury) and any rules and regulations issued pursuant hereto, you are hereby notified of your classification to do State work for the Department (s) as previously noted.

Aggregate Amount	Trade(s) & License(s)	Effective Date	Expiration Date
\$8,000,000	C032 -HVACR license #: 19HC00029500 C030 -PLUMBING license #: 36B100838100	08/13/2021 08/13/2021	08/12/2023

- Licenses associated with certain trades are on file with the Division of Property Management & Construction (DPMC).
- Current license information must be verified prior to bid award.
- A copy of the DPMC 701 Form (Total Amount of Uncompleted Projects) may be accessed from the DPMC website at http://www.state.nj.us/treasury/dpmc/Assets/Files/dpmc-27_03_07.pdf.

ANY ATTEMPT BY A CONTRACTOR TO ALTER OR MISREPRESENT ANY INFORMATION CONTAINED IN THIS FORM MAY RESULT IN PROSECUTION AND/OR DEBARMENT, SUSPENSION OR DISQUALIFICATION. INFORMATION ON AGGREGATE AMOUNTS CAN BE VERIFIED ON THE DPMC WEB SITE.



State of New Jersey

DEPARTMENT OF THE TREASURY
DIVISION OF PROPERTY MANAGEMENT AND CONSTRUCTION
33 W. STATE STREET
PO BOX 034
TRENTON, NEW JERSEY 08625-0034

REPLY TO:
TEL: (609) 943-3400
FAX: (609) 292-7651

TOTAL AMOUNT OF UNCOMPLETED CONTRACTS

(This form is to be used with the NOTICE OF CLASSIFICATION when submitting bids to the Department of Education.)

I Certify that the amount of uncompleted work on contracts is \$ 772,151.00 .

The amount claimed includes uncompleted portions of all currently held contracts from all sources (public and private) in accordance with N.J.A.C. 17:19-2.13.

I further certify that the amount of this bid proposal, including all outstanding incomplete contracts does not exceed my prequalification dollar limit.

Affix
corporate
seal
here

Respectfully submitted,

By Excelsior Plumbing Company, Inc.

Name of Firm

Signature

John La Rocca

President

Title

Sworn to and
subscribed before me

This 19 day of April
2022

Carol M. LaRocca
Notary Public

CAROL M LARocca
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES MARCH 3, 2025

50 Lewis Avenue

Business Address

Jersey City, N.J. 07306

201-659-6960

Phone

**CONTRACTOR NOTICE OF PREQUALIFICATION**

for
Excelsior Plumbing Co., Inc.
50 Lewis Ave.
Jersey City, NJ 07306

In accordance with N.J.S.A. 18A:7G-41 and any rules and regulations issued pursuant hereto, your firm has been approved with the NJSDA for Prequalification:

Effective Date: August 17, 2021

Expiration Date: August 12, 2023

Aggregate Limit: \$8 Million

<input type="checkbox"/> Construction Manager as Constructor	<input type="checkbox"/> Sprinkler Systems	<input type="checkbox"/> Pile Driving
<input type="checkbox"/> Design Build	<input type="checkbox"/> Sheet Metal (Mechanical)	<input type="checkbox"/> Prefabrication Buildings
<input type="checkbox"/> General Construction	<input type="checkbox"/> Electrical	<input type="checkbox"/> Prefabrication Music/Sound Clean Rooms
<input type="checkbox"/> General Construction/Alterations & Additions	<input type="checkbox"/> Communications Systems	<input type="checkbox"/> Relocatable Buildings
<input type="checkbox"/> Partitions/Ceilings	<input type="checkbox"/> Fire Alarm/Signal Systems	<input type="checkbox"/> Asbestos Removal/Treatment
<input type="checkbox"/> Doors & Hardware	<input type="checkbox"/> Security/Intrusion Alarms	<input type="checkbox"/> Asbestos Removal/Mechanical
<input type="checkbox"/> Windows	<input type="checkbox"/> Audio Visual Systems	<input type="checkbox"/> Waste Removal Toxic/Hazardous
<input type="checkbox"/> Siding & Gutters	<input type="checkbox"/> Site Work	<input type="checkbox"/> Radon Mitigation
<input type="checkbox"/> Carpeting	<input type="checkbox"/> Sewage & Water Treatment Plants	<input type="checkbox"/> Lead Paint Abatement
<input type="checkbox"/> Flooring/Tile	<input type="checkbox"/> Sewer Piping & Storm Drains	<input type="checkbox"/> Detention Equipment Systems
<input type="checkbox"/> Millwork	<input type="checkbox"/> Landscape Construction	<input type="checkbox"/> Energy Management Systems
<input type="checkbox"/> Insulation	<input type="checkbox"/> Underground Water & Utilities	<input type="checkbox"/> Elevators
<input type="checkbox"/> Acoustical	<input type="checkbox"/> Road Construction & Paving	<input type="checkbox"/> Museum Exhibits
<input type="checkbox"/> Concrete/Foundation Footings/ Masonry Work	<input type="checkbox"/> Athletic Fields/Tracks/Courts	<input type="checkbox"/> Test Boring
<input type="checkbox"/> Gunite	<input type="checkbox"/> Athletic Fields/Synthetic Turf	<input type="checkbox"/> Well Drilling
<input type="checkbox"/> Demolition	<input type="checkbox"/> Pumping Stations	<input type="checkbox"/> Microbial Remediation
<input type="checkbox"/> Fencing	<input type="checkbox"/> Landscape Irrigation	<input type="checkbox"/> Food Service Equipment
<input type="checkbox"/> Historical Light Fixture Restoration	<input type="checkbox"/> Roofing-Membrane EPDM	<input type="checkbox"/> School Furnishings
<input type="checkbox"/> Historical Restoration	<input type="checkbox"/> Roofing-Membrane PVC/CPE/CSPE	<input type="checkbox"/> Lab Furniture/Equipment
<input type="checkbox"/> Pre-Cast Concrete	<input type="checkbox"/> Roofing-Membrane Modified Bitumen	<input type="checkbox"/> Seating/Bleachers
<input type="checkbox"/> Curtain Walls	<input type="checkbox"/> Roofing-Urethane	<input type="checkbox"/> Swimming Pools
<input type="checkbox"/> Architectural Cast Iron	<input type="checkbox"/> Roofing-Built Up	<input type="checkbox"/> Dust Collectors
<input type="checkbox"/> Welding	<input type="checkbox"/> Roofing-Metal	<input type="checkbox"/> Signage & Graphics
<input type="checkbox"/> Structural Steel & Ornamental Iron	<input type="checkbox"/> Roofing-Tile/Slate/Shingles	<input type="checkbox"/> Septic Systems
<input checked="" type="checkbox"/> Plumbing	<input type="checkbox"/> Caulking & Waterproofing	<input type="checkbox"/> Stage Equipment
<input type="checkbox"/> Oil & Gas Burners	<input type="checkbox"/> Scaffolding	<input type="checkbox"/> Underground Storage Tanks/Closure & Installation
<input checked="" type="checkbox"/> HVACR	<input type="checkbox"/> Roofing-Historical Sites	<input type="checkbox"/> Underground Storage Tanks/Installation
<input type="checkbox"/> Boilers (New Repair)	<input type="checkbox"/> Roofing-TPO	<input type="checkbox"/> Underground Storage Tanks/Closure
<input type="checkbox"/> Service Station	<input type="checkbox"/> Painting-General	<input type="checkbox"/> UST/Tank Testing
<input type="checkbox"/> Solar Energy Systems	<input type="checkbox"/> Painting-Tanks/Steel Structures/ Elevated Structures	<input type="checkbox"/> Underground Storage Tanks/ Corrosion Protection Systems Analysis
<input type="checkbox"/> Energy Services (ESCO)	<input type="checkbox"/> Painting-Historical Sites	<input type="checkbox"/> Above Ground Storage Tanks
<input type="checkbox"/> Geothermal Loop Systems	<input type="checkbox"/> Sandblasting	<input type="checkbox"/> Site Remediation
<input type="checkbox"/> Fireproof Applications	<input type="checkbox"/> Divers	<input type="checkbox"/> Inside Plant Cable
<input type="checkbox"/> Insulation (Mechanical)	<input type="checkbox"/> Barges	<input type="checkbox"/> Outside Plant Cable
<input type="checkbox"/> Fire Suppression Systems	<input type="checkbox"/> Bulkhead & Docks	<input type="checkbox"/> Fiber Installation & Splicing
<input type="checkbox"/> Control Systems	<input type="checkbox"/> Jetty & Breakwater	
<input type="checkbox"/> Parking & Control Systems	<input type="checkbox"/> Dredging	

ANY ATTEMPT TO ALTER OR MISREPRESENT ANY INFORMATION CONTAINED IN THIS NOTICE MAY RESULT IN PROSECUTION, DEBARMENT, AND/OR DISQUALIFICATION.

Information contained in this notice can be verified at: <https://sda03.njsda.gov/PublicReportsUI/VendorSearch.aspx>

NJSDA TOTAL AMOUNT OF UNCOMPLETED CONTRACTS

I certify that the amount of uncompleted construction work (defined as the total contract value of unbilled work - including public and private) as of the bid due date is \$ 772,151.00

A firm may **exclude** from "uncompleted construction work" the following:

- "Non-at-Risk" construction management services (also known as "fee only" construction management services) where the firm is acting strictly as an agent for the owner.
- On any project where the firm was awarded a single prime contract which encompasses work to be performed by a subcontractor in a principal trade defined as plumbing and gas fitting; steam and hot water heating and ventilation; electrical; or structural steel and ornamental iron work, the firm may calculate the value of the awarded contract by deducting eighty-five percent (85%) of the actual subcontract price of the work to be performed by principal trade(s) from the actual price of the contract awarded to it. The firm will have the burden of proving that the work is encompassed by the principal trades and the value of the amount of work performed by those principal trades.

I further certify that the amount of this bid proposal, including the amount listed above does not exceed my New Jersey Schools Development Authority, Aggregate Rating limit.

Respectfully submitted,



By: Excelsior Plumbing Co., Inc.

Name of Firm

Signature

John La Rocca, President

Type or Print Name and Title

50 Lewis Avenue

Business Address

Jersey City, New Jersey 07306

201-659-6960

Telephone

Date: 4/19/22

TEL: (201) 659-6960

FAX: (201) 659-0886

Excelsior Plumbing Company, Inc.

PLUMBING AND HEATING CONTRACTORS

50 LEWIS AVENUE
JERSEY CITY, N.J. 07306

STATUS OF CONTRACTS ON HAND

OWNER'S NAME, ADDRESS & TELEPHONE #	OWNER'S ARCHITECT/ ENGINEER'S NAME, ADDRESS & TELEPHONE #	NAME & LOCATION OF WORK	DESCRIPTION OF WORK	% OF WORK PERFORMED BY BIDDER'S WORK FORCE	ADJUSTED CONTRACT AMOUNT	BALANCE TO BE COMPLETED	DATE WORK STARTED	ESTIMATED DATE OF COMPLETION
P.A.C.O 346 Central Avenue Jersey City, 07307 (201) 864-3774 For Jersey City Housing Authority 400 U.S. Highway #1 Jersey City, N.J. 07306 (201) 547-8987	Remington & Vernick Engineers 211 Kings Highway E. Haddonfield, N.J. 08033 (856) 795-9595	Berry Gardens 72-82 Danforth Avenue Jersey City, N.J.	Heating and Hot Water Replacement	90%	\$777,000.00	\$72,970.00	6/7/21	4/15/22
Englewood Cliffs BOE 143 Charlotte Place Englewood Cliffs, N.J. 07632 (201) 567-7292	FKA Architects 306 Ramapo Valley Road Oakland, N.J. 07436 (201) 644-0505	Upper School 143 Charlotte Place Englewood Cliffs, N.J.	Restroom Renovations	90%	\$65,000.00	\$15,000.00	11/2/21	4/20/22
Hudson County Improvement Authority 830 Bergen Ave., 3 rd Floor Jersey City, N.J. 07306	Netta Architects, LLC 1084 Route 22 West Mountainside, N.J. 07092	Honorable Frank J. Guarini Justice Complex 826 Central Avenue Jersey City, N.J.	F&I Underground Storm/Sanitary & Materials	90%	\$250,000.00	\$45,140.00	7/12/21	
Honeywell Building Solutions 115 Tabor Road Morris Plains, N.J. 07950 (973) 455-2469 For Piscataway Township Schools 1515 Stelton Road Piscataway, N.J. 08854	LAN Associates 445 Godwin Avenue Midland Park, N.J. 07432 (201) 447-6400	District Wide Energy Savings Improvement Program	ECM-10 Water Conservation	100%	\$725,041.00	\$639,041.00	1/24/22	8/19/22

State Of New Jersey
New Jersey Office of the Attorney General
Division of Consumer Affairs

THIS IS TO CERTIFY THAT THE
Board of Exam. of Master Plumbers

H

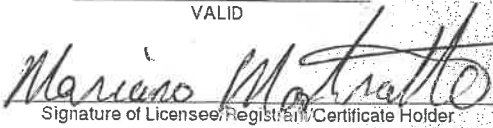
AS LICENSED

MARIANO J. MASTROTTO
T/A EXCELSIOR PLBG CO INC
80 CYPRESS ST
EW MILFORD NJ 07646-1444

FOR PRACTICE IN NEW JERSEY AS A(N): Master Plumber

05/11/2021 TO 06/30/2023
VALID

36B100838100
LICENSE/REGISTRATION/CERTIFICATION #


Signature of Licensee/Registration/Certificate Holder


ACTING DIRECTOR

**State Of New Jersey
New Jersey Office of the Attorney General
Division of Consumer Affairs**

THIS IS TO CERTIFY THAT THE
Board of Examiners of HVACR Contractors

HAS LICENSED

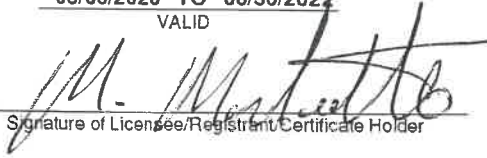
**MARIANO J. MASTROTTO
780 CYPRESS ST
NEW MILFORD NJ 07646-1444**


FOR PRACTICE IN NEW JERSEY AS A(N): **Master HVACR Contractor**

05/05/2020 TO 06/30/2022
VALID

19HC00029500

LICENSE/REGISTRATION/CERTIFICATION #


Signature of Licensee/Registrant/Certificate Holder


ACTING DIRECTOR

PLEASE DETACH HERE —
IF YOUR LICENSE/REGISTRATION/
CERTIFICATE ID CARD IS LOST
PLEASE NOTIFY:
Board of Examiners of HVACR Con
P.O. Box 47031
Newark, NJ 07101

PLEASE DETACH HERE —

MARIANO J. MASTROTTO

EXPIRATION DATE **2022**

YOUR LICENSE/REGISTRATION/CERTIFICATE NUMBER IS **19HC 00029500**. PLEASE USE IT IN ALL
CORRESPONDENCE TO THE DIVISION OF CONSUMER AFFAIRS. USE THIS SECTION TO REPORT ADDRESS
CHANGES. YOU ARE REQUIRED TO REPORT ANY ADDRESS CHANGES IMMEDIATELY TO THE ADDRESS NOTED
BELOW.

**Board of Examiners of HVACR Contractors
P.O. Box 47031
Newark, NJ 07101**

PRINT YOUR NEW **ADDRESS OF RECORD** BELOW.

YOUR ADDRESS OF RECORD IS THE ADDRESS THAT WILL PRINT ON
YOUR LICENSE/REGISTRATION/CERTIFICATE AND IT MAY BE MADE
AVAILABLE TO THE PUBLIC.

HOME ☐

BUSINESS ☐

TELEPHONE
INCLUDE AREA CODE

PRINT YOUR NEW **MAILING ADDRESS** BELOW.

YOUR MAILING ADDRESS IS THE ADDRESS THAT WILL BE USED BY
THE DIVISION OF CONSUMER AFFAIRS TO SEND YOU ALL
CORRESPONDENCE.

HOME ☐

BUSINESS ☐

TELEPHONE
INCLUDE AREA CODE

If the law governing your profession requires the current license/registration/certificate to be displayed, it should be within reasonable proximity of your original license/registration/certificate at your principal office or place of business.

Certificate Number
51754

Registration Date: 04/08/2021
Expiration Date: 04/07/2023



State of New Jersey

Department of Labor and Workforce Development Division of Wage and Hour Compliance

Public Works Contractor Registration Act

Pursuant to N.J.S.A. 34:11-56.48, et seq. of the Public Works Contractor Registration Act, this certificate of registration is issued for purposes of bidding on any contract for public work or for engaging in the performance of any public work to:

Responsible Representative(s):

John La Rocca La Rocca, President
Mariano J. Mastrotto, Other

Responsible Representative(s):

Carol La Rocca, Secretary

Excelsior Plumbing Company, Inc.
2021

A handwritten signature in black ink, appearing to read "RA Angelo".

Robert Asaro-Angelo, Commissioner
Department of Labor and Workforce Development

NON TRANSFERABLE

This certificate may not be transferred or assigned and may be revoked for cause by the Commissioner of Labor and Workforce Development.



State of New Jersey

PHIL MURPHY
Governor

DEPARTMENT OF THE TREASURY
DIVISION OF REVENUE & ENTERPRISE SERVICES
P.O. BOX 026
TRENTON, NJ 08625-034
PHONE: 609-292-2146 FAX: 609-984-6679

SHEILA OLIVER
Lt. Governor

ELIZABETH MAHER MUOIO
State Treasurer

APPROVED

under the

Small Business Set-Aside Act

This certificate acknowledges EXCELSIOR PLUMBING CO., INC. as a Category 4 approved Small Business (SBE) that has met the criteria established by N.J.A.C. 17:13 and/or 17:14..

This registration will remain in effect for three years. Annually the business must submit, not more than 60 days prior to the anniversary of the registration notice, an annual verification statement in which it shall attest that there is no change in the ownership, revenue eligibility or control of that business.

If the business fails to submit the annual verification statement by the anniversary date, the SBE registration will lapse and the business SBE status will be revoked in the New Jersey Selective Assistance Vendor information (NJSAVI) database that lists registered Small businesses. If the business seeks to be registered again, it will have to reapply and complete a new application



Peter Lowicki
Deputy Director

Issued: 12/23/2020
Certification Number: A0133-32

Expiration: 12/23/2023

CERTIFICATE OF EMPLOYEE INFORMATION REPORT

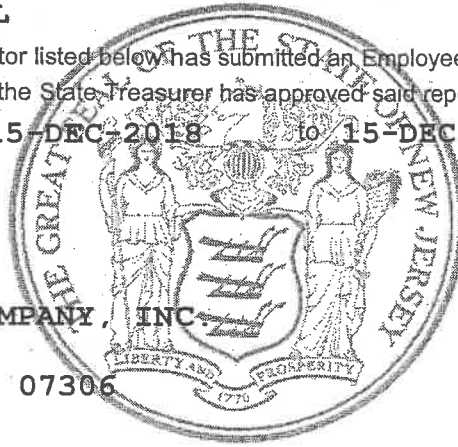
RENEWAL

This is to certify that the contractor listed below has submitted an Employee Information Report pursuant to N.J.A.C. 17:27-1.1 et. seq. and the State Treasurer has approved said report. This approval will remain in effect for the period of

~~15-DEC-2018~~ to ~~15-DEC-2025~~

EXCELSIOR PLUMBING COMPANY, INC.
50 LEWIS AVENUE
JERSEY CITY

NJ 07306



Elizabeth Maher Muoio

ELIZABETH MAHER MUOIO
State Treasurer

09/19/01

EXCELSIOR PLUMBING CO., INC.
50 LEWIS AVE
JERSEY CITY NJ 07306

Taxpayer Identification# **222-720-176/000**

Dear Business Representative:

Recently enacted State law (Public Law 2001, c.134) requires all contractors and subcontractors with State, county and municipal agencies to provide proof of their registration with the Department of the Treasury, Division of Revenue. The law became effective September 1, 2001.

Our records indicate that you are currently registered with the Division of Revenue, and accordingly, we have attached a Proof of Registration Certificate for your use. If you are currently under contract or entering into a contract with a State, county or local agency, you must provide a copy of the certificate to the contracting agency.

Please note that the law sets forth penalties for non-compliance with the provisions above. See N.J.S.A. 54:52-20.

Finally, please note that the new law amended Section 92 of the Casino Control Act, which deals with the casino service industry.

Should you have any questions or require more information about the attached certificate, or are involved with the casino service industry, call (609) 292-1730.

Thank you in advance for your consideration and cooperation.

Sincerely,



Patricia A. Chiacchio
Director, Division of Revenue

STATE OF NEW JERSEY
BUSINESS REGISTRATION CERTIFICATE
FOR STATE AGENCY AND CASINO SERVICE CONTRACTORS

DEPARTMENT OF TREASURY/
DIVISION OF REVENUE
PO BOX 252
TRENTON, N J 08646-0252

TAXPAYER NAME:
EXCELSIOR PLUMBING CO., INC.

TAXPAYER IDENTIFICATION#
222-720-176/000

ADDRESS
50 LEWIS AVE
JERSEY CITY NJ 07306

EFFECTIVE DATE:
05/28/86

FORM-BRC(08-01)

TRADE NAME:


CONTRACTOR CERTIFICATION#
0097569

ISSUANCE DATE:
09/19/01



Director, Division of Revenue

This Certificate is NOT assignable or transferable. It must be conspicuously displayed at above address.

CERTIFICATE OF LIABILITY INSURANCE			ISSUING DATE (MM/DD/YYYY) 01/07/2022													
THIS CERTIFICATE ISSUED IS FOR INFORMATION PURPOSES ONLY. IT PROVIDES NO RIGHTS TO THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, ALTER OR EXTEND COVERAGE PROVIDED BY THE POLICIES LISTED BELOW. THIS CERTIFICATE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE CARRIER AFFORDING COVERAGE AND THE CERTIFICATE HOLDER.																
A STATEMENT ON THIS CERTIFICATE DOES NOT PROVIDE RIGHTS TO THE CERTIFICATE HOLDER FOR THE FOLLOWING UNLESS THE APPLICABLE ENDORSEMENTS ARE ATTACHED TO THE POLICY(IES) LISTED BELOW																
ADDITIONAL INSURED/ALTERNATE EMPLOYER/WAIVER OF SUBROGATION/PRIMARY & NON-CONTRIBUTORY/NOTICE OF CANCELLATION: THE POLICY(IES) MUST HAVE THE NECESSARY ENDORSEMENT(S) TO MODIFY TERMS AND CONDITIONS.																
INSURED: EXCELSIOR PLUMBING COMPANY INC See Additional Remarks Schedule 50 LEWIS AVE JERSEY CITY, NJ 07306		INSURANCE CARRIER AFFORDING COVERAGE: <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">GENERAL LIABILITY:</td> <td style="width: 50%;"></td> <td style="width: 10%;"></td> </tr> <tr> <td>AUTO LIABILITY:</td> <td>New Jersey Manufacturers Insurance Company</td> <td>12122</td> </tr> <tr> <td>UMBRELLA LIABILITY:</td> <td></td> <td></td> </tr> <tr> <td>WORKERS COMP:</td> <td>New Jersey Manufacturers Insurance Company</td> <td>12122</td> </tr> </table>			GENERAL LIABILITY:			AUTO LIABILITY:	New Jersey Manufacturers Insurance Company	12122	UMBRELLA LIABILITY:			WORKERS COMP:	New Jersey Manufacturers Insurance Company	12122
GENERAL LIABILITY:																
AUTO LIABILITY:	New Jersey Manufacturers Insurance Company	12122														
UMBRELLA LIABILITY:																
WORKERS COMP:	New Jersey Manufacturers Insurance Company	12122														
THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.																
TYPE OF INSURANCE	POLICY NUMBER	POLICY PERIOD (MM/DD/YYYY) - (MM/DD/YYYY)	LIMITS OF INSURANCE													
COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> OCCURRENCE GENERAL AGGREGATE LIMIT APPLIES: <input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOCATION			EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Each Occurrence) \$ MED EXP (Any One Person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODS - COMP/OPS AGG \$ COMBINED SINGLE LIMIT (Each accident) \$1,000,000 BODILY INJURY (Per Person) \$ BODILY INJURY (Per Accident) \$ PROPERTY DAMAGE (Per accident) \$ EACH OCCURRENCE \$ AGGREGATE \$													
AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input checked="" type="checkbox"/> OWNED AUTOS ONLY <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS	C8752966 CAGM	01/15/2022 - 01/15/2023	E.L. EACH ACCIDENT \$1,000,000 E.L. DISEASE-EACH EMPLOYEE \$1,000,000 E.L. DISEASE-POLICY LIMIT \$1,000,000 PER STATUTE NJ													
UMBRELLA LIABILITY <input type="checkbox"/> OCCURRENCE <input type="checkbox"/> RETENTION \$																
WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y/N ANY PROPRIETOR/PARTNER/EXECUTIVE <input checked="" type="checkbox"/> OFFICER/MEMBER EXCLUDED?	W148924	10/05/2021 - 10/05/2022														
SEE ATTACHED ADDITIONAL REMARKS SCHEDULE FOR DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES																
CERTIFICATE HOLDER XXXXXXXXXXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXXXXXXXXXXXXXX		ADDITIONAL INSURED (IF APPLICABLE) <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width: 33%;">ADDL INSURED OR ALTERNATE EMPLOYER</th> <th style="width: 33%;">WAIVER OF SUBROGATION</th> <th style="width: 34%;">PRIMARY & NON-CONTRIBUTORY</th> </tr> <tr> <td> <input type="checkbox"/> CGL <input checked="" type="checkbox"/> AUTO <input type="checkbox"/> WC (ALT. EMPLOYER) <input type="checkbox"/> UMB </td> <td> <input checked="" type="checkbox"/> CGL <input type="checkbox"/> AUTO <input type="checkbox"/> WC <input type="checkbox"/> UMB </td> <td> <input checked="" type="checkbox"/> CGL <input type="checkbox"/> AUTO <input type="checkbox"/> WC <input type="checkbox"/> UMB NON-CONTRIB </td> </tr> </table>			ADDL INSURED OR ALTERNATE EMPLOYER	WAIVER OF SUBROGATION	PRIMARY & NON-CONTRIBUTORY	<input type="checkbox"/> CGL <input checked="" type="checkbox"/> AUTO <input type="checkbox"/> WC (ALT. EMPLOYER) <input type="checkbox"/> UMB	<input checked="" type="checkbox"/> CGL <input type="checkbox"/> AUTO <input type="checkbox"/> WC <input type="checkbox"/> UMB	<input checked="" type="checkbox"/> CGL <input type="checkbox"/> AUTO <input type="checkbox"/> WC <input type="checkbox"/> UMB NON-CONTRIB						
ADDL INSURED OR ALTERNATE EMPLOYER	WAIVER OF SUBROGATION	PRIMARY & NON-CONTRIBUTORY														
<input type="checkbox"/> CGL <input checked="" type="checkbox"/> AUTO <input type="checkbox"/> WC (ALT. EMPLOYER) <input type="checkbox"/> UMB	<input checked="" type="checkbox"/> CGL <input type="checkbox"/> AUTO <input type="checkbox"/> WC <input type="checkbox"/> UMB	<input checked="" type="checkbox"/> CGL <input type="checkbox"/> AUTO <input type="checkbox"/> WC <input type="checkbox"/> UMB NON-CONTRIB														
CANCELLATION SHOULD ANY OF THE ABOVE CAPTIONED POLICIES BE CANCELLED, EITHER BY REQUEST OF THE INSURED OR CARRIER, PRIOR TO THE EXPIRATION DATE, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY TERMS, CONDITIONS & PROVISIONS		 AUTHORIZED REPRESENTATIVE														



EXCEL-1

OP ID: SR

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

03/04/2022

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER
Michael Bello Insurance
P.O. Box 2149
Cliffside Park, NJ 07010
Phillip Mastrotto

201-943-3575

CONTACT NAME: Susan Reitano

PHONE (A/C, No, Ext): 201-943-3575

FAX (A/C, No):

E-MAIL ADDRESS: susan@michaelbelloagency.com

INSURER(S) AFFORDING COVERAGE

NAIC #

INSURER A: Penn National Insurance

32441

INSURER B:

INSURER C:

INSURER D:

INSURER E:

INSURER F:

INSURED
Excelior Plumbing Co Inc.
50 Lewis Ave
Jersey City, NJ 07306

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSP YVO	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A X	COMMERCIAL GENERAL LIABILITY					
	CLAIMS-MADE X OCCUR		CX90770263	03/05/2022	03/05/2023	EACH OCCURRENCE \$ 1,000,000
						DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 500,000
						MED EXP (Any one person) \$ 10,000
						PERSONAL & ADV INJURY \$ 1,000,000
						GENERAL AGGREGATE \$ 3,000,000
						PRODUCTS - COMP/OP AGG \$ 3,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER					
	POLICY X PRO-JECT LOC					
	OTHER					
	AUTOMOBILE LIABILITY					COMBINED SINGLE LIMIT (Ea accident) \$
	ANY AUTO OWNED AUTOS ONLY SCHEDULED AUTOS					BODILY INJURY (Per person) \$
	HIRED AUTOS ONLY NON-OWNED AUTOS ONLY					BODILY INJURY (Per accident) \$
						PROPERTY DAMAGE (Per accident) \$
A X	UMBRELLA LIAB OCCUR					EACH OCCURRENCE \$ 5,000,000
	EXCESS LIAB CLAIMS-MADE		UL90770263	03/05/2022	03/05/2023	AGGREGATE \$ 5,000,000
	DED X RETENTION \$ 10000					
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY					PER STATUTE, OTH-ER
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NJ)	Y/N				E L EACH ACCIDENT \$
	If yes, describe under DESCRIPTION OF OPERATIONS below	N/A				E L DISEASE - EA EMPLOYEE \$
						E L DISEASE - POLICY LIMIT \$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

CERTIFICATE HOLDER

CANCELLATION

Evidence of Insurance

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

ACORD 25 (2016/03)

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The United States Department of Labor

Office of Apprenticeship

Certificate of Registration of Apprenticeship Program

PLUMBERS LOCAL 24 JATC

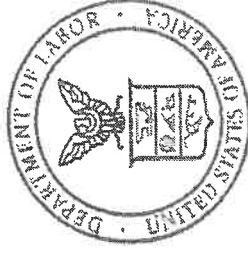
*Registered as part of the National Apprenticeship System
in accordance with the basic standards of apprenticeship
established by the Secretary of Labor*

SEPTEMBER 24, 1952

Date

NJ004520001

Registration No.



AL V Lull

Administrator, Office of Apprenticeship

AFFIDAVIT OF COMPLIANCE
PLUMBING CONTRACTOR

Public Law 1988, Chapter 442 (NJSA 45:14C-1 through 4) defines Plumbing Contractor as a licensed master plumber that shall be the holder of not less than 10% of the issued and outstanding shares of stock in the corporation, or not less than 10% of the capital of the partnership, or not less than 10% of the ownership of any other firm or legal entity engaging in the business of plumbing contracting in the State and shall employ either journeyman plumbers or apprentice plumbers or both.

Due to the enactment of Public Law 1988, Chapter 442, you must certify the following:

I certify that I (Mariano J. Mastrotto, Licensee) am the holder of not less than (X) 10% of the issued and outstanding shares of stock in the corporation, or not less than () 10% of the capital of the partnership, or not less than () 10% of the ownership of any other firm or legal entity engaging in the business of plumbing contracting in the State of New Jersey.

Attach copy of Master Plumber License.

I certify that the foregoing statement made by me is true.

SWORN AND SUBSCRIBED TO

Mariano J. Mastrotto
SIGNATURE OF LICENSEE

BEFORE ME THE 19 DAY

OF April 20 22

Mariano J. Mastrotto

NAME OF LICENSEE
(type or print)

Carol M. Larocca

Signature of Notary Public

Master Plumber/Stockholder

TITLE OF PERSON SIGNING

My Commission Expires **CAROL M. LAROCCA**
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES MARCH 3, 2025

Excelsior Plumbing Company, Inc.


Excelsior

Plumbing Co. Inc.
PLUMBING & HEATING CONTRACTORS
50 Lewis Avenue
Jersey City, N.J. 07306

(201) 659-6960
FAX: (201) 659-0886

INVENTORY OF EQUIPMENT

DESCRIPTION	YEAR	MANUFACTURER
Ford E150 Van	2004	Ford
1 Dump Truck	1986	GMC
Power Treading Machine	1992	Rigid
Torch Burning Outfit	1980	Air-Con
1 Backhoe 580SL	1997	Case
1 Front Loader	1970	Caterpillar
Tag A Long trailer	2003	Contrail
1 Air compressor	1989	Sullair
3 90 lb. Air Hammers	1985-90	Chicago - Pneumatic
1 80 lb. Air Hammer	1984	Chicago - Pneumatic
3 Electric Chipping Hammer	1989-93	Bosch
2 Power Saws	1990	Milwaukee
1 Ford Dump Truck	1987	Ford
Various Hand & Power Tools as required		
Various Welding Machines	1990, 2003	Mueller
Expedition Van	2003	Ford
1 Backhoe 580 Super L	1996	Case
Loader 40XT Skidsteer	2002	Case
Forklift FG25	1997	Mitsubishi



John La Rocca, President
Excelsior Plumbing Co., Inc.

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return) Excelsior Plumbing Co., Inc.	
Business name/disregarded entity name, if different from above Excelsior Plumbing Co., Inc.	
Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input checked="" type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <input type="checkbox"/> Other (see instructions) ▶ _____	
<input type="checkbox"/> Exempt payee	
Address (number, street, and apt. or suite no.) 50 Lewis Avenue	Requester's name and address (optional)
City, state, and ZIP code Jersey City, New Jersey 07306	
List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number								
				-			-	
Employer identification number								
2	2	-	2	7	2	0	1	7
								6

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here	Signature of U.S. person ▶ 	Date ▶ 4/19/22
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

PLUMBING AND HEATING CONTRACTORS

50 LEWIS AVENUE
JERSEY CITY, NEW JERSEY 07306
Contracts completed in the Last Five Years

OWNER	ARCHITECT	LOCATION	DESCRIPTION	DATE OF CONTRACT START	GROSS AMT. OF CONTRACT	DATE OF COMPLETION
Secaucus Housing Authority 700 County Avenue Secaucus, N.J. 07094 (201) 867-2957	Habitech Architecture 12 Pinecrest Drive Medford, N.J. 08055 (609) 413-2566	Kroll Heights Apartments 700 County Avenue Secaucus, N.J.	Bathroom Upgrades	April-21	\$120,000.00	November-21
Ramsey Board of Education 25 N. Franklin Turnpike Ramsey, N.J. 07446 (201) 785-2300	Solutions Architecture 96 Pompton Avenue Verona, N.J. 07044 (973) 484-4800	Mary A. Hubbard Elementary School 10 Hubbard Lane Ramsey, N.J.	Plumbing Work	June-20	\$150,000.00	November-21
Bergen County Improvement Authority 327 E. Ridgewood Avenue Paramus, N.J. 07652 (201) 336-6350	RSC Architects 3 University Plaza Drive, Suite 600 Hackensack, N.J. 07601 (201) 941-3040	Bergen New Bridge Medical Center Building 14 230 E. Ridgewood Avenue Paramus, N.J.	Shower Repair Project	September-20	\$200,000.00	April-21
Morris County Housing Authority 99 Ketch Road Morristown, N.J. 07960 (973) 540-0389	LS Engineering Associates Corp 150 River Road, Suite E2 Montville, N.J. 07045 (973) 588-3122	Dean A. Gallo Congregate Building 105 Ketch Road Morristown, N.J.	Water Heater Replacement	April-20	\$35,365.00	June-20
Township of Wayne 475 Valley Road Wayne, N.J. 07470 (973) 694-1800	Rox Architectural Design, PC 546 Sate Rte. 10W Ledgewood, N.J. 07852 (973) 970-9355	James W. Roe Memorial Pool 133 Garside Avenue Wayne, N.J.	Building Alterations	September-19	\$230,000.00	April-20
Friends of Teaneck Community Charter School 563 Chestnut Avenue Teaneck, N.J. 07666 (201) 669-8250	Gertler & Wente Architects, LLP 145 W 30th Street New York, N.Y. 10001 (212) 273-9888	Teaneck Community Charter School 563 Chestnut Avenue Teaneck, N.J. 07666	Plumbing Work Gymnasium Addition Creative Arts Suite Build-Out	December-18	\$70,000.00	January-20
Old Tappan Board of Education 277 Old Tappan Road Old Tappan, N.J. 07675 (201) 664-1421	Di Cara Rubino Architects 30 Galest Drive Wayne, N.J. 07470 (973) 256-0202	Charles DeWolf Middle School 275 Old Tappan Road Old Tappan, N.J.	Toilet Renovations	June-19	\$60,000.00	October-19
City of Bayonne 630 Avenue C Bayonne, N.J. 07002 (201) 858-6029	CME Associates 3141 Bordentown Avenue Parlin, N.J. 08859 (732) 727-8000	Bayonne City Hall 630 Avenue C Bayonne, N.J.	Bathroom Renovations	June-19	\$38,000.00	September-19
Dumont Board of Education 25 Depew Street Dumont, N.J. 07628 (201) 387-3070	Environetics Group Architects 180 Sylvan Avenue Englewood Cliffs, N.J. 07632 (201) 894-1000	Honies School 31 Depew Street Dumont, N.J.	Bathroom Renovations	Jun-19	\$40,000.00	September-19
Elizabeth Free Public Library 11 South Broad Street Elizabeth, N.J. 07202 (908) 354-6060	USA Architects, PA 20 North Doughty Avenue Somerville, N.J. 08876 (908) 722-2300	Elmora Branch Library 740 West Grand Street Elizabeth, N.J.	Restroom Upgrades	January-19	\$25,000.00	August-19
Englewood Cliffs Bd. Of Ed. 143 Charlotte Place Englewood Cliffs, N.J. 07632 (201) 567-7292	DiCara-Rubino Architects 30 Galest Drive, West Wing Wayne, N.J. 07470 (973) 256-0202	Upper School 143 Charlotte Place Englewood Cliffs, N.J.	Faculty Toilet Room Renovations	June-18	\$30,000.00	October-18
Harrison Housing Authority 788 Harrison Avenue Harrison, N.J. 07029 (973) 483-1488	LAN Associates 445 Godwin Avenue Midland Park, N.J. 07432 (201) 447-6400	Kingsland Court 740-760 Williams Street Harrison, N.J.	Plumbing & Toilet Exhaust Upgrades	April-18	\$437,000.00	December-18
State of New Jersey Dept. of Military & Veterans Affairs 101 Eggert Crossing Road Lawrenceville, N.J. 08648 (609) 530-7115	Design Resources Group Architect 400 Franklin Square Drive Somerset, N.J. 08873 (732) 560-7900	The National Guard Armory 678 Montgomery Street Jersey City, N.J.	Rehabilitation of Latrines & Showers	October-17	\$150,000.00	April-18
Montclair State University 1 Normal Avenue Montclair, N.J. 07043 (973) 655-7468	The Mosher Group Engineering 1090 King Georges Post Road Edison, N.J. 08837 (732) 738-9670	Student Center Montclair State University	Domestic Hot Water Heater	March-18	\$98,000.00	April-18
Montclair State University 1 Normal Avenue Montclair, N.J. 07043 (973) 655-7468	The Mosher Group Engineering 1090 King Georges Post Road Edison, N.J. 08837 (732) 738-9670	Freeman Hall Montclair State University	Domestic Hot Water Heater	March-18	\$97,000.00	April-18

OWNER	ARCHITECT II	LOCATION	DESCRIPTION	DATE OF CONTRACT START	GROSS AMT. OF CONTRACT	DATE OF COMPLETION
State of New Jersey Dept. of Treasury, DPMC 33 W. State Street Trenton, N.J. 08625 (609) 292-4724	LAN Associates 445 Godwin Avenue Midland Park, N.J. 07432 (201) 447-6400	NJ DOT/Ramsey Maintenance Facility 15 Arrow Road Ramsey, N.J.	Facility Renovations	November-16	\$970,000.00	December-17
Englewood Cliffs Bd. Of Ed. 143 Charlotte Place Englewood Cliffs, N.J. 07632 (201) 567-7292	DiCara-Rubino Architects 30 Galesi Drive, West Wing Wayne, N.J. 07470 (973) 256-0202	Upper School 143 Charlotte Place Englewood Cliffs, N.J.	Toilet Renovations	October-17	\$27,500.00	December-17
Paramus Board of Education 145 Spring Valley Road Paramus, N.J. 07652 (201) 261-7800	Environetics Group Architects P.C. 180 Sylvan Avenue Englewood Cliffs, N.J. 07632 (201) 894-1000	East Brook School 190 Spring Valley Road Paramus, N.J.	Toilet Renovations	June-17	\$108,000.00	September-17
Paramus Board of Education 145 Spring Valley Road Paramus, N.J. 07652 (201) 261-7800	Environetics Group Architects P.C. 180 Sylvan Avenue Englewood Cliffs, N.J. 07632 (201) 894-1000	West Brook School 560 Roosevelt Boulevard Paramus, N.J.	Toilet Renovations	June-17	\$60,000.00	September-17
Linden Housing Authority 1601 Dill Avenue Linden, N.J. 07036 (908) 298-3820	LAN Associates 445 Godwin Avenue Midland Park, N.J. 07432 (201) 447-6400	Ann J. Ferguson Towers 1601 Dill Avenue Linden, N.J.	Bathroom Renovations	May-17	\$135,422.50	July-17
Jersey City Housing Authority 400 U.S. Highway #1 Jersey City, N.J. 07306 (201) 547-6600	Savin Engineers, PC 1170 U.S. Highway #22 Bridgewater, N.J. 08807 (908) 725-2805	Booker T. Washington Apts. 200 Colden Street Jersey City, N.J.	Boiler Decentralization and Relocation	January-16	\$4,254,339.62	July-17
Rochelle Park Board of Education 300 Rochelle Avenue Rochelle Park, N.J. 07662 (201) 843-7293	Environetics Group Architects P.C. 180 Sylvan Avenue Englewood Cliffs, N.J. 07632 (201) 894-1000	Midland School 300 Rochelle Avenue Rochelle Park, N.J. 07662	Infrastructure Upgrade Restroom Renovation Phase II	June-16	\$60,000.00	August-16
Bergen County Improvement Authority One Bergen County Plaza, Rm. 480 Hackensack, N.J. 07601 (201) 336-6350	RSC Architects 3 University Plaza Dr., Suite 600 Hackensack, N.J. 07601 (201) 941-3040	Bergen Regional Medical Center 230 E. Ridgewood Avenue Paramus, N.J.	Building #8 Booster Pump Station Project	April-16	\$79,903.25	November-16
Township of Fairfield 230 Fairfield Road Fairfield, N.J. 07004 (973) 882-3700	Solutions Architecture 81 Clay Street, 2nd. Floor Newark, N.J. 07104 (973) 484-4800	Hollywood Avenue Park 221 Hollywood Avenue Fairfield, N.J. 07004	New Recreation Center	January-15	\$461,600.00	July-16
Paramus Board of Education 145 Spring Valley Road Paramus, N.J. 07652 (201) 261-7800	Environetics Group Architects P.C. 180 Sylvan Avenue Englewood Cliffs, N.J. 07632 (201) 894-1000	Paramus High School 99 East Century Road Paramus, N.J. 07652	Girl's Locker Room Renovations	June-15	\$70,000.00	December-15
Paramus Board of Education 145 Spring Valley Road Paramus, N.J. 07652 (201) 261-7800	Environetics Group Architects P.C. 180 Sylvan Avenue Englewood Cliffs, N.J. 07632 (201) 894-1000	Paramus High School 99 East Century Road Paramus, N.J. 07652	Food's Room & Range Hoods	July-15	\$8,000.00	December-15
Jersey City Housing Authority 400 U.S. Highway #1 Jersey City, N.J. 07306 (201) 547-8987	Al Cenzano Jersey City Housing Authority 400 U.S. Highway #1 Jersey City, N.J. 0-7306 (201) 706-4621	Berry Gardens 199 Ocean Avenue Jersey City, N.J.	Water Heater Replacement	April-15	\$74,430.00	July-15
Elizabeth Housing Authority 688 Maple Avenue Elizabeth, N.J. 07306 (908) 965-2400	Procead, Inc. 1122 East Grand Street Elizabeth, N.J. 07201 (908) 351-7727	Ford Leonard Towers NJ3-6 69 Division Street Elizabeth, N.J.	Domestic Hot Water Heater Upgrade	March-15	\$157,500.00	May-15
Davaco, Inc. 6688 N. Central Expressway Dallas, Texas 75206 (877) 732-8226		Walgreens (6 Locations in N.J.)	Plumbing Work	March-15	\$44,200.00	March-15
Jersey City Housing Authority 400 U.S. Highway #1 Jersey City, N.J. 07306 (201) 547-8987	Al Cenzano Jersey City Housing Authority 400 U.S. Highway #1 Jersey City, N.J. 0-7306 (201) 706-4621	Berry Gardens 99 Danforth Avenue Jersey City, N.J.	Water Heater Replacement	January-15	\$73,480.00	March-15
Pequanock Board of Education 538 Newark Pompton Turnpike Pompton Plains, N.J. 07444 (973) 616-6030	Solutions Architecture 81 Clay Street, 2nd. Floor Newark, N.J. 07104 (973) 484-4800	Gymnasium Addition at Stephen J. Gerace Elementary School, Pequanock, N.J.	Plumbing Work	March-14	\$265,000.00	November-14
Paramus Board of Education 145 Spring Valley Road Paramus, N.J. 07642 (201) 261-7800	Environetics Group Architects P.C. 180 Sylvan Avenue Englewood Cliffs, N.J. 07632 (201) 894-1000	Ridge Ranch School 345 Lockwood Drive Paramus, N.J.	Toilet Renovations	June-14	\$69,000.00	November-14



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U.S. Department of
Housing and Urban Development

Section 3 Business Registry

[INSTRUCTIONS](#) [FAQS](#) [CONTACT US](#)

OMB Approval No. 2529-0043 (exp. 11/30/2018)

[What is the Section 3 Business Registry](#)[Am I a Section 3 Business](#)[Register a Business](#)[Search for a Business](#)

Registration Successful

Your business has been successfully registered with the HUD Section 3 Business Registry. Your newly registered business may be found using the [HUD Section 3 Business Registry search](#) or by using the following link:

[Excelsior Plumbing Company, Inc.](#)

U.S. Department of Housing and Urban Development
451 7th Street S.W., Washington, DC 20410
Telephone: (202) 708-1112 TTY: (202) 708-1455

5.7.1



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U.S. Department of
Housing and Urban Development

Section 3 Business Registry

[INSTRUCTIONS](#) [FAQS](#) [CONTACT US](#)

OMB Approval No. 2529-0043 (exp. 11/30/2018)

[What is the Section 3 Business Registry](#)[Am I a Section 3 Business](#)[Register a Business](#)[Search for a Business](#)

Business Registration Confirmation

You are about to submit your business information to the HUD Section 3 Business Registry. Please verify the information is correct, and then select 'Submit Registration' to continue or 'Cancel' to return to the form.

Excelsior Plumbing Company, Inc.

Contact Information

Address

Excelsior Plumbing Company, Inc.
50 Lewis Avenue
Jersey City, NJ 07306

Telephone

(201) 659-6960

Website Address

Email

Laroccainc@aol.com

Point of Contact

John La Rocca
(201) 659-6960

Business Details

Employer Identification Number

22-2720176

Business License

36BI00838100

DUNS Number

178220117

NAICS

238220

County

Hudson

Year Business Established

1986

Number of Employees

7

Business Capabilities

- HVAC
- Plumbing

Plumbing and HVACR Contractor

Business Designations

- SBA 8a Firm
- Labor Union Certified Firm
- Not hiring

Section 3 Business Criteria

- ☒ a) Fifty-one percent or more of the business is owned by Section 3 Residents;
- ☒ b) Thirty percent or more of the business' full time employees are Section 3 Residents; or
- ☒ c) The business can provide evidence of a firm commitment to subcontract a minimum of 25 percent of the total dollar amount of contracts to a business that meets the criteria listed in (a) and/or (b).

Cancel

Submit Registration

U.S. Department of Housing and Urban Development
451 7th Street S.W., Washington, DC 20410
Telephone: (202) 708-1112 TTY: (202) 708-1455

5.7.1

Qualification Questionnaire

BID FOR: Window & Curtain Wall Replacement at
SITE(S): Berry Gardens Building B (92 Danforth Avenue)
NAME OF BIDDER: La Rocca, Inc.
ADDRESS: 50 Lewis Avenue
Jersey City, N.J. 07306

Qualifications enclosed are for:

- ☐ GENERAL CONTRACTOR/BIDDER
- ☐ SUBCONTRACTOR (*Important Note: If this box is checked, make additional copies of pp. 13-22 and fill out for each sub-contractor*)

NAME: Vespa Electrical Co., Inc.
ADDRESS: 13B Great Meadow Ln.
E. Hanover, NJ 07936

In accordance with the **Instructions to Bidders** under article on **Qualifications and Listing of Subcontractors**, the Bidder and his/her subcontractor(s) in the four (4) categories listed below shall complete the enclosed Qualification Questionnaire and **submit with the bid**:

- Plumbing and Gas Fitting of all kindred work.
- Steam and Hot Water Heating and Ventilating apparatus and all kindred work.
- Electrical Work.
- Structural Steel and Ornamental Iron Work.

REQUIREMENTS FOR SUBMITTED PROPOSALS IF QUALIFIED

1. Each proposal or bid must be accompanied by a Bid Guarantee as described in the article **Bid Guarantee** of the **Instructions to Bidders**. The successful bidder shall also be required to provide an Assurance of Completion as described in the article **Assurance of Completion** of the **Instructions to Bidders**. Where a surety company is to provide the Bid Guarantee and/or an Assurance of Completion, the surety company must be qualified to do business in the State of New Jersey as described in the article **Approved Surety Companies** of the **Instructions to Bidders**.

Qualification Questionnaire - Page 2

2. It shall be necessary for the Bidder to present evidence that he/she is the contractor and that the Bidder's company or firm has been in business for at least:

5 YEARS

in this particular field, and can submit a suitable record of satisfactorily completing similar projects. In addition to the above he/she shall submit evidence that his/her company has the necessary equipment to carry out this type of operation.

Important Note: It is the intent of the JCHA to absolutely prohibit general construction contracts to a firm or person that brokers out all of the work to subcontractors. General Contractors must perform at least 20% or more of the work with their own in-house work force (exclusive of administrative or supervisory work). Furthermore, contractors are to provide complete and responsive answers to Question #2h of the JCHA Qualification Questionnaire as to the percentage of the work that they will specifically perform with their own work force and the general characteristics of such work.

Subcontractors for Plumbing, Heating, Electrical and Structural Steel and Ornamental Ironwork work shall likewise comply with the above except that they shall have been in business for at least:

5 YEARS

- a. How many years have you been or engaged in construction under your present firm or trade name?

40 years

- b. Is your firm licensed in its trade in New Jersey? Yes

If Yes, attach copy of license.

- c. What equipment do you own that is available and intended to be used on this contract? Provide a description as to the quantity, size, type and capacity of this equipment along with its present condition.

Equipment Name	Quantity	Size	Type	Capacity	Present Condition

~Attach additional sheets if necessary~

Qualification Questionnaire - Page 3

- d. What equipment do you intend to purchase or lease for use on this contract should the contract be awarded to you? Provide a description of the quantity, size, type and capacity of the equipment you intend to lease or purchase.

None

- e. How many years has your organization been in business performing the work required under this contract?

36 Years

- f. If a corporation or limited liability company, answer the following:

f.1. - Date of incorporation 1986

f.2. - State of incorporation New Jersey

f.3. - President's name(s) Antonio Vespa

f.4. - Vice President's name(s)

f.5. - Name any corporation, limited liability company, partnership, limited partnership, sole proprietorship, or any other business entity, of which greater than thirty (30%) percent is beneficially or legally owned by the bidder or any of the bidder's owners, shareholders, members, director, officers or employees.

- f.6. - Name any and all trade names under which the bidder, all related entities or any of the owners of more than a 10% beneficial or legal interest have operated in the last five years.

Qualification Questionnaire - Page 5

- j. Has any officer or partner of your organization or any entity controlled by any officer or partner of your organization ever failed to complete a construction contract handled in his/her own name? No. *If Yes, state name of individual, name of owner and reason therefore.*
- _____
- _____
- k. Has any other officer or partner of your organization or any entity controlled by any officer or partner of your organization ever been an officer or partner or some other organization that failed to complete a construction contract? No. *If Yes, state name of individual, name of owner and reason therefore.*
- _____
- _____
- l. Have you or any principal owner of your organization or any entity controlled by you or any principal owner of your organization been the subject of an investigation by any Federal, State or Local Governmental agency or quasi-governmental agency within the past five (5) years? No. *If Yes, then state the complete particularity, the name of the Agency, the date and nature of the investigation and the disposition thereof.*
- _____
- _____
- m. Has your organization or any related entity, within the past five (5) years ever had a license, permit or certificate to do business revoked, suspended or voided? No. *If Yes, state the name of the Agency, date and nature of the revocation, suspension, etc., and the reason for same.*
- _____
- _____
- n. Are there any liens, of any character, filed against your company or any related entity at this time? No. *If Yes, specify the nature and amount of lien.*
- _____
- _____

Qualification Questionnaire - Page 6

- o. In what manner have you inspected the proposed project? *Explain in detail.*

Spoke with John La Rocca, who visited the Site.

- p. The work, if awarded to you, will have the personal supervision of whom:
Tony Vespa

- q. Do you intend to subcontract any portion of the work: *If so, state which portion is to be subcontracted. (See important note in the "Requirements for Submitted Proposals If Qualified" section, #2)*

No

- r. Have you made contracts or received firm offers for all materials with price in preparing your proposal. Do not give names of dealers or manufacturers.
We have received firm offers

- s. Give trade references:
Cooper Electrical Supply

Graybar Electrical Supply

- t. Give Bank references:
PNC Bank

- u. The Contractor shall complete the attached charts on the Status of Contracts on Hand and Contracts Completed in Last Five (5) years. All information requested is to be provided particularly the telephone numbers of parties to contact for references.

Qualification Questionnaire - Page 7

v. Each bidder shall furnish the following information by filling the appropriate blocks:

(a) Is the bidder owned or controlled by a parent company as described below?

Yes ☐

No ☒

(For the purpose of this bid, a parent company is defined as one that either owns or controls the activities and basic business policies of the bidder. To own another company means the parent company must own at least a majority (fifty percent (50%) of the voting rights in that company. To control another company, such ownership is not required; if another company is able to formulate, determine, or veto basic business policy decisions of the bidder, such other company is considered the parent company of the bidder. This control may be exercised through the use of dominant minority voting rights, use of proxy voting, contractual arrangements or otherwise).

(b) If the answer to (a) above is "YES", bidder shall insert in the space below the name and main office address of the parent company.

NAME

ADDRESS

(c) Bidder shall insert in the applicable space below, if he/she has no parent company, his/her own employer's identification number (E.I. No.) (Federal Social Security Number or Employer's Quarterly Federal Tax Return, U.S. Treasury Department Form 941), or, of the parent company.

Bidder's E.I. No. _____

Parent Company's E.I. No. _____

JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS

GENERAL FORMS
STATUS OF CONTRACTS ON HAND

Give full information about all of your contractors, whether private or government contracts, whether prime or subcontract, whether in progress or awarded but not yet begun, or whether you are low bidder pending formal award of contract.

Owner's Name, Address, Telephone # (1)	Owner's A/E Name, Address, Telephone # (2)	Name and Location of Work (3)	Description of Work (4)	% of Work Performed by Bidder's Work Force (5)	Adjusted Contract Amount (6)	Balance To Be Completed (7)	Date Work Started (8)	Estimated Date of Completion (9)
()	()		"See Attached List"					
()	()							
()	()							
()	()							
()	()							

JERSEY CITY HOUSING AUTHORITY
CONTRACT DOCUMENTS & SPECIFICATIONS

GENERAL FORMS
CONTRACTS COMPLETED IN LAST FIVE (5) YEARS

List the more important contracts completed by you in the last five years, stating approximate gross cost for each and the month and year completed.

Owner's Name, Address, Telephone # (1)	Owner's A/E Name, Address, Telephone # (2)	Name and Location of Work (3)	Description of Work (4)	% of Work Performed by Bidder's Work Force (5)	Date of Contract Start (6)	Date of Contract Completion (7)	Gross Amount of Contract (8)
()	()	"SEE ATTACHED LIST"			(6)	(7)	(8)
()	()						
()	()						
()	()						

Qualification Questionnaire - Page 8

The undersigned hereby certifies that the Qualification answers presented herein are a true, correct and accurate statement of fact.

DATED AT: East Hanover THIS 19
DAY OF April, 2022
NAME OF ORGANIZATION: Vespa Electrical Co., Inc.
BY: [Signature]
TITLE: Pres.

STATE OF New Jersey
COUNTY OF: Morris SS:

Tony Vespa
(NAME)
President
(TITLE)

OF THE ABOVE Vespa Electrical Co., Inc. AND THAT THE ANSWERS TO THE FOREGOING
(NAME OF ORGANIZATION)

QUESTIONS AND ALL STATEMENTS THEREIN CONTAINED ARE TRUE, CORRECT AND ACCURATE.

SUBSCRIBED AND SWORN TO ME THIS 19th DAY OF April, 2022.
[Signature]
(NOTARY PUBLIC)

MY COMMISSION EXPIRES 10/1, 23.

SEAL



**State Of New Jersey
New Jersey Office of the Attorney General
Division of Consumer Affairs**

THIS IS TO CERTIFY THAT THE
Board of Examiners of Electrical Contractors

HAS LICENSED

**ANTONIO VESPA
13 B Great Meadow Lane
East Hanover NJ 07936-2222**

FOR PRACTICE IN NEW JERSEY AS A(N): Electrical Contractor

02/17/2021 TO 03/31/2024
VALID

34E100575400
LICENSE/REGISTRATION/CERTIFICATION #


Signature of Licensee/Registrant/Certificate Holder


ACTING DIRECTOR

**State Of New Jersey
New Jersey Office of the Attorney General
Division of Consumer Affairs**

THIS IS TO CERTIFY THAT THE
Board of Examiners of Electrical Contractors

HAS LICENSED

**VESPA ELECTRICAL CO INC
ANTONIO VESPA
13 B Great Meadow Lane
East Hanover NJ 07936-2222**

FOR PRACTICE IN NEW JERSEY AS A(N): Electrical Business Permit

02/17/2021 TO 03/31/2024
VALID

34EB00575400
LICENSE/REGISTRATION/CERTIFICATION #


Signature of Licensee/Registrant/Certificate Holder


ACTING DIRECTOR

VESPA ELECTRICAL CO., INC.
13 B GREAT MEADOW LANE
EAST HANOVER, NJ 07936

State of New Jersey



**DEPARTMENT OF THE TREASURY
DIVISION OF PROPERTY MANAGEMENT AND
CONSTRUCTION
33 WEST STATE STREET - P.O. BOX 034
TRENTON, NEW JERSEY 08625-0034**



NOTICE OF CLASSIFICATION

In accordance with N.J.S.A. 18A:18A-27 et seq (Department of Education) and N.J.S.A. 52:35-1 (Department of the Treasury) and any rules and regulations issued pursuant hereto, you are hereby notified of your classification to do State work for the Department (s) as previously noted.

Aggregate Amount	Trade(s) & License(s)	Effective Date	Expiration Date
\$15,000,000	C047 -ELECTRICAL license #: 34EB00575400	10/11/2019	10/10/2023
	C122 -FIBER INSTALLATION / SPLICING ONLY license #: 34EB00575400	10/11/2019	
	C120 -INSIDE PLANT CABLE license #: 3EB00575400	10/11/2019	
	C121 -OUTSIDE PLANT CABLE license #: 3EB00575400	10/11/2019	
	C035 -SOLAR ENERGY SYSTEMS	10/11/2019	

- Licenses associated with certain trades are on file with the Division of Property Management & Construction (DPMC).
- Current license information must be verified prior to bid award.
- A copy of the DPMC 701 Form (Total Amount of Uncompleted Projects) may be accessed from the DPMC website at http://www.state.nj.us/treasury/dpmc/Assets/Files/dpmc-27_03_07.pdf.

ANY ATTEMPT BY A CONTRACTOR TO ALTER OR MISREPRESENT ANY INFORMATION CONTAINED IN THIS FORM MAY RESULT IN PROSECUTION AND/OR DEBARMENT, SUSPENSION OR DISQUALIFICATION. INFORMATION ON AGGREGATE AMOUNTS CAN BE VERIFIED ON THE [DPMC WEB SITE](#).



State of New Jersey

DEPARTMENT OF THE TREASURY
DIVISION OF PROPERTY MANAGEMENT AND CONSTRUCTION
33 W. STATE STREET
PO BOX 034
TRENTON, NEW JERSEY 08625-0042

REPLY TO:
TEL: (609) 943-3400
FAX: (609) 984-8495

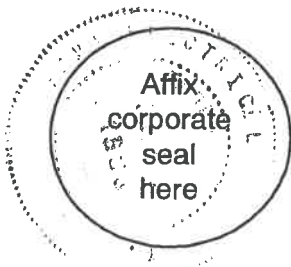
**TOTAL AMOUNT OF
UNCOMPLETED CONTRACTS**

(This form is to be used with the NOTICE OF CLASSIFICATION when submitting bids to the Department of Education.)

I Certify that the amount of uncompleted work on contracts is \$ 3,910,000.00.

The amount claimed includes uncompleted portions of all currently held contracts from all sources (public and private) in accordance with N.J.A.C. 17:19-2.13.

I further certify that the amount of this bid proposal, including all outstanding incomplete contracts does not exceed my prequalification dollar limit.



Respectfully submitted,

By Vespa Electrical Co., Inc.

Name of Firm

Signature

Pres.

Title

13 B Great Meadow Ln

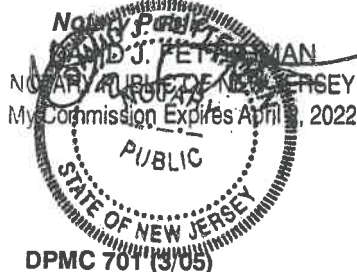
Business Address

East Hanover, NJ 07936

973-845-6625

Phone

Sworn to and
subscribed before me
This 23 day of March
2022



**CONTRACTOR NOTICE OF PREQUALIFICATION**

for

Vespa Electrical Co. Inc.

13B Great Meadow Lane

East Hanover, NJ 07936

In accordance with N.J.S.A. 18A:7G-41 and any rules and regulations issued pursuant hereto, your firm has been approved with the NJSDA for Prequalification:

Effective Date: September 16, 2021

Expiration Date: October 10, 2023

Aggregate Limit: \$15 Million

<input type="checkbox"/> Construction Manager as Constructor	<input type="checkbox"/> Sprinkler Systems	<input type="checkbox"/> Pile Driving
<input type="checkbox"/> Design Build	<input type="checkbox"/> Sheet Metal (Mechanical)	<input type="checkbox"/> Prefabrication Buildings
<input type="checkbox"/> General Construction	<input checked="" type="checkbox"/> Electrical	<input type="checkbox"/> Prefabrication Music/Sound Clean Rooms
<input type="checkbox"/> General Construction/Alterations & Additions	<input type="checkbox"/> Communications Systems	<input type="checkbox"/> Relocatable Buildings
<input type="checkbox"/> Partitions/Ceilings	<input type="checkbox"/> Fire Alarm/Signal Systems	<input type="checkbox"/> Asbestos Removal/Treatment
<input type="checkbox"/> Doors & Hardware	<input type="checkbox"/> Security/Intrusion Alarms	<input type="checkbox"/> Asbestos Removal/Mechanical
<input type="checkbox"/> Windows	<input type="checkbox"/> Audio Visual Systems	<input type="checkbox"/> Waste Removal Toxic/Hazardous
<input type="checkbox"/> Siding & Gutters	<input type="checkbox"/> Site Work	<input type="checkbox"/> Radon Mitigation
<input type="checkbox"/> Carpeting	<input type="checkbox"/> Sewage & Water Treatment Plants	<input type="checkbox"/> Lead Paint Abatement
<input type="checkbox"/> Flooring/Tile	<input type="checkbox"/> Sewer Piping & Storm Drains	<input type="checkbox"/> Detention Equipment Systems
<input type="checkbox"/> Millwork	<input type="checkbox"/> Landscape Construction	<input type="checkbox"/> Energy Management Systems
<input type="checkbox"/> Insulation	<input type="checkbox"/> Underground Water & Utilities	<input type="checkbox"/> Elevators
<input type="checkbox"/> Acoustical	<input type="checkbox"/> Road Construction & Paving	<input type="checkbox"/> Museum Exhibits
<input type="checkbox"/> Concrete/Foundation Footings/Masonry Work	<input type="checkbox"/> Athletic Fields/Tracks/Courts	<input type="checkbox"/> Test Boring
<input type="checkbox"/> Gunite	<input type="checkbox"/> Athletic Fields/Synthetic Turf	<input type="checkbox"/> Well Drilling
<input type="checkbox"/> Demolition	<input type="checkbox"/> Pumping Stations	<input type="checkbox"/> Microbial Remediation
<input type="checkbox"/> Fencing	<input type="checkbox"/> Landscape Irrigation	<input type="checkbox"/> Food Service Equipment
<input type="checkbox"/> Historical Light Fixture Restoration	<input type="checkbox"/> Roofing-Membrane EPDM	<input type="checkbox"/> School Furnishings
<input type="checkbox"/> Historical Restoration	<input type="checkbox"/> Roofing-Membrane PVC/CPE/CSPE	<input type="checkbox"/> Lab Furniture/Equipment
<input type="checkbox"/> Pre-Cast Concrete	<input type="checkbox"/> Roofing-Membrane Modified Bitumen	<input type="checkbox"/> Seating/Bleachers
<input type="checkbox"/> Curtain Walls	<input type="checkbox"/> Roofing-Urethane	<input type="checkbox"/> Swimming Pools
<input type="checkbox"/> Architectural Cast Iron	<input type="checkbox"/> Roofing-Built Up	<input type="checkbox"/> Dust Collectors
<input type="checkbox"/> Welding	<input type="checkbox"/> Roofing-Metal	<input type="checkbox"/> Signage & Graphics
<input type="checkbox"/> Structural Steel & Ornamental Iron	<input type="checkbox"/> Roofing-Tile/Slate/Shingles	<input type="checkbox"/> Septic Systems
<input type="checkbox"/> Plumbing	<input type="checkbox"/> Caulking & Waterproofing	<input type="checkbox"/> Stage Equipment
<input type="checkbox"/> Oil & Gas Burners	<input type="checkbox"/> Scaffolding	<input type="checkbox"/> Underground Storage Tanks/Closure & Installation
<input type="checkbox"/> HVACR	<input type="checkbox"/> Roofing-Historical Sites	<input type="checkbox"/> Underground Storage Tanks/Installation
<input type="checkbox"/> Boilers (New Repair)	<input type="checkbox"/> Roofing-TPO	<input type="checkbox"/> Underground Storage Tanks/Closure
<input type="checkbox"/> Service Station	<input type="checkbox"/> Painting-General	<input type="checkbox"/> UST/Tank Testing
<input checked="" type="checkbox"/> Solar Energy Systems	<input type="checkbox"/> Painting-Tanks/Steel Structures/Elevated Structures	<input type="checkbox"/> Underground Storage Tanks/Corrosion Protection Systems Analysis
<input type="checkbox"/> Energy Services (ESCO)	<input type="checkbox"/> Painting-Historical Sites	<input type="checkbox"/> Above Ground Storage Tanks
<input type="checkbox"/> Geothermal Loop Systems	<input type="checkbox"/> Sandblasting	<input type="checkbox"/> Site Remediation
<input type="checkbox"/> Fireproof Applications	<input type="checkbox"/> Divers	<input checked="" type="checkbox"/> Inside Plant Cable
<input type="checkbox"/> Insulation (Mechanical)	<input type="checkbox"/> Barges	<input checked="" type="checkbox"/> Outside Plant Cable
<input type="checkbox"/> Fire Suppression Systems	<input type="checkbox"/> Bulkhead & Docks	<input checked="" type="checkbox"/> Fiber Installation & Splicing
<input type="checkbox"/> Control Systems	<input type="checkbox"/> Jetty & Breakwater	
<input type="checkbox"/> Parking & Control Systems	<input type="checkbox"/> Dredging	

ANY ATTEMPT TO ALTER OR MISREPRESENT ANY INFORMATION CONTAINED IN THIS NOTICE MAY RESULT IN PROSECUTION, DEBARMENT, AND/OR DISQUALIFICATION.

Information contained in this notice can be verified at: <https://sda03.njsda.gov/PublicReportsUI/VendorSearch.aspx>

NJSDA Risk Management – Prequalification Unit – 609-858-5356

NJSDA TOTAL AMOUNT OF UNCOMPLETED CONTRACTS

I certify that the Firm's total amount of uncompleted construction work (defined as the total contract value of unbilled work - including public and private) as of the bid due date is \$ 3,910,000.00

A firm may exclude from "uncompleted construction work" the following:

- "Non-at-Risk" construction management services (also known as "fee only" construction management services) where the firm is acting strictly as an agent for the owner.
- On any project where the firm was awarded a single prime contract which encompasses work to be performed by a subcontractor in a principal trade defined as plumbing and gas fitting; steam and hot water heating and ventilation; electrical; or structural steel and ornamental iron work, the firm may calculate the value of the awarded contract by deducting eighty-five percent (85%) of the actual subcontract price of the work to be performed by principal trade(s) from the actual price of the contract awarded to it. The firm will have the burden of proving that the work is encompassed by the principal trades and the value of the amount of work performed by those principal trades.

I further certify that the amount of this bid proposal, including the amount listed above does not exceed my New Jersey Schools Development Authority, Aggregate Rating limit.

Respectfully submitted,

By: Vespa Electrical Co., Inc.

Name of Firm

Signature

Tony Vespa President

Type or Print Name and Title

13 B Great Meadow Ln

Business Address

East Hanover, NJ 07936




973-845-6625



Telephone

Affix
Corporate
Seal

Date:

3-23-22

STATE OF NEW JERSEY BUSINESS REGISTRATION CERTIFICATE		DEPARTMENT OF TREASURY/ DIVISION OF REVENUE PO BOX 252 TRENTON, NJ 08646-0252
TAXPAYER NAME: VESPA ELECTRICAL COMPANY, INC.	TRADE NAME:	
ADDRESS: 13B GREAT MEADOW LN EAST HANOVER NJ 07936	SEQUENCE NUMBER: 0097989	
EFFECTIVE DATE: 11/12/86	ISSUANCE DATE: 10/14/11	
 Director New Jersey Division of Revenue		
FORM-BRC This Certificate is NOT assignable or transferable. It must be conspicuously displayed at above address		

STATE OF NEW JERSEY Certificate of Authority		DIVISION OF TAXATION TRENTON, NJ 08695
The person, partnership or corporation named below is hereby authorized to collect: NEW JERSEY SALES & USE TAX		
pursuant to N.J.S.A. 54:32B-1 ET SEQ.		
This authorization is good ONLY for the named person at the location specified herein This authorization is null and void if any change of ownership or address is effected		
VESPA ELECTRICAL COMPANY, INC. 13B GREAT MEADOW LN EAST HANOVER NJ 07936	Tax Registration No: xxx-xxx-024/000	 Acting Director, Division of Taxation
	Tax Effective Date: 07-01-78	
	Document Locator No: B0000104456	
	Date Issued: 10-14-11	
This Certificate is NOT assignable or transferable. It must be conspicuously displayed at above address		

Certificate Number
588767

Registration Date: 04/30/2020
Expiration Date: 04/29/2022



State of New Jersey

Department of Labor and Workforce Development Division of Wage and Hour Compliance

Public Works Contractor Registration Act

Pursuant to N.J.S.A. 34:11-56.48, et seq. of the Public Works Contractor Registration Act, this certificate of registration is issued for purposes of bidding on any contract for public work or for engaging in the performance of any public work to:

Vespa Electrical Company, Inc.
2020

Responsible Representative(s):

Tony Vespa, President

A handwritten signature in cursive script, appearing to read "R. Angelo".

Robert Asaro-Angelo, Commissioner
Department of Labor and Workforce Development

NON TRANSFERABLE

This certificate may not be transferred or assigned and may be revoked for cause by the Commissioner of Labor and Workforce Development.



State of New Jersey

PHIL MURPHY
Governor

DEPARTMENT OF THE TREASURY
DIVISION OF REVENUE & ENTERPRISE SERVICES
P.O. BOX 026
TRENTON, NJ 08625-034
PHONE: 609-292-2146 FAX: 609-984-6679

SHEILA OLIVER
Lt. Governor

ELIZABETH MAHER MUOIO
State Treasurer

APPROVED

under the

Small Business Set-Aside Act

This certificate acknowledges VESPA ELECTRICAL COMPANY, INC. as a Category 2 approved Small Business (SBE) that has met the criteria established by N.J.A.C. 17:13 and/or 17:14..

This registration will remain in effect for three years. Annually the business must submit, not more than 60 days prior to the anniversary of the registration notice, an annual verification statement in which it shall attest that there is no change in the ownership, revenue eligibility or control of that business.

If the business fails to submit the annual verification statement by the anniversary date, the SBE registration will lapse and the business SBE status will be revoked in the New Jersey Selective Assistance Vendor information (NJSAVI) database that lists registered Small businesses. If the business seeks to be registered again, it will have to reapply and complete a new application.



Peter Lowicki
Deputy Director

Issued: 9/7/2021

Certification Number: A0174-59

Expiration: 9/7/2024

The expiration date is contingent on the proper and on-time filing of all Annual Verifications. Please see above for more detail.

CONTRACT STATUS REPORT (COMPLETED AND UNCOMPLETED WORK)

DATE 1/12/21 **CONTRACTOR** Vespa Electrical Co., Inc. **ADDRESS:** 13B Great Meadow Ln. East Hanover, NJ

Bonded	Unbonded	Contract No. and Date	Description of Contract, Type And Location Name Of Owner & Complete Address	Completion Date	Contract Price + Change orders	Original Estimated Gross Profit	Total Billed To Date (including Retainage)	Total Received	Total Cost to Date	Estimated Cost to Complete Now.
	X		Union County Court House	2/28/22	2,910,043.		2,765,006.	2,765,006		
	X		Wayne Motor Vehicle	7/5/21	1,961,514.		1,912,476	1,912,476		
	X									
	X		Rutherford Police HQ	8/21/22	1,485,279		727,160	648,980		
	X		Carteret Jr. High School	8/21/22	2,479,949		703,341	558,595		
	X		Cleveland Elem School	8/30/22	3,455,288		618,422	618,422		
								Total:		

CONTRACTS COMPLETED SINCE THE LAST FISCAL YEAR END CLOSING

Bond	Unbonded	Contract	Description & Location	Final Price	Total Cost	Gross Profit/Loss
	x		Ashbrook Golf Course		1,090,460.	
	x		Jersey City Community Center		937,943	
	x		Union County Elevator Upgrade		232,996	

VESPA ELECTRICAL CO., INC.

Electrical Contracting & Maintenance

13 B Great Meadow Ln.
East Hanover, NJ 07936
Tel. (973)-845-6625
Fax. (973)-845-6626

1/26/2022

Re: Vespa Electrical Personnel

The following are key personnel of Vespa Electric:

Tony Vespa (40 Years)– Owner, President, Project Management, Safety Coordinator

Dave Fetterman (28 Years) – Project Manager, Chief Estimator, Sales

Matt DeFranco (5 Years) Estimator, Book Keeping, Journeyman Electrician

Harold Ferrando (28 Years) – Project Foreman / Electrician

Tom Boffa (18 Years) – Project Foreman / Electrician

Jared Coliani (15 Years) – Project Foreman / Electrician

Sincerely,



Dave Fetterman

BIDDER'S PERSONNEL AND EXPERIENCE

All questions must be answered, and the data given must be clear and comprehensive.

This statement must be notarized. Attach separate letters where requested.

- 1) Name of Bidder: Vespa Electrical Co., Inc.
- 2) Business Address: 13B Great Meadow Ln., E. Hanover, NJ 07936
- 3) Phone and Fax Numbers: Ph. 973-845-6625 / Fx. 973-845-6626
- 4) When Organized or Incorporated: 1986
- 5) State of Incorporation: NJ
- 6) How many years have you been engaged in the contracting business under your present firm or trading name? 40
- 7) General character of work performed by company: Electrical
- 8) Have you ever failed to complete any work awarded to your firm? No If so, where and for whom?

- 9) Have you ever defaulted on a Contract? No If so, where and why?

- 10) In the past three years, have there been any outstanding debts over 60 days to subcontractors or material/equipment suppliers for work in place of any of your contracts other than No a maximum allowance of 10% for retainage?
_____ If so, how much and why?

- 11) In the past three years, have there been any liens placed on any projects attributed to your contract or have there been any attempts to have any liens placed on any project attributed to your contract? No If so, explain the circumstances.

- 12) Have all payments associated with past labor costs (workers compensation, benefits, etc.) been paid in full to the proper

authorities as required by law or agreements? Yes If
no, explain _____

13) Attach schedule of current projects under construction with gross contract amount and uncompleted dollar amount of each project and anticipated completion dates. **

14) Attach schedule of major contracts including construction costs completed by your firm within the last three (3) years. **

15) Attach background and experience of principals of the firm. **

**Attach separate sheets to this Statement of Bidders Personnel and Experience Sheet with Bid Proposal

16) List names of projects, architects/clients and phone numbers to contact for references for projects in progress or completed in the last three (3) years. **

17) List Bank Reference: PNC Bank

18) List Trade References: Cooper Electrical Supply
Graybar Electrical Supply

Signature of:

(Bidder, if Bidder is an Individual)

(Partner, if Bidder is a Partnership)

Tom Vega
(Officer, if Bidder is a Corporation)

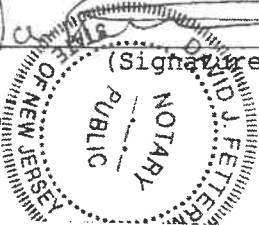
Sworn and subscribed before me this
26 day of Jan, 2022.

Notary Public-State of NJ My Commission Expires _____

David J. Fetterman
(Signature)

David J. Fetterman
(Print Name)

SEAL



DAVID J. FETTERMAN
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 2, 2022